# **PCT**

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



(51) International Patent Classification 6:		(11) International Publication Number: WO 98/53083
C12N 15/63, 15/82	A1	·
		(43) International Publication Date: 26 November 1998 (26.11.98)
(21) International Application Number: PCT/GB (22) International Filing Date: 20 May 1998 (		BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL,
(30) Priority Data: 9710475.6 21 May 1997 (21.05.97)		TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR,
(71) Applicant (for all designated States except US): LIMITED [GB/GB]; 15 Stanhope Gate, London V (GB).		CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).
(72) Inventors; and (75) Inventors/Applicants (for US only): GRIERSON [GB/GB]; University of Nottingham, Sutton Bo Campus, Loughborough LE12 5RD (GB). LOWE dra, Louise [GB/GB]; University of Nottingham ton Bonnington Campus, Loughborough LE12 5F HAMILTON, Andrew, John [GB/GB]; University tingham, Sutton Bonnington Campus, Loughborough SRD (GB).	onnington , Alexa am, Su RD (GI y of No	Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.  3).
(74) Agents: HUSKISSON, Frank, Mackie et al.; Zene chemicals, Intellectual Property Dept., Jealott's search Station, P.O. Box 3538, Bracknell, Berksh 6YA (GB).	Hill R	e-
(54) Title: GENE SILENCING		
		·
NPT II 35S PR.	ราบา	RS'UTR S'UTR ACOI CODING SEQ 3'UTR
	<b>—</b>	<b></b>
(57) Abstract		
Constructs and methods for enhancing the inhibition vector an inverted repeat sequence of all or part of a pol synthetic polynucleotide sequence or comprise a modified	lynucle	target gene within an organism involve inserting into the gene silencing otide region within the vector. The inverted repeat sequence may be a polynucleotide sequence.

# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

ı	AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
ļ	AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
ı	AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
l	ΑÜ	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
١	AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
ı	BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
I	BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
Ì	BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenis
I	BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
ŀ	BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad at
ł	BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
1	BR	Brazil	ΙĽ	Israel	MR	Mauritania	UG	Uganda
ı	BY	Belarus	IS	Iceland	MW	Malawi	US	United Sta
i	CA	Canada	ΪΤ	Italy	MX	Мехісо	UZ	Uzbekistan
ļ	CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
1	CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
	CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
	CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
Į	CM	Cameroon		Republic of Korea	PL	Poland		
	CN	China	KR	Republic of Korea	PT	Portugal .		
	Cυ	Cuba	KZ	Kazakstan	RO	Romania		
	CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
	DE	Germany	LI	Liechtenstein	SD	Sudan		
	DK	Denmark	LK	Sri Lanka	SE	Sweden		
	EE	Estonia	LR	Liberia	SG	Singapore		
	,							

- 1 -

#### **GENE SILENCING**

This invention relates to the control of gene expression, more particularly to the inhibition of expression, commonly referred to as "gene silencing".

5

10

15

20

25

30

Two principal methods for the modulation of gene expression are known. These are referred to in the art as "antisense downregulation" and "sense downregulation" (also, referred to as "cosuppression"). Both of these methods lead to an inhibition of expression of the target gene.

In antisense downregulation, a DNA which is complementary to all or part of an endogenous target gene is inserted into the genome in reverse orientation. While the mechanism has not been fully elucidated, one theory is that transcription of such an antisense gene produces mRNA which is complementary in sequence to the mRNA product transcribed from the endogenous gene: that antisense mRNA then binds with the naturally produced "sense" mRNA to form a duplex which inhibits translation of the natural mRNA to protein. It is not necessary that the inserted antisense gene be equal in length to the endogenous gene sequence: a fragment is sufficient. The size of the fragment does not appear to be particularly important. Fragments as small as 42 or so nucleotides have been reported to be effective. Generally somewhere in the region of 50 nucleotides is accepted as sufficient to obtain the inhibitory effect. However, it has to be said that fewer nucleotides may very well work: a greater number, up to the equivalent of full length, will certainly work. It is usual simply to use a fragment length for which there is a convenient restriction enzyme cleavage site somewhere downstream of fifty nucleotides. The fact that only a fragment of the gene is required means that not all of the gene need be sequenced. It also means that commonly a cDNA will suffice, obviating the need to isolate the full genomic sequence.

The antisense fragment does not have to be precisely the same as the endogenous complementary strand of the target gene. There simply has to be sufficient sequence similarity to achieve inhibition of the target gene. This is an important feature of antisense technology as it permits the use of a sequence which has been derived from one plant species to be effective in another and obviates the need to construct antisense vectors for each

-2-

individual species of interest. Although sequences isolated from one species may be effective in another, it is not infrequent to find exceptions where the degree of sequence similarity between one species and the other is insufficient for the effect to be obtained. In such cases, it may be necessary to isolate the species-specific homologue.

Antisense downregulation technology is well-established in the art. It is the subject of several textbooks and many hundreds of journal publications. The principal patent reference is European Patent No. 240,208 in the name of Calgene Inc. There is no reason to doubt the operability of antisense technology. It is well-established, used routinely in laboratories around the world and products in which it is used are on the market.

Both overexpression and downregulation are achieved by "sense" technology. If a full length copy of the target gene is inserted into the genome then a range of phenotypes is obtained, some overexpressing the target gene, some underexpressing. A population of plants produced by this method may then be screened and individual phenotypes isolated. A similarity with antisense is that the inserted sequence need not be a full length copy. The principal patent reference on cosuppression is European Patent 465,572 in the name of DNA Plant Technology Inc. There is no reason to doubt the operability of sense/cosuppression technology. It is well- established, used routinely in laboratories around the world and products in which it is used are on the market.

Sense and antisense gene regulation is reviewed by Bird and Ray in Biotechnology and Genetic Engineering Reviews 9: 207-227 (1991). The use of these techniques to control selected genes in tomato has been described by Gray et.al., Plant Molecular Biology, 19: 69-87 (1992).

Gene silencing can therefore be achieved by inserting into the genome of a target organism an extra copy of the target gene coding sequence which may comprise either the whole or part or be a truncated sequence and may be in sense or antisense orientation.

Additionally, intron sequences which are obtainable from the genomic gene sequence may be used in the construction of suppression vectors. There have also been reports of gene silencing being achieved within organisms of both the transgene and the endogenous gene where the only sequence identity is within the promoter regions.

25

5

10

15

20

- 3 -

Gene control by any of the methods described requires insertion of the sense or antisense sequence, under control of appropriate promoters and termination sequences containing polyadenylation signals, into the genome of the target plant species by transformation, followed by regeneration of the transformants into whole plants. It is probably fair to say that transformation methods exist for most plant species or can be obtained by adaptation of available methods.

The most widely used method is Agrobacterium- mediated transformation, mainly for dicotyledonous species. This is the best known, most widely studied and, therefore, best understood of all transformation methods. The rhizobacterium Agrobacterium tumefaciens, or the related Agrobacterium rhizogenes, contain certain plasmids which, in nature, cause the formation of disease symptoms, crown gall or hairy root tumours, in plants which are infected by the bacterium. Part of the mechanism employed by Agrobacterium in pathogenesis is that a section of plasmid DNA which is bounded by right and left border regions is transferred stably into the genome of the infected plant. Therefore, if foreign DNA is inserted into the so-called "transfer" region (T-region) in substitution for the genes normally present therein, that foreign gene will be transferred into the plant genome. There are many hundreds of references in the journal literature, in textbooks and in patents and the methodology is well-established.

Various methods for the direct insertion of DNA into the nucleus of monocot cells are known.

20

25

30

In the ballistic method, microparticles of dense material, usually gold or tungsten, are fired at high velocity at the target cells where they penetrate the cells, opening an aperture in the cell wall through which DNA may enter. The DNA may be coated on to the microparticles or may be added to the culture medium.

In microinjection, the DNA is inserted by injection into individual cells via an ultrafine hollow needle.

Another method, applicable to both monocots and dicots, involves creating a suspension of the target cells in a liquid, adding microscopic needle-like material, such as silicon carbide or silicon nitride "whiskers", and agitating so that the cells and whiskers collide and DNA present in the liquid enters the cell.

In summary, then, the requirements for gene silencing using both sense and antisense technology are known and the methods by which the required sequences may be introduced are known.

The present invention aims to, *inter alia*, provide a method of enhancing the control of gene expression.

5

10

15

20

25

30

According to the present invention there is provided a vector for enhancing the inhibition of a selected target gene within an organism, comprising a gene silencing vector characterised in that the said gene silencing vector includes a inverted repeat of all or part of a polynucleotide region within the vector.

The inverted repeat sequence may be a synthetic polynucleotide sequence and its inverted repeat sequence or an inverted repeat of all or part of the said gene silencing vector or an inverted repeat of the 5'-untranslated region of the gene silencing vector.

The inverted repeat may be separated from the polynucleotide region by a sequence of nucleotides.

The invention also provides a method of controlling the expression of a DNA sequence in a target organism, comprising inserting into the genome of said organism an enhanced gene silencing vector as defined above.

In a preferred embodiment a vector for enhanced gene silencing comprising in sequence a promoter region, a 5'-untranslated region, a transcribable DNA sequence and a 3'-untranslated region containing a polyadenylation signal, characterised in that the said construct includes an inverted repeat of a region of said vector.

It is preferred that the inverted repeat is a fragment of the 5'-untranslated region of the said vector. The vector may have two tandem copies of the inverted repeat.

In simple terms, we have found that the inhibitory effect of a gene-silencing vector can be enhanced by creating in the vector an inverted repeat of a part of the sequence of the vector. Alternatively the inverted repeat may be of a synthetic sequence which may be created independently of the vector itself and then inserted into the vector sequence. While the mechanism by which the enhancement is achieved is not fully understood we understand that the minimum required for such a vector is a region or regions which identify the gene targeted for silencing and an inverted repeat of a part of that region or, as explained above an inserted sequence and its inverted repeat. The region of the vector which identifies the gene

- 5 -

targeted for silencing may be any part of that endogenous gene which characterises it, for example, its promoter, its 5'-untranslated region, its coding sequence or its 3'untranslated region. We have also found that the vector used in this invention will silence the expression of the target gene and also any members of the gene family to which the targeted gene belongs.

Although the mechanism by which the invention operates is not fully understood, we believe that creation of an inverted repeat promotes the formation of a duplex DNA between the selected sequence and its inverted.

10

15

20

25

30

The inverted repeat may be positioned anywhere within the vector such as within the promoter region, the 5' untranslated region, the coding sequence or the 3' untranslated region. If the inverted repeat is based on a contiguous sequence within the promoter region, then it is preferred that the inverted repeat in located within the promoter region. If the inverted repeat is based on a contiguous sequence within the 5' untranslated region, then it is preferred that the inverted repeat is located within the 5' untranslated region. If the inverted repeat is based on a contiguous sequence within the coding region, then it is preferred that the inverted repeat is located within the coding region. If the inverted repeat is based on a contiguous sequence within the 3' untranslated region, then it is preferred that the inverted repeat is located within the 3' untranslated region, then it is preferred that the inverted repeat is located within the 3' untranslated region.

The selected polynucleotide sequence and its inverted repeat may or may not be separated by a polynucleotide sequence which remains unpaired when the 5' untranslated region and the inverted repeat have formed a DNA duplex. It is preferred however, that the chosen contiguous sequence and its inverted repeat are separated by a polynucleotide sequence which remains unpaired when the 5' untranslated region and the inverted repeat have formed a DNA duplex.

It is further preferred that the inverted repeat is based on the 5' untranslated sequence. It is also preferred that the inverted repeat is positioned upstream of the coding sequence. It is further preferred that the inverted repeat is positioned between the 5' untranslated region and the coding sequence. It is further preferred that the 5' untranslated region and the inverted repeat are separated by a polynucleotide sequence which remains unpaired when the 5' untranslated region and the inverted repeat have formed a DNA duplex.

-6-

Suppression can also be achieved by creating a vector containing an inverted repeat sequence which is capable of forming a duplex DNA within the promoter region of the target gene. This obviates the need to include any specific coding sequence information about the gene to be suppressed since the vector would allow suppression of the promoter within the organism and hence the expression of the target gene. Alternatively vectors may be created which are lacking a promoter sequence but which contain an inverted repeat of a sequence within the 5' untranslated region, the coding region or the 3' untranslated region.

The 5' or 3' untranslated regions of a gene suppression vector can also be replaced with a synthetic 5' or 3' untranslated regions which comprises a polynucleotide part and inverted repeat separated by a polynucleotide sequence which remains unpaired when the said polynucleotide part and the inverted repeat form a DNA duplex. It is preferred to construct a synthetic 5' untranslated region. It is further preferred to construct the synthetic 5' untranslated region comprising sequentially, a 33 base polynucleotide part and a 33 base polynucleotide inverted repeat separated by a 12 base polynucleotide.

10

15

20

25

30

Where it is desired to use an inverted repeat sequence within the 5' untranslated region, the coding sequence or the 3' untranslated region, gene silencing vectors constructed with inverted repeats within any one of these regions may additionally enable the silencing of genes that are homologous to the coding sequence present in the silencing vector.

Therefore when it is desired to silence genes homologues within an organism the construction of a silencing vector containing an inverted repeat within the 5' untranslated region, the coding sequence or the 3' untranslated region may allow the silencing of all the genes exhibiting sequence homology to the coding sequence within the construct.

Homology/homologous usually denotes those sequences which are of some common ancestral structure and exhibit a high degree of sequence similarity of the active regions.

Examples of homologous genes include the ACC-oxidase enzyme gene family which includes ACO1 and ACO2.

Any of the sequences of the present invention may be produced and manipulated using standard molecular biology techniques. The sequences may be obtained from a desired organism source such as plant sources and modified as required or synthesised *ab initio* using standard oligosynthetic techniques.

10

15

20

25

30

Without wishing to be bound by any particular theory of how it may work, the following is a discussion of our invention. 96% of tomato plants transformed with an ACC-oxidase sense gene containing two additional, upstream inverted copies of its 5' untranslated region, exhibited substantially reduced ACC-oxidase activity compared to wild type plants. Only 15% of plants transformed with a similar construct, without the inverted repeat, had reduced ACC-oxidase activity. Both populations had similar average numbers of transgenes per plant. Treatment of tomato leaves with cycloheximide caused a strong, reproducible increase in the abundance of ACC-oxidase transcripts and was used in the study of suppression by ACC-oxidase sense transgenes in preference to wound induction used in previous studies. The relative abundance of unprocessed and processed ACC-oxidase transcripts in suppressed and non-suppressed plants was assayed by ribonuclease protection assays, providing an indirect measure of transcription and mRNA accumulation which did not rely upon assaying isolated nuclei. This analysis indicated that the suppression of ACO1 gene expression was mainly post-transcriptional. Using the same type of RPA assay similar results were obtained from plants containing suppressing polygalactronase-sense or ACOantisense transgenes.

There are now numerous examples of the inactivation of homologous sequences in plants. The term "homology dependent gene silencing" (HDGS) best describes all of these although it should be noted that in most examples the "silencing" is not complete and a low level of gene expression remains. Throughout this specification we will use the classification most-recently outlined by Matzke and Matzke, Plant Physiol. 107: 679-685 (1995) in which different examples of HDGS were divided into three main groups; cisinactivation, trans-inactivation, and sense-suppression. Down regulation by antisense genes bears many similarities to the last of these and has been suggested to operated by the same mechanism (Grierson et al, Trends Biotechnol. 9: 122-123 (1991)). Both sense and antisense transgenes have been widely used to reduce the expression of homologous endogenous genes in plants. Although the underlying mechanisms of HDGS remain obscure, this technology has found numerous applications not only in fundamental research but also in commercial biotechnology ventures and new food products are already on the market.

At present, obtaining a large number of strongly suppressed, transgenic lines is more a matter of luck than judgement. A positive correlation between the presence of repeated

10

15

20

25

30

transgene sequences and the incidence of HDGS has been noted. However single locustransgene insertions associated with HDGS have also been reported.

There is an emerging consensus that different examples of HDGS can be classified on the basis of whether or not the transcription of the target gene is affected. Examples of transcriptional suppression have been described. Where the homology between interacting genes resides within transcribed sequences, HDGS has been shown to be a post-transcriptional effect. Despite this apparently precise demarcation, several similarities exist between some examples in the two different categories. These include variegated patterns of silencing, increased methylation of genes participating in silencing and the frequent observation that silencing loci contain repeated sequences.

Although transcriptional silencing must occur in the nucleus, post-transcriptional silencing might occur in either or both the nucleus or cytoplasm. There is evidence that the abundance of processed, nuclear RNA of silenced genes was unaffected and suggested an effect upon transport into or degradation within the cytoplasm. More compelling evidence that post-transcriptional HDGS occurs outside the nucleus is the relationship between gene silencing involving nuclear transgenes and resistance to cytoplasmically replicating RNA viruses. Transgenic plants containing transgenes that suppress the activity of other transgenes (e.g. GUS) or endogenous genes (e.g. PG) are also resistant to RNA viruses which have been engineered to include sequences from those genes. Nevertheless, nuclear features such as transgene methylation and complexity of transgene loci were found positively to correlate with virus resistance. In almost all instances of HDGS, the source of the silencing is nuclear (even if the manifestation is cytoplasmic). However, silencing of a nuclear gene by a cytoplasmic element has been demonstrated by the suppression of phytoene desaturase in plants infected by a recombinant virus containing sequences from that gene.

Although, there are now numerous examples of post-transcriptional suppression of plant genes by HDGS, as yet, there is no information as to whether the increased turnover of pre-mRNA is related to or distinct from other cellular, RNA turnover processes.

Degradation of RNA in plants is poorly understood but there is evidence that translation is involved. For example, the very short half lives (around 10 minutes) of small auxin up RNAs (SAURS) can be markedly prolonged by treatment with cycloheximide.

This invention gives a striking increase in the frequency of HDGS following the inclusion of a short repeated region within a transgene. Expression of the target gene encoding the terminal ethylene biosynthetic enzyme ACC-oxidase, in tomato was suppressed by such constructs mainly post-transcriptionally. This was shown to be true for other examples of sense and antisense suppression in tomato. Cycloheximide was found to be a potent and reliable inducer of ACO gene expression but did not ameliorate the silencing.

The invention will now be described, by way of illustration, in the following Examples and with reference to the accompanying Figures of which:

- 10 Figure 1. (A) ACO1 gene silencing vector.
  - (B) ACO1 gene silencing vector containing tandem inverted repeats of the 5' untranslated region.
  - Figure 2. Illustrates the relative ACC-oxidase activity in both types of transgenic plant relative to wild type values where C = transgenic plants containing construct C (Figure 1A) and V = transgenic plants containing construct V (Figure 1B).
  - Figure 3. Tomato plant ACC Oxidase activity of transgenic transformants containing pHIR-ACO (as illustrated in SEQ ID No 10). The graph also includes C12ACO (overexpression control) an untrasformed wild type and TOM13 strong antisense gene silenced control.

## 20 Example 1.0

15

Construct V (Figure 1) was made in the following manner: 79 base pairs of the 5' untranslated region of the tomato ACO1 cDNA was amplified by PCR and two copies were ligated in tandem in the reverse orientation immediately upstream of the ACO1 cDNA which contains its own polyadenylation signal in its 3' untranslated region (construct C). Both were ligated downstream of the CaMV 35S promoter and then transferred to the binary vector, Bin19. Figure 1 shows the basic details of constructs "C" and "V". These were used to transform tomato plants (Ailsa Craig) by Agrobacterium mediated DNA transfer. 13 and

- 10 -

28 individual kanamycin resistant calli were obtained with constructs "C" and "V" respectively and these were regenerated into plants.

The nucleotide sequence of the promoter and 5' untranslated region of the ACO1 gene is given as SEQ ID NO 1 hereinafter. The 79bp referred to above begins at base number 1874 and stops at the base immediately preceding the translation start codon (ATG) at number 1952.

### Example 1.1

5

10

15

20

To screen the population for any effects on ACO gene expression, relative ACO activity was measured from untransformed and transformed plants. The production of ethylene from leaf discs supplemented with the ethylene precursor, 1-aminocyclopropane-1-carboxylic acid, was measured at least three times from each plant. The cutting of the discs by a cork borer wounds the leaves and stimulates the expression of the ACO1 gene. ACC-oxidase activity in both types of transgenic plant relative to wild type values are shown in Figure 2. There was a dramatic difference in ACO activity between the two populations, with plants containing the inverted repeat (V line) showing very strong suppression. The majority (11 out of 13) of plants of the C line did not show suppression of ACO activity but overexpression, compared to wild-type plants, as would be expected since this construct contained a translatable ACO1 coding sequence.

To test for the presence of the transgenic ACO sequence, DNA from the plants was analysed by PCR using two oligos homologous to and complementary with the beginning and end respectively of the ACO1 coding sequence. This combination co-amplifies 1500 bp of the endogenous ACO1 gene (which acts as an internal positive control) and the ACO1 sense transgene as a 1000 bp fragment (since it was derived from a cDNA and so has no introns). The amplified region does not include the repeated region of the V-type transgene. The two fragments were separated by gel electrophoresis and detected by staining with ethidium bromide. This showed the presence of the transgene in all plants of the C line and all plants of the V line except one (V2) which also had no reduced ACC-oxidase activity (Figure 2).

### Example 1.2

5

15

20

25

It was considered possible that the repeated region in the transgene might have affected the number of transgenes which integrated into the genome and that this was the actual source of high frequency silencing. The PCR assay described above can be used to estimate the transgene copy number if the following assumptions are made:

- that in any transgenic plants there was no variation in the number of endogenous
   ACO1 genes per genome;
- that the amplification efficiency ratio (endogenous ACO1 DNA: transgenic ACO1 DNA) is constant;
- the reaction is sampled at low DNA concentration to minimise product re-annealing.

  Since we were only concerned with estimating the number of transgenes in the two lines relative to each other and not absolute quantification of transgene copy number, we did not employ synthetic combinations of "transgene" and "endogenous gene"

  DNA as standards.
  - After 20 cycles of amplification, gel-electrophoresis, Southern blotting, and hybridisation with a radioactively labelled ACO1 cDNA, the signal from endogenous and transgenic ACO1 DNA was visualised and quantified by phoshorimaging. The average transgene: endogenous gene ratio for the C line was 0.96 and for the V line 1.08 indicating that the repeat region in the V construct does not cause more T-DNAs to integrate during transformation.

#### Example 1.3

ACO1 mRNA increased in abundance following wounding and/or treatment of leaves with cycloheximide but accumulation was approximately five times greater after treatment with cycloheximide than after mechanical wounding which we have previously used as a stimulus. Wounding of cycloheximide treated leaves failed to elicit a further increase in ACO1 mRNA amount. We found cycloheximide to be a more reproducible inducer of ACO1 mRNA accumulation than mechanical wounding and so have used it in preference to

- 12 -

the latter in this study. No further increase in the abundance of ACO1 mRNA was observed when the concentration of cycloheximide was increased from 50 to 250 ug/ml (date not shown).

#### Example 1.4

5

10

15

20

25

The 5' end of ACO1 mRNA extracted from plants is heterogeneous but consists of two major species which differ by 2 bases. The 5' untranslated region (both the sense and duplicated antisense sequences) in both of the constructs (C and V) was made approximately 10 base pairs shorter than those of the endogenous gene. This allowed the discrimination of endogenous gene and transgene-derived transcripts by ribonuclease protection assays using a probe transcribed from a genomic ACO1 sequence which extended from the start of the 3' end of the 5' untranslated region to a Accl site, in the promoter of ACO1, 222 bases upstream. In RNA from wild type leaves, there were several bands which may arise from distinct RNA species or from breaking of RNA duplexes during digestion. Some of the bands seem more susceptible to the effects of antisense suppression than others (although the general trend is still suppression).

In leaves from lines V4, V11 and V28 (all <10% ACO activity), there was extensive co-suppression of the endogenous transcripts (relative to wild-type) and the transgene transcripts (relative to those from a control transgene (line C1). V4, V11 and V28 all exhibited greater suppression than the homozygous ACO-antisense line (Hamilton et.al. Nature 346, 284-287(1990)).

The use of the protein synthesis inhibitor cycloheximide as a stimulant of ACO1 RNA accumulation did not obviously alleviate the suppression of this RNA by the sense transgenes in lines V4, V11 or V28.

Although the endogenous genes transcript is unquestionably suppressed, it is possible that the inverted repeat within the 5' end of the V transgene transcript excludes the probe and causes the signal from the transgenic RNA to be underrepresented. This seems unlikely for the following reason. When a probe that was not excluded by the inverted repeat was used to analyse RNA from the V line, the mRNA signal (which, using this probe, is actually the sum of the endogenous and the transgenic RNAs) was still much less than in the wild type. The

data shows that in the absence of silencing, the abundance of the endogenous and transgenic RNAs are comparable.

# Example 1.5

10

15

We chose to measure the abundance of unprocessed transcripts in total RNA extracts as a indirect measurement of transcription whilst simultaneously measuring the amount of processed mRNA. This was achieved using RNA probes transcribed from genomic sequences spanning introns in ribonuclease protection assays. Since the RNA analysed was from leaves frozen in liquid nitrogen and then extracted in strongly protein-denaturing conditions (phenol and detergent) there should have been little opportunity for any resetting of transcription during the process There was a greater abundance of mRNA following treatment with cycloheximide although the total amount of mRNA in the ACO-AS plants was reduced. In the ACO-sense line, V11, there was little or no increase in the mRNA signal. It is likely that this mRNA signal is mainly from the transgene which is transcribed by the 35S promoter which is not cycloheximide inducible. In contrast, the abundance of the primary transcript in all RNA samples increased following cycloheximide treatment. This RNA species originates only from the endogenous ACO1 gene since the transgene has no introns. In all cases the suppressing transgene had little or no effect upon the abundance of the primary transcript.

#### Example 1.6

Cycloheximide strongly stimulated the accumulation of both the ACO1 primary transcript and mature mRNA. Quantification of the signal from primary transcripts and mature ACO1 RNA in wild type leaves before and after treatment with cycloheximide showed that there was a 6 fold increase in the abundance of unprocessed ACO1 RNA but a 13 fold increase in the amount of processed ACO1 RNA. The abundance of transgenic ACO1 RNA (transcribed from the 35S promoter) in the C line also rose upon treatment with cycloheximide.

#### Example 1.7

- 14 -

Two tandemly linked copies of the 5'UTR (each unit = 79bp; 74.7% (A+T)) were litigated in the inverted orientation between the CaMV 35S promoter and an almost full length ACO1 cDNA (Figure 1). Either unit of this direct repeat has the capacity to form a large cruciform structure with the 5'untranslated region immediately downstream. After *Agrobacterium*-mediated transformation with this construct, 26 out of 28 plants recovered from tissue culture exhibited suppressed ACO activity. A much lower frequency (2/15) of suppression was observed with a control construct which lacked the duplicated 5'UTR but was otherwise the same.

More transgenic plants were obtained with the V construct than with the control construct (as well as exhibiting the high HDGS frequency). It is likely that this is a direct result of reduced ethylene synthesis as a result of ACO gene suppression. Previous results have shown that greatly improved callus regeneration could be achieved after transformation with constructs which contained an ACO-antisense gene.

10

15

20

Of the two plants transformed with the repeat construct that showed no suppression, one, V2, may have had a truncated T-DNA or be an untransformed escape since the transgenic ACO1 sequence could not be amplified. Since the repeat contained DNA sequences already in the gene, it seems unlikely that it is this sequence per se which elicits the effect upon gene silencing. It is much more likely that it is the structure of the repeat DNA (or the transcribed RNA) which is the source of the high frequency of silencing observed. The repeat within the V construct was similar to that with the control construct

Most instances of HDGS are associated with complex transgenic loci that contain repeats or whole or part T-DNAs rather than simple single insertions but it is not known whether this is a primary determinant of suppression or an indirect effect. There are examples where apparently single transgenes are associated with gene silencing but these are in the minority and in at least some of these examples the T-DNAs contain internal repeats. The data presented here suggest that deliberate introduction of small repeats in a transgene can increase the number of transgenic lines in which homologous genes have been suppressed to almost 100%. Sense suppression could be obtained with the control construct but at a much lower frequency. The deliberate introduction of repetitive DNA into a transgene may substitute for a requirement for the insertion of repeated T-DNA units to

produce silencing. Although the PCR assay used here is not absolutely quantitative, it does suggest that the average transgene dosage is about 2 implying that some of the lines exhibiting suppression have single insertions. In several of our lines, the suppression obtained is profound (Figure 2) which makes this strategy even more attractive to those interested in specifically switching off gene expression. There is one previous report of the deliberate combination of repetitive DNA with a reporter gene effecting increased HDGS: Lohuis et al., Plant Journal, 8, 919-932 (1995) inserted a copy of a randomly isolated repetitive genomic sequence (RPS) upstream of GUS reporter gene and found that this element increased the frequency of variegation of transgene expression. This is an example of cis-inactivation, probably acts at the transcriptional level, and the authors considered it to be distinct from co-suppression/sense-suppression phenomena. Interestingly, the RPS element did not increase the frequency of complete silencing of the transgene. In our example, although the level of suppression is severe in many lines, it is not possible to say whether the degree of suppression is equal in all cells expressing the target gene or if the repeat has simply greatly increased the proportion of cells experiencing suppression.

#### Example 1.8

10

15

20

25

30

#### Constructs and transformation

The tomato ACO1 cDNA, pTOM13 was released from its original cloning vector, pAT153, (Promega), creating pG31. pG31 was digested with EcoRI and the vector re-ligated to create pTRD. This removed the 5' end of the cDNA which contains approximately 90 base pairs of the 3'untranslated region in the antisense orientation at its 5' end which may have been introduced artefactually during the original cloning of the pTOM13 cDNA. The remaining ACO1 sequence was cut out from pTRD with EcoRI and Hindlll and ligated into pT<sub>7</sub>.T<sub>3a</sub>18 (BRL) digested by EcoRI and the ends filled in with Klenow enzyme. The 5' untranslated region of the ACO1 transcript (minus approximately 10 bases at the 5' end) was amplified with Taq polymerase from oligo dT-primed cDNA of wounded tomato leaves with the primers 5' CATTCATCTCTTCAATCTTTTG 3' (SEQ ID No.2) and 5' CTTAATTTCTTGGTAAAGTGTTTTCC 3' (SEQ ID No.3). This DNA was rendered flush ended with T4 DNA polymerase and ligated with the filled in pTRF to create pMI1. This reconstituted the EcoRI site at the 5' end and yielded a translatable ACO1 cDNA

slightly shorter than the wild type ACO1 mRNA. Sequencing confirmed that the amplified ACO1 sequence was not mutated. pMI1 was digested with HindIII and partially with EcoRI and the fragment containing the ACO1 cDNA sequence was filled in with Klenow enzyme, and ligated with Smal digested pDH51 to create pDHC1. This was digested with Xbal and HindIII, the filled in and the fragment containing the vector, 35S promoter and ACO1 cDNA religated to create pMI5. pMI7 contains two copies of the 5'UTR of ACO1 tandemly linked and inserted in the antisense orientation upstream of the 5'UTR of ACO1 in pMI5. This was made by amplifying the 5'UTR from tomato leaf cDNA (see above) with oligos 5' CATTCATCTCTCAATCTTTTG 3' (SEQ ID No.2) and

5'CTTAATTTCTTGGTAAAGTGTTTTCC 3'(SEQ ID NO.3)., polishing the DNA with T4
DNA pol and ligating it into a filled in Acc651 site in pMI5 upstream of the 5'UTR of the
ACO1 sequence Acc651 (an isoshizomer of Kpn1 but which gives a 5' overhang). The
construction was confirmed by sequencing.

pDHC1 and pMI7 were digested with BamHI, BglI and PvuII and the BamHI-PvuII fragments containing the CamV35S-ACO1cDNA sequences were cloned into Bin19 which had been cut by HindIII, filled in and then cut by BamHI. The resulting recombinants were called pBC1 and pBM17 respectively. These plasmids were transformed into *A. tumefaciens* LBA4404: and this used to transform tomato cotyledons (*Lycopersicon esculentum* var Ailsa Craig). Plants were regenerated from callus grown on 50μg.ml<sup>-1</sup> kanamycin.

#### Example 1.9

15

20

25

## ACC-oxidase assays

ACC-oxidase activity was measured as the ability of plant tissue to convert exogenous 1-aminocyclopropane-1-carboxylic acid (ACC) to ethylene. Discs were cut from leaf lamina with a sharp cork borer and placed in contact with 0.5ml of 10mM NaH<sub>2</sub>PO<sub>4</sub>/Na<sub>2</sub>HPO<sub>4</sub> (pH7), and 10 mM ACC (Sigma) in 5 ml glass bottles which were then sealed with "Subaseal" vaccine caps (Fisons). After 1 hour at room temperature, the ethylene in the head space was measured by gas chromatography as described by Smith et al., 1986. Ethylene was also measured from bottles containing the solution but without leaf

- 17 -

tissue. These values were subtracted from the values obtained from the bottles containing leaf discs.

#### Example 1.10

5

10

15

20

25

#### PCR analysis of transgenic plants

DNA was extracted from singles leaves of wild type plants, plants homozygous for a ACO-antisense gene, and those transformed with the constructs of pBC1 and pBM17. Leaves were frozen in liquid nitrogen, briefly ground in eppendorf tubes with a disposable pipette tip, ground further after the addition of 200µl DNA extraction buffer (1% laurylsarcosine, 0.8% CTAB, 0.8M NaCl, 0.02M EDTA, 0.2M Tris/HCI (pH8)), heated to 65°C for 15 minutes, extracted once with phenol/chloroform and the DNA precipitated from the aqueous phase by the addition of 0.6 volumes of isopropanol. The DNA was recovered by centrifugation, the pellets washed in 70% ethanol, dried and redissolved in 200ul, of TE buffer. 1ul of this was used as template for simultaneous PCR amplification of the endogenous ACO1 gene and the transgene using the primers ACO1.1 (ATGGAGAACTTCCCAATTATTAACTTGGAAAAG SEQ ID NO 4) and the ACO1.2 (CTAAGCACTTGCAATTGGATCACTTTCCAT SEQ ID NO 5) for 21 cycles of 30 seconds at 95°C, 30 seconds at 65°C and 1 minute at 72°C. Amplified DNA was separated by electrophoresis in a 0.8% agarose/1xTBE gel and blotted onto HybondN+ in 0.4M NaOH for 6 hours. To detect the amplified ACO sequences, the DNA on the filter was hybridised with random prime labelled ACO1 cDNA. The filter was washed in 0.2xSSPE/1%SDS at 65°C followed by phosphorimaging of the radioactive signal.

# Example 1.11

# Treatment of leaves with cycloheximide and mechanical wounding

Compound leaves were excised with a sharp scalpel blade and immediately placed under water solution of 50µl.ml<sup>-1</sup> cycloheximide (Sigma). Another 3 cm of the stalk was cut from the branch under the solution and the assembly was then left in a laminar airflow for six hours to allow the cycloheximide to enter the leaves.

- 18 -

To wound leaf tissue, individual leaflets were placed on a hard surface and diced with a sharp scalpel blade approximately 10 times transversely and 5 times longitudinally.

#### Example 1.12

5

10

15

20

25

1

#### Northern analysis of ACO mRNA in leaves treated with cycloheximide

RNA was extracted from cycloheximide treated leaves as follows. Tissue was frozen in liquid nitrogen and pulverised either in a coffee grinder (for fruit pericarp, see below) or in a mortar (for leaves). 5ml.gfwt<sup>-1</sup> of RNA extraction buffer (Kirby's) was added and the frozen slurry ground further in disposable polypropylene centrifuge tubes with a glass rod. Once thawed, the mixture was extracted twice with phenol/chloroform and the nucleic acids precipitated by the addition of 2.5 volumes of ethanol, 1/10 volume 3M sodium acetate (pH5) and refrigeration at 20°C for 1 hour. After centrifugation at 3000xg for 10 minutes (40 minutes for a fruit extraction), the pellets were redissolved quickly in water (approximately 1ml per gram of tissue) and, an equal vol. of 2x DNA extraction buffer (1.4M NaCl, 2% CTAB, 100mM Tris/HCl (pH8)). Two volumes of precipitation buffer (1%CTAB, 50mM Tris/HCl (pH8)) were added to precipitate the nucleic acids (30 minutes at room temparature suffices) and the precipitate was collected by centrifugation (3000xg/15 minutes). This step was repeated except the pellets were dissolved in 1xDNA extraction buffer. After collection of the second precipitation, the pellets were redissolved in 0.5ml 1M NaCl and immediately reprecipitated with 2.5 volumes of ethanol (-20°C/30 minutes). After centrifugation (10000xg/10 minutes), the pellets were redissolved in 400µl water and extracted twice with phenol/chloroform. The nucleic acids were precipitated and collected as above redissolved in 400µl water. 46ul of 10 x One-Phor-All-Buffer (Pharmacia) was added with 50 units of RNAase-free DNAase (Promega) and the solutions incubated at 37°C for 30 minutes. They were extracted twice with phenol/chloroform, the RNA precipitated and collected as above and finally redissolved in 100-500ul of water. We have found that this relatively extensive purification is necessary if rare transcripts are to be detected by RPA. Also, the RNA redissolves readily which greatly reduces handling time when manipulating this RNA mixed with radioactive probe RNA.

50μg of leaf RNA was mixed with an equal volume of denaturation/loading solution (50% formamide; 25mM sodium phosphate (pH6.5); 10mM EDTA; 6.2% formaldehyde; 200μg.ml<sup>-1</sup> ethidium bromide) and separated by electrophoresis on a 25mM sodium phosphate (pH6.5) /3.7% formaldehyde /1.5% agarose gel in 10mM sodium phosphate (pH6.5)/3.7% formaldehyde with continuous buffer re-circulation. The separated RNA was blotted onto Genescreen (Dupont) hybridisation membrane in 10mM sodium phosphate (pH6.5). The autocrosslink setting on a Stratalinker (Stratagene) was used to covalently link the RNA to the filter. The filter was prehybridised and then hybridised with a 32P-random prime labelled ACO1 cDNA probe. The filter was washed in 0.2xSSPE/1%SDS at 65°C and then exposed to Kodak X-omat film between two intensifying screens at -70 for 24 hours. Subsequently the radioactivity in each band was measured by phophorimaging.

#### Example 1.13

10

15

20

25

30

# Ribonuclease protection analysis

RNA was extracted from cycloheximide treated leaves and fruit described above.

RNA probes were transcribed with T7 RNA polymerase at 20°C with  $\alpha$ -32P UTP (400Ci. mmol<sup>-1</sup>) as the sole source of UTP. After 1 hour incubation, RNAase-free DNAase was used to remove the template and the probe was further purified on 6%polyacrylamide/8M urea/1xTBE gels. The band containing the full length probe was visualised by autoradiography. The gel slice containing this RNA was excised and placed in 1ml probe elution buffer (0.5M ammonium acetate; 1mMEDTA; 0.2% SDS) for between 6 and 14 hours at 37°C. Typically, between 20m and 100µl of this would be co-precipitated with between 20 or 100µg of the RNA to be tested plus two yeast RNA controls. The precipitated RNAs were redissolved in 30µl hybridisation solution (80% formamide; 40mM PIPES/NaOH; 0.4M sodium acetate; 1mM EDTA pH should be 6.4) heated to 65°C for 10 minutes and hybridised at 42°C for between 2 to 14 hours. The longer hybridisation times were purely for convenience since we easily detected even rare transcripts after only 2 hours of hybridisation. 300µl of RNAase digestion buffer (5mM EDTA; 200mM sodium acetate; 10mM Tris/HCl. Final pH of solution should be 7.5) containing either RNAaseONE (Promega) or RNAase T1 (Ambion) was added to each tube except one containing yeast RNA which received RNAase digestion buffer without any ribonuclease. Incubation of the

digesting RNA was at either 25°C (RNAaseONE) or 37°C RNAaseT1) for 2-4 hours.

RNAaseONE was inactivated by the addition of SDS to 0.5% and the protected, double stranded RNAs were precipitated with ethanol and sodium acetate. RNAaseT1 was inactivated and the double stranded RNAs were precipitated by the addition of the inactivation/precipitation solution provided with the RNAase protection kit from Ambion.

The protected RNAs were redissolved in 5-10ul of denaturation/loading solution (80% formamide; 10mM EDTA; 0.1% bromophenol blue; 0.1% xylene cyanol; 0.1% SDS), heated to 95°C for 5 minutes and then separated by electrophoresis on a on 6-8% polyacrylamide/8M urea/1xTBE gels (the concentration of polyacrylamide depending on upon the sizes of the fragments to be separated). After electrophoresis, the gels were dried and exposed to Kodak x-omat film between two intensifying screens at -70 for the time indicated. The radioactivity was measured by phosphorimaging.

#### **EXAMPLE 2.0**

# Construction of synthetic heterologous DNA inverted repeat.

A synthetic heterologous DNA invert repeat (SEQ ID No 11) was constructed by annealing two sets of synthetic oligos (HIR1 SEQ ID No 12 and HIR2 SEQ ID No 13 and HIR 3 SEQ ID No 14 and HIR 4 SEQ ID No 15) and ligating each set into pSK-(bluescript, Statagene) independently, to create pHIRA and pHIRB respectively. The invert repeat structure was created by digesting both pHIRA/B vectors with XhoI and NcoI and ligating the 42bp fragment from pHIRB into the pHIRA. The invert repeat structure was isolated from the pSK-vector using KpnI and cloned into the KpnI site immediately downstream of the CaMV35S promoter in the plant expression cassette pSIN to create pHIR-SIN.

The tomato ACO1 cDNA (pTOM13) coding sequence was amplified from its original cloning vector pAT153 (promega) using two oligonucleotide primers, 5'

25 CTTTACCAAGAAGTGCACATGGAGAACTTCCC 3'SEQ ID No 6, and 5'GAATTGGGCCCTAAGCACTTGCAATTGG 3' SEQ ID No 7 which prime either side of the TOM13 coding sequence introducing ApaLI and ApaI sites respectively. The PCR product was digested with ApaLI and ApaI and the ends blunted in using Pfu polymerase (Stratagene). The blunt PCR fragment was ligated into the SmaI site downstream of the invert repeat structure of pHIR-SIN to create pSIN-HIR-ACO.

The plant expression cassette from pHIR-ACO was isolated using AgeI and ligated into the binary vector pVB6 AgeI site to create pHIR-ACO SEQ ID No 10. The insert was orientated using restriction analysis to ensure that all the ORF that will be active in the plant were unidirectional. pHIR-ACO was transformed into *A.tumafaciens* LBA4404: and this used to transform tomato cotyledons (*Lycopersicum esculentum* var Ailsa Craig). Plants were regenerated from callus.

# Example 2.1

### 10 Identification of Transgenic Plants

DNA was extracted from single leaves and extracted as described previously. Plants containing the HIR-ACO T-DNA insert were identified by PCR using an internal TOM13 sense primer (5' GCTGGACTCAAGTTTCAAGCCAAAG 3'SEQ ID No 8) and a NOS 3'UTR (untranslated region) specific antisense primer

(5'CCATCTCATAAATAACGTCATGC3' SEQ ID No 9)

# Example 2.2

20

#### **ACC-oxidase assays**

ACC-oxidase activity was measured as the ability of plant tissue to convert exogenous 1-aminocyclopropane-1-1carboxylic acid (ACC) to ethylene. Small leaves were removed from shoots and wounded with a scalpel before being placed into a 2ml sealable vial, and left for 30minutes. The vials were then sealed and left for an hour at room temperature, after which the ethylene in the head space was measured by gas chromatography as described my Smith et al., 1986. Ethylene was also measured from wildtype, over-expressing (C12) and antisense down-regulated plant material.

WO 98/53083

- 22 -

SEQUENCE LISTING

5	(1) GENERAL INFORMATION:	
10	(i) APPLICANT:  (A) NAME: ZENECA LIMITED  (B) STREET: 15 STANHOPE GATE  (C) CITY: LONDON  (D) STATE: LONDON	
15	(E) COUNTRY: UNITED KINGDOM (F) POSTAL CODE (ZIP): WIY 6LN (G) TELEPHONE: 01344 414521 (H) TELEFAX: 01344 481112 (I) TELEX: 847556	
	(ii) TITLE OF INVENTION: GENE SILENCING	
20	(iii) NUMBER OF SEQUENCES: 15	
20	<ul> <li>(iv) COMPUTER READABLE FORM:</li> <li>(A) MEDIUM TYPE: Floppy disk</li> <li>(B) COMPUTER: IBM PC compatible</li> <li>(C) OPERATING SYSTEM: PC-DOS/MS-DOS</li> <li>(D) SOFTWARE: PatentIn Release #1.0, Version #1.30 (EPO)</li> </ul>	
	(v) CURRENT APPLICATION DATA: APPLICATION NUMBER:	
30	(2) INFORMATION FOR SEQ ID NO: 1:	
35	<ul> <li>(i) SEQUENCE CHARACTERISTICS:         <ul> <li>(A) LENGTH: 3681 base pairs</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: unknown</li> </ul> </li> </ul>	
	(D) TOPOLOGY: unknown	
40	<pre>(ii) MOLECULE TYPE: other nucleic acid</pre>	
	(vii) IMMEDIATE SOURCE: (B) CLONE: SEQ ID NO 1	
45	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:	
	AAATTTGATA GATTCAGTTT TTATGTTTTT AGTGCTGATT ACAACATTGA AATTCTAAAT	60
50	TTAGAATTTA ATATTTATTA AATGTTAGTG CATTTATACA AATAACATAT TACATCTCAA	120
	ATAATATTGA GTTTGTTAGA TTTTATTTGC CCTGATTTCT TATCATAAAT AGGTTTTCCT	180
55	TTTAGGAAAA GGTTTTGAAT TGACTATTCT TTTTTTGGTA GGAAAAAGTT TAGGACTCTA	240
	TAAATAGAGG CATGTTCCTT CTAACTTAAT TAGCATTCAC AATGTAGTTT TAAGGGCTTT	300
<b>60</b>	GAGAGTTTTG GTTAGAGGGA GAATTTGTGA ACCTCTCATG TATTCCGAGT GAATTGGTTG	360
60	AGGTTGTTTC CCTCTGTATT TTGTACTCTC ATGTTTATAG TGGATTGCTC ATTTCCTTTG	420
	TGGACGTAGG TCGATTGACC GAACCACGTT AAATTTTTGT GTCTTTTGGT ATATTTCCTG	480
	TTCTTCTTAC TCGTGGTCTT TCGAGGTTTG CTTTGCTAGC TTCCGCGTTT ACACCTGCTT	540

ATTTTCGGTC CTAACAAGTG GTATCAGAGC CAGATTCAAT AATGGAGTCA GGTGTAGTGG 600 TTCGATAATC GATGATTGAA CCAAGTTAGA AAGAGGTGTT CATCTTGACG GGTGTAGTTC 660 5 TAGCCGCAAC CTTTTTGACA GTAATGAAGA TTTTGATGGA GAAATTGTTT CAGAGAGGTT 720 CTCTGTGTTG AGACATAAAT TTTGTAAAGG AGATTATGGA GAGGAGAAGC AAGTTGTTGA 780 AGATTAAGTA AAGAAGGTGG ACAAATCTAT TTTGTCAGAA ATTCAGGCCA AGGGGGAGAT 10 840 TTGTTGGGTT TTATTTGCCC TGATTTTTTA CCATAAATAG GTTTTCCTTT AAGGAAAAGG 900 TTTTGAATTG ACTATTCTTT TTTTGGTAGG AAAAGGTTTA GGATTCTATA AATAGAGGCA 960 15 TGTTCCTTCT AACTTAATTA GCATTCACAA TGTAGTTTTA AGGGCTTTGA GAGTTTTGGT 1020 TAGAGGGAGA ATTTGTGAAC CTCTCATGTA TTCCGAGTGA ATTGGTTGAG GTTGTTTCCC 1080 20 TCTGTATTTT GTACTCTCAT GTTTATAGTG GATTGCTCAT TTCCTTTGTG GACGTAGGTC 1140 GATTGACCGA ACCACGTTAA ATCTTTGTGT CTTTTGGTAT ATTTCTCGTT GTCTTCTTAC 1200 TCGTGGTCTT TCGAGGTTTG CTTTGCTAGC TTCCGCGTTT ACACCTGCTT ATTTGCGGTC 1260 25 CTAACAGAGT TCGATGGGTT GAATCTATAA AAAGAAAAAT ATACTCGTGA TTCACGATTA 1320 TTTATATGAA AATATAATAA ATATTGAATT TCCTTTGCTA TTTCTTATGT TTACGTCTTT 1380 30 ATATTCAAA TTATTCCACC AATACTGACA AGCCCTAGGC CATCTCTAGG AAATTCATAC 1440 AATTTTTTT TTGTTGTTAA CTAGTTAAAT TGGCAGCCTT AAAGATTATT GTAAAATTCA 1500 AGGCAACTTC CTCAAGTACT ACAACTACAT TGTAACATCC CAGTCAAAGT GTCCTAAAAT 1560 35 TTTATAAAAT TTGACACATG AAACAATAGC ACAATAAATT TTAGTACTAT TGCAGCCATG 1620 GCCCATAAGG CATCATGTAT TATAGTCAAA ATGGGTCCTT TTCCAATTTG TCTTGATCCC 1680 AAAATCCCTT TGTAGGTAAG ATGGTTCAAC AAGGAACTAT GACTCTTAAG GTAGACTTGG 1740 40 ACTCATAGAC TTGTCATAAC TCATAAAGAC TTGGAATATA ATAATTATTC ATTTAAATTA 1800 TAATTCTCTA CTTTAATATC TTCTACTATA AATACCCTTT CAAAGCCTCA TTATTTGTAC 1860 45 . ATCAAACATT GATATTCATC TCTTCAATCT TTTGTATTCA CATATTCTAT TTATTCAATA 1920 CACTTAGGAA AACACTTTAC CAAGAAATTA AGATGGAGAA CTTCCCAATT ATTAACTTGG 1980 AAAAGCTCAA TGGAGATGAG AGAGCCAACA CCATGGAAAT GATCAAAGAT GCTTGTGAGA 2040 50 ATTGGGGCTT CTTTGAGGTA ATCATAAATT ACATAAACAT ATTAATATGT TTGTTTCAAT 2100 TTATCAGTCA TACTTTTCTC TGTTTTAAAA TTAATGTCAC TTTCAATATT TAATAATTCG 2160 55 CATGACATGT TTATAACACA ACAAGATATA GGTTACATTT TGATACATTA TATATAACTT 2220 CTGTCACACG ACTCAAAAGT CTTTCTTAAT TTCTTGAATT CAATGATCGA TCAAACTAAG 2280 60 ACACGTAAAA TGAAACGGGG AATAGTAATT CTGTTTGCTT ATGTGATCAT TGTAGTTGGT 2340 GAACCATGGA ATTCCACATG AAGTAATGGA CACAGTAGAG AAAATGACAA AGGGACATTA 2400 CAAGAAGTGC ATGGAACAGA GGTTTAAGGA ACTAGTGGCA AGTAAGGGAC TTGAGGCTGT 2460

	TCAAGCTGAG	GTTACTGATT	TAGATTGGGA	AAGCACTTTC	TTCTTGCGCC	ATCTTCCTAC	2520
5	TTCTAATATC	TCTCAAGTAC	CCGATCTTGA	CGAAGAATAC	AGGTACATAC	ATGTGTCCTA	2580
	CATATTGCGT	ATATAATAAA	TAAACACAAA	ATTTAAGTTA	TATACGCTGA	CAGTATAACT	2640
	AATTATAATG	TTGTACCAAA	TGATGCAGAG	AGGTGATGAG	AGATTTTGCT	AAAAGATTGG	2700
10	AGAAATTGGC	TGAGGAGTTA	CTTGACTTAC	TCTGTGAAAA	TCTTGGACTT	GAAAAGGTT	2760
	ACTTGAAAAA	TGCCTTTTAT	GGATCAAAAG	GTCCCAACTT	TGGTACTAAA	GTTAGCAACT	2820
15	ATCCACCATG	TCCTAAGCCC	GATTTGATCA	AGGGACTCCG	CGCTCATACA	GACGCAGGAG	2880
	GCATCATACT	TCTGTTCCAA	GATGACAAAG	TGAGTGGCCT	TCAACTCCTC	AAAGACGAGC	2940
	AATGGATCGA	TGTTCCTCCC	ATGCGCCACT	CTATTGTGGT	TAACCTTGGT	GACCAACTTG	3000
20	AGGTACAAGA	TTCACTAAGT	GTGTGTGTTT	TTATCACTAT	AACTTAGAAG	TAGTAACTAA	3060
	AAATGGTATT	AATGAAATGT	TATAAAAACA	GGTGATCACT	AACGGGAAGT	ACAAGAGTGT	3120
25	GCTGCACAGA	GTAATTGCAC	AAACAGACGG	GACACGAATG	TCATTAGCCT	CATTTTACAA	3180
	TCCAGGAAGT	GATGCAGTAA	TATATCCAGC	AAAAACTTTG	GTTGAAAAAG	AGGCAGAGGA	3240
	AAGTACACAA	GTGTATCCAA	AGTTTGTGTT	TGATGATTAC	ATGAAGTTAT	ATGCTGGACT	3300
30	CAAGTTTCAA	GCCAAAGAGC	CAAGATTTGA	AGCAATGAAG	GCAATGGAAA	GTGATCCAAT	3360
	TGCAAGTGCT	TAGATCCCAA	TTCAATTAAA	AAAATTGGTG	TTTGAAAAAT	АТАТТТАААТ	3420
35	ATAGCAATCT	ATGTATACAC	ATTATTTGCT	CTTCTTATGT	ATGGTAGAAT	AAAGTTAGTA	3480
	TTAAAAAAGA	TTGTGATTTG	CTGCATATGT	ATCAAAAAGA	GTCCTAATAT	TTGTATCTAT	3540
	AAATAAGGTG	CCTTCTAGTG	AAATTATACA	ААТААТААТТ	TGGAGTGTAT	TGTTCTTTCT	3600
10	CATGTAATTT	AACTTTTAAG	TATCTTACTT	TACAATATAC	TGTTCACTTA	TTGAACATAT	3660
	TGAGTGATAT	ATTGACTCAA	T				3681
15	(2) INFORMA	ATION FOR SE	Q ID NO: 2:				
		QUENCE CHAR (A) LENGTH:					
	(	B) TYPE: nu C) STRANDED	cleic acid			·	
0	(	D) TOPOLOGY	: unknown				
	(ii) MO	LECULE TYPE (A) DESCRI		leic acid lesc = "PCR	PRIMER"		

60 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:

(vii) IMMEDIATE SOURCE:
 (B) CLONE: PCR PRIMER SEQ ID NO 2

CATTCATCTC TTCAATCTTT TG

55

(2) INFORMATION FOR SEQ ID NO: 3:

5	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 26 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: unknown  (D) TOPOLOGY: unknown		
10	<pre>(ii) MOLECULE TYPE: other nucleic acid</pre>		
15	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:		
	CTTAATTTCT TGGTAAAGTG TTTTCC		26
20	(2) INFORMATION FOR SEQ ID NO: 4:	•	
25	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 33 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: unknown  (D) TOPOLOGY: unknown		
30	<pre>(ii) MOLECULE TYPE: other nucliec acid</pre>		
35	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4: ATGGAGAACT TCCCAATTAT TAACTTGGAA AAG		33
40	(2) INFORMATION FOR SEQ ID NO: 5:		
45	<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 30 base pairs</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: unknown</li> <li>(D) TOPOLOGY: unknown</li> </ul>		
50	<pre>(ii) MOLECULE TYPE: other nucleic acid</pre>		
55	(B) CLONE: PCR PRIMER SEQ ID NO 5		
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:		
	CTAAGCACTT GCAATTGGAT CACTTTCCAT		30
60	(2) INFORMATION FOR SEQ ID NO: 6:		
	<ul><li>(i) SEQUENCE CHARACTERISTICS:</li><li>(A) LENGTH: 32 base pairs</li><li>(B) TYPE: nucleic acid</li></ul>		

	(C) STRANDEDNESS: unknown (D) TOPOLOGY: unknown	
5	<pre>(ii) MOLECULE TYPE: other nucleic acid</pre>	
	(vii) IMMEDIATE SOURCE: (B) CLONE: PCR PRIMER SEQ ID NO 6	
10		
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 6:	
15	CTTTACCAAG AAGTGCACAT GGAGAACTTC CC	32
13	(2) INFORMATION FOR SEQ ID NO: 7:	
20	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 28 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: unknown  (D) TOPOLOGY: unknown	
25	<pre>(ii) MOLECULE TYPE: other nucleic acid</pre>	
	(vii) IMMEDIATE SOURCE: (B) CLONE: PCR PRIMER SEQ ID NO 7	
30		
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 7:	
35	GAATTGGGCC CTAAGCACTT GCAATTGG	28
	(2) INFORMATION FOR SEQ ID NO: 8:	
40	<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 25 base pairs</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: unknown</li> <li>(D) TOPOLOGY: unknown</li> </ul>	
45	<pre>(ii) MOLECULE TYPE: other nucleic acid</pre>	
	(vii) IMMEDIATE SOURCE: (B) CLONE: PCR PRIMER SEQ ID NO 8	
50		
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 8:	
55	GCTGGACTCA AGTTTCAAGC CAAAG	25
	(2) INFORMATION FOR SEQ ID NO: 9:	
60	<ul><li>(i) SEQUENCE CHARACTERISTICS:</li><li>(A) LENGTH: 23 base pairs</li><li>(B) TYPE: nucleic acid</li><li>(C) STRANDEDNESS: unknown</li><li>(D) TOPOLOGY: unknown</li></ul>	

(ii) MOLECULE TYPE: other nucleic acid

1020

- 27 -

	(A) DESCRIPTION: /desc = "PCR PRIMER"	
5 .	(vii) IMMEDIATE SOURCE: (B) CLONE: PCR PRIMER SEQ ID NO 9	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 9:	
10	CCATCTCATA AATAACGTCA TGC	23
	(2) INFORMATION FOR SEQ ID NO: 10:	
15	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 1949 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: unknown  (D) TOPOLOGY: unknown	
20	(ii) MOLECULE TYPE: other nucleic acid (A) DESCRIPTION: /desc = "DNA"	
25	(vii) IMMEDIATE SOURCE: (B) CLONE: PHIR-ACO SEQ ID NO 10	
30	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 10:	
50	ACCGGTGAAT TCCCATGGAG TCAAAGATTC AAATAGAGGA CCTAACAGAA CTCGCCGTAA	60
	AGACTGGCGA ACAGTTCATA CAGAGTCTCT TACGACTCAA TGACAAGAAG AAAATCTTCG	120
35	TCAACATGGT GGAGCACGAC ACGCTTGTCT ACTCCAAAAA TATCAAAGAT ACAGTCTCAG	180
	AAGACCAAAG GGCAATTGAG ACTTTTCAAC AAAGGGTAAT ATCCGGAAAC CTCCTCGGAT	240
40	TCCATTGCCC AGCTATCTGT CACTTTATTG TGAAGATAGT GGAAAAGGAG GTGGCTCCTA	300
40	CAAATGCCAT CATTGCGATA AAGGAAAGGC CATCGTTGAA GATGCCTCTG CCGACAGTGG	360
	TCCCAAAGAT GGACCCCCAC CCACGAGGAG CATCGTGGAA AAAGAAGACG TTCCAACCAC	420
45	GTCTTCAAAG CAAGTGGATT GATGTGATAT CTCCACTGAC GTAAGGGATG ACGCACAATC	480
	CCACTATCCT TCGCAAGACC CTTCCTCTAT ATAAGGAAGT TCATTTCATT	540
50	AGGGTACCGC GGCACGCCCA GCCACGCCGC TGAGCCCGCA GTTTCTCGAG TTTCTGCGGG	600
50	CTCAGCGGCG TGGCTGGCCG TGCCGCCCAT GGGCGGCGGG GCTGCAGGAA TTCGATATCA	660
	AGCTTATCGA TACCGTCGAC CTCGAGGGGG GGCCCGGTAC CGGATCCCCT GCACATGGAG	720
55	AACTTCCCAA TTATTAACTT GGAAAAGCTC AATGGAGATG AGAGAGCCAA CACCATGGAA	780
	ATGATCAAAG ATGCTTGTGA GAATTGGGGC TTCTTTGAGT TGGTGAACCA TGGAATTCCA	840
60	CATGAAGTAA TGGACACAGT AGAGAAAATG ACAAAGGGAC ATTACAAGAA GTGCATGGAA	900
JU	CAGAGGTTTA AGGAACTAGT GGCAAGTAAG GGACTTGAGG CTGTTCAAGC TGAGGTTACT	960

GATTTAGATT GGGAAAGCAC TTTCTTCTTG CGCCATCTTC CTACTTCTAA TATCTCTCAA

	GTACCCGATC TTGACGAAGA ATACAGAGAG GTGATGAGAG ATTTTGCTAA AAGATTGGAG	1080
	AAATTGGCTG AGGAGTTACT TGACTTACTC TGTGAAAATC TTGGACTTGA AAAAGGTTAC	1140
5	TTGAAAAATG CCTTTTATGG ATCAAAAGGT CCCAACTTTG GTACTAAAGT TAGCAACTAT	1200
	CCACCATGTC CTAAGCCCGA TTTGATCAAG GGACTCCGCG CTCATACAGA CGCAGGAGGC	1260
	ATCATACTTC TGTTCCAAGA TGACAAAGTG AGTGGCCTTC AACTCCTCAA AGACGAGCAA	1320
10	TGGATCGATG TTCCTCCCAT GCGCCACTCT ATTGTGGTTA ACCTTGGTGA CCAACTTGAG	1380
	GTGATCACTA ACGGGAAGTA CAAGAGTGTG CTGCACAGAG TAATTGCACA AACAGACGGG	1440
15	ACACGAATGT CATTAGCCTC ATTTTACAAT CCAGGAAGTG ATGCAGTAAT ATATCCAGCA	1500
	AAAACTTTGG TTGAAAAAGA GGCAGAGGAA AGTACACAAG TGTATCCAAA GTTTGTGTTT	1560
20	GATGATTACA TGAAGTTATA TGCTGGACTC AAGTTTCAAG CCAAAGAGCC AAGATTTGAA	1620
	GCAATGAAGG CAATGGAAAG TGATCCAATT GCAAGTGCTT AGGGGAGCCT GGGCCCCTGC	1680
	AGGTCGTTCA AACATTTGGC AATAAAGTTT CTTAAGATTG AATCCTGTTG CCGGTCTTGC	1740
25	GATGATTATC ATATAATTTC TGTTGAATTA CGAATTGCAT GTAATAATTA ACATGTAATG	1800
	CATGACGTTA TTTATGAGAT GGGTTTTTAT GATTAGAGTC CCGCAATTAT ACATTTAATA	1860
30	CGCGATAGAA AACAAAATAT AGCGCGCAAA CTACCATAAA TTATCGCGCG CGGTGTCATC	1920
, ,	TATGTTACTA GATCGGGAAG CTTACCGGT	1949
	(2) INFORMATION FOR SEQ ID NO: 11:	
35	<ul><li>(i) SEQUENCE CHARACTERISTICS:</li><li>(A) LENGTH: 78 base pairs</li></ul>	
	(B) TYPE: nucleic acid (C) STRANDEDNESS: unknown	
40	(D) TOPOLOGY: unknown	
	<pre>(ii) MOLECULE TYPE: other nucleic acid    (A) DESCRIPTION: /desc = "PCR PRIMER"</pre>	
45	(vii) IMMEDIATE SOURCE: (B) CLONE: SYNTHERTIC INVERTED REPEAT SEQ ID NO 11	
50	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 11:	
	GCGGCACGGC CAGCCACGCC GCTGAGCCCG CAGTTTCTCG AGTTTCTGCG GGCTCAGCGG	60
	CGTGGCTGGC CGTGCCGC	78
55	(2) INFORMATION FOR SEQ ID NO: 12:	
	(i) SEQUENCE CHARACTERISTICS:	
60	(A) LENGTH: 75 base pairs (B) TYPE: nucleic acid	
	(C) STRANDEDNESS: unknown (D) TOPOLOGY: unknown	
	(ii) MOLECULE TYPE: other nucleic acid	

PCT/GB98/01450

WO 98/53083

- 29 -

	(A) DESCRIPTION: /desc = "PRIMER"	
5	(vii) IMMEDIATE SOURCE: (B) CLONE: PCR PRIMER SEQ ID NO 12	
10	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 12:	
10	GCGGGTACCG CGGCACGGCC AGCCACGCCG CTGAGCCCGC AGTTTCTCGA GGATGGGTTG	60
	GCTCCATGGG CGGCG	75
15	(2) INFORMATION FOR SEQ ID NO: 13:	
20	<ul><li>(i) SEQUENCE CHARACTERISTICS:</li><li>(A) LENGTH: 75 base pairs</li><li>(B) TYPE: nucleic acid</li><li>(C) STRANDEDNESS: unknown</li><li>(D) TOPOLOGY: unknown</li></ul>	
25	(ii) MOLECULE TYPE: other nucleic acid (A) DESCRIPTION: /desc = "PCR PRIMER"	
	(vii) IMMEDIATE SOURCE: (B) CLONE: PCR PRIMER SEQ ID NO 13	
30		
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 13:	
35	CGCCGCCCAT GGAGCCAACC CATCCTCGAG AAACTGCGGG CTCAGCGGCG TGGCTGGCCG	60
-	TGCCGCGGTA CCCGC	75
	(2) INFORMATION FOR SEQ ID NO: 14:	
40	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 66 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: unknown  (D) TOPOLOGY: unknown	
. 45	(ii) MOLECULE TYPE: other nucleic acid (A) DESCRIPTION: /desc = "PCR PRIMER"	
50	(vii) IMMEDIATE SOURCE: (B) CLONE: PCR PRIMER SEQ ID NO 14	
55	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 14:	
	GGGGCGCCGC TCGAGTTTCT GCGGGCTCAG CGGCGTGGCT GGCCGTGCCG CCCATGGCGC	60
	ATCGGG	66
60	(2) INFORMATION FOR SEQ ID NO: 15:	
	(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 66 base pairs	

	1	Λ	
•	3	U	-

	(B) TYPE: nucleic acid (C) STRANDEDNESS: unknown (D) TOPOLOGY: unknown	
5	<pre>(ii) MOLECULE TYPE: other nucleic acid     (A) DESCRIPTION: /desc = "PCR PRIMER"</pre>	
10	(vii) IMMEDIATE SOURCE: (B) CLONE: PCR PRIMER SEQ ID NO 15	
15	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 15:	
13	CCCTAGGCGC CATGGGCGGC ACGCCAGCC ACGCCGCTGA GCCCGCAGAA ACTCGAGCGG	60
	CGCCCC	66

20

5

15

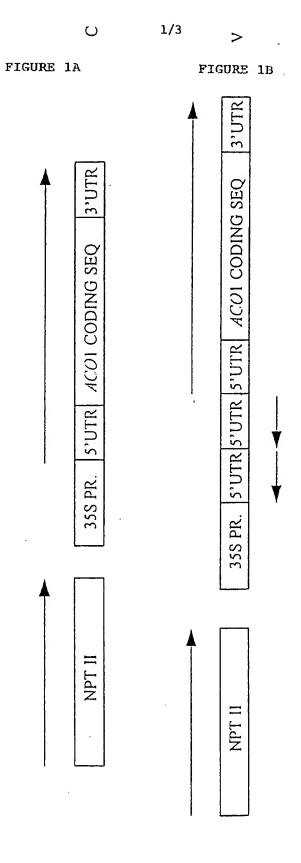
#### **CLAIMS**

- A vector for enhancing the inhibition of a selected target gene within an organism,
   comprising a gene silencing vector characterised in that the said gene silencing vector includes a inverted repeat of all or part of a polynucleotide region within the vector.
- 2. A vector as claimed in claim 1, in which the inverted repeat sequence is a synthetic polynucleotide sequence and its inverted repeat sequence.
- 10 3. A vector as claimed in claim 1, in which the inverted repeat sequence is an inverted repeat of all or part of the said gene silencing vector.
  - 4. A vector as claimed in claim 3, in which the inverted repeat sequence is an inverted repeat of the 5'-untranslated region of the gene silencing vector.
  - 5. A method as claimed in any of claims 1 to 4, in which the inverted repeat is separated from the polynucleotide region by a sequence of nucleotides.
- A method of controlling the expression of a DNA sequence in a target organism,
   comprising inserting into the genome of said organism an enhanced gene silencing vector as claimed in any of claims 1 to 4.
  - 7. A vector for enhanced gene silencing comprising in sequence a promoter region, a 5'untranslated region, a transcribable DNA sequence and a 3'-untranslated region
    containing a polyadenylation signal, characterised in that the said construct includes
    an inverted repeat of a region of said construct.
  - 8. A vector as claimed in claim 7 in which the inverted repeat is a fragment of the 5'untranslated region of the said construct.

25

- 32 -

- 9. A vector as claimed in claim 7 or claim 8, in which the inverted repeat is separated from the selected fragment by a sequence of nucleotides acting as a spacer.
- 10. A vector as claimed in claim 7 or 8 or 9, in which the construct includes a double copy of the inverted repeat.
  - 11. A vector as claimed in any of claims 7 to 10, in which the vector two tandem copies of the inverted repeat.
- 10 12. A DNA construct for the inhibition of gene expression comprising in sequence a promoter region, a 5'-untranslated region, a transcribable DNA sequence and a 3'-untranslated region containing a polyadenylation signal, characterised in that the said 5'-untranslated region is contiguous with a pair of tandem inverted repeats of said 5'-untranslated region.



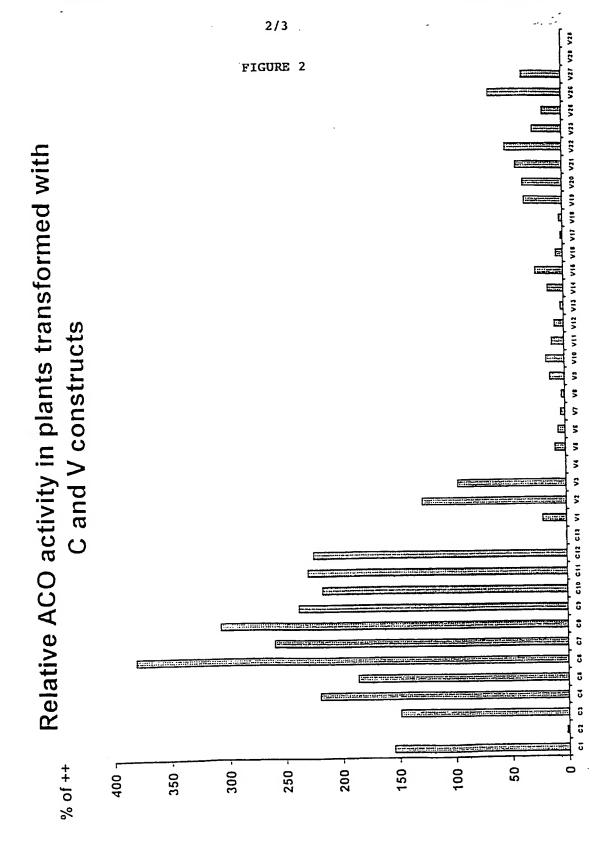
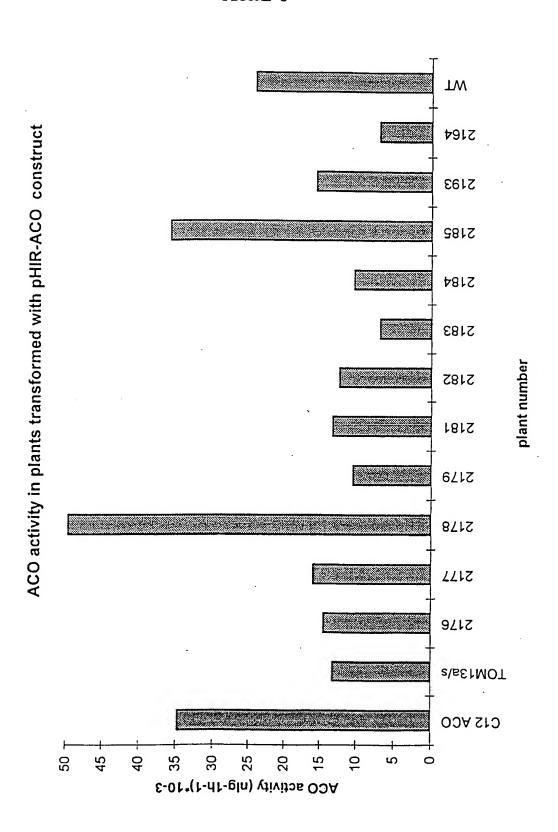


FIGURE 3



# INTERNATIONAL SEARCH REPORT

Ir ational Application No

		1 01/40 30	/ 01730
A. CLASSII IPC 6	FICATION OF SUBJECT MATTER C12N15/63 C12N15/82	-	
According to	International Patent Classification (IPC) or to both national classification and IP	<u>c</u>	
	SEARCHED		
IPC 6	cumentation searched (classification system followed by classification symbols) C12N		
Documentat	ion searched other than minimum documentation to the extent that such docume	ents are included in the fields se	arched
Electronic d	ata base consulted during the international search (name of data base and, who	ere practical, search terms used	,
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passa	ges	Relevant to claim No.
Х	DORER D. AND HENIKOFF S.: "Expansions transgene repeats cause heterochromati	of	1,3,7
	formation and gene silencing in Drosophila" CELL, vol. 77, no. 7, 1 July 1994, pages 993-1002, XP002075449 * see the whole document, esp. discuss		
A	figure 5 *  ASSAAD F. ET AL.: "Epigenetic		1 12
^	repeat-induced gene silencing (RIGS) in Arabidopsis" PLANT MOLECULAR BIOLOGY, vol. 22, no. 6, September 1993, pages 1067-1085, XP002075450 * See the whole document, esp. p.1081 col. 1.30 - r. col. 1.3 *		1-12
X Furt	ther documents are listed in the continuation of box C.	atent family members are listed	in annex.
"A" docum consider filling of the critarian which critarian other "P" docum later t	ent defining the general state of the art which is not dered to be of particular relevance cited document but published on or after the international date carn which may throw doubts on priority claim(s) or is cited to establish the publication date of another or or other special reason (as specified) "Y" document referring to an oral disclosure, use, exhibition or means ent published prior to the international filing date but than the priority date claimed "&" documents and the priority date claimed "X" documents and the priority date claimed	nent of particular relevance; the tot be considered novel or canno ve an inventive step when the di nent of particular relevance; the tot be considered to involve an ir ment is combined with one or m ts, such combination being obvice ant.	the application but leavy underlying the claimed invention to considered to course it staken alone claimed invention leaventive step when the ore other such docurus to a person skilled
		of mailing of the international sec $14/09/1998$	arch report
Name and	European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tol. (21 70) 240 2040 TV 21 551 pp. pl	rized officer Kania, T	

1

# INTERNATIONAL SEARCH REPORT

! national Application No PCT/GB 98/01450

ategory *	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
<del></del>	Citation of document, with indication, where appropriate, of the relevant passages	Refevant to claim No.
	TEN LOHUIS M. ET AL.: "A repetitive DNA fragment carrying a hot spot for de novo DNA methylation enhances expression variegation in tobacco and petunia" PLANT JOURNAL, vol. 8, no. 6, December 1995, pages 919-932, XP002075451 cited in the application see the whole document	1-12
1	WO 97 01952 A (DNA PLANT TECHN CORP) 23 January 1997 * see esp. p.13-15 *	1-12
1	WO 93 23551 A (SEYMOUR GRAHAM BARRON ;TUCKER GREGORY ALAN (GB); GRIERSON DONALD () 25 November 1993 see the whole document	1-12
1	GRIERSON, DON: "Silent genes and everlasting fruits and vegetables" NAT. BIOTECHNOL. (1996), 14(7), 828-829 CODEN: NABIF9;ISSN: 1087-0156, XP002075452 see the whole document	1-12
	BLUME B ET AL: "Identification of transposon-like elements in non-coding regions of tomato ACC oxidase genes." MOLECULAR AND GENERAL GENETICS, (1997 APR 16) 254 (3) 297-303. JOURNAL CODE: NGP. ISSN: 0026-8925., XP002075453 see the whole document	1-12
1	HAMILTON, A. J. ET AL: "Post-transcriptional gene-silencing in tomato" MECH. APPL. GENE SILENCING, 'EASTER SCH. AGRIC. SCI.!, 57TH (1996), MEETING DATE 1995, 105-117. EDITOR(S): GRIERSON, DONALD; LYCETT, GRANTLEY W.; TUCKER, GREGORY A. PUBLISHER: NOTTINGHAM UNIVERSITY PRESS, NOTTINGHAM, UK. CODEN: 63NBAT, XP002075454 see the whole document	1-12
ī	STAM, M. ET AL: "Post-transcriptional silencing of chalcone synthase in Petunia by inverted transgene repeats" PLANT J., (19970700) VOL. 12, NO. 1, PP. 63-82. ISSN: 0960-7412., XP002075455 see the whole document	1-12

1

# INTERNATIONAL SEARCH REPORT

information on patent family members

national Application No PCT/GB 98/01450

Patent document cited in search report	t	Publication date		atent family - member(s)	Publication date
WO 9701952	A	23-01-1997	AU EP	6402996 A 0837624 A	05-02-1997 29-04-1998
WO 9323551	A	25-11-1993	AU EP ZA	4079493 A 0644942 A 9303361 A	13-12-1993 29-03-1995 23-09-1994

9720148.7

PCT/GB98/00442

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:		(11) International Publication Number:	WO 99/15682
C12N 15/82, A01H 3/00	A2	(43) International Publication Date:	1 April 1999 (01.04.99)

GB

PCT/GB98/02862

(21) International Application Number: (22) International Filing Date: 22 September 1998 (22.09.98) (30) Priority Data:

22 September 1997 (22.09.97)

12 February 1998 (12.02.98)

(71) Applicant (for all designated States except US): PLANT

BIOSCIENCE LIMITED [GB/GB]; Norwich Research Park, Colney Lane, Norwich, Norfolk NR4 7UH (GB).

(72) Inventors; and (75) Inventors/Applicants (for US only): BAULCOMBE, David, Charles [GB/GB]; 63 Caernarvon Road, Norwich, Norfolk NR2 3HY (GB). VOINNET, Olivier [FR/GB]; 21 Abinger Way, Eaton, Norwich, Norfolk NR4 6LJ (GB). LEDERER, Carsten, Werner [DE/DE]; In der Münchwiese 8, D-56566 Neuwied (DE).

(74) Agents: SIMON, Kremer et al.; Mewburn Ellis, York House, 23 Kingsway, London WC2B 6HP (GB).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

#### Published

Without international search report and to be republished upon receipt of that report.

(54) Title: GENE SILENCING MATERIALS AND METHODS

(57) Abstract

Disclosed are methods for silencing a target nucleotide sequence (preferably representing one or more endogenous genes, preferably in a systemic fashion) which is present in a first part of the plant, which method comprises transiently introducing into the cytoplasm of a cell in a second part of the plant, which cell comprises a nucleic acid encoding the target sequence and which is remote from said first part of the plant, a nucleic acid construct.

# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	Fi	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea -	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	ŧΤ	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

1

#### GENE SILENCING MATERIALS AND METHODS

#### TECHNICAL FIELD

The present invention relates to methods and materials for controlling gene silencing in plants, and various processes and products employing these methods and materials.

#### 10 PRIOR ART

35

Co-suppression and anti-sense suppression of endogenous genes

- 15 It is known that stably-integrated transgenes (referred to as 'STgenes' or 'intGENES' below) which may be constitutively expressed may be used to suppress homologous endogenous ('HEgenes') plant genes. discovered originally when chalcone synthase transgenes 20 in petunia caused suppression of the endogenous chalcone synthase genes. Subsequently it has been described how many, if not all plant genes can be "silenced" by transgenes. Gene silencing requires sequence homology between the transgene and the gene that becomes silenced 25 (Matzke, M. A. and Matzke, A. J. M. (1995), Trends in Genetics, 11: 1-3). This sequence homology may involve promoter regions or coding regions of the silenced gene (Matzke, M. A. and Matzke, A. J. M. (1993) Annu. Rev. Plant Physiol. Plant Mol. Biol., 44: 53-76, Vaucheret, H. 30 (1993) C. R. Acad. Sci. Paris, 316: 1471-1483, Vaucheret.
- (1993) C. R. Acad. Sci. Paris, 316: 1471-1483, Vaucheret
  H. (1994), C. R. Acad. Sci. Paris, 317: 310-323,
  Baulcombe, D. C. and English, J. J. (1996), Current
  Opinion In Biotechnology, 7: 173-180, Park, Y-D., et al
  (1996), Plant J., 9: 183-194).

When coding regions are involved, the transgene able to cause gene silencing may have been constructed with a

2

promoter that would transcribe either the sense or the antisense orientation of the coding sequence RNA. In at least one example the coding sequence transgene was constructed without a promoter (Van Blokland, R., et al (1994), Plant J., 6: 861-877).

#### Co-suppression of transgenes

5

25

30

35

10 characteristics of transgenic plants that are not easily reconciled with conventional understanding of genetics.

For example the wide variation in STgene expression between sibling lines with a STgene construct is due in part to gene silencing: low expressers are those with a high level of gene silencing whereas high expressers are those in which gene silencing is absent or induced late in plant development. In this case there is no requirement for there to be an HEgene corresponding to the STgene (see e.g. Elmayan & Vaucheret (1996) Plant J., 9: 787-797.

#### Viral resistance

In addition to observations of STgenes, gs has also been implicated in virus resistance. In these cases various factors including ectopic DNA interactions<sup>6</sup>, DNA methylation<sup>7</sup>, transgene expression level<sup>8</sup> and double stranded RNA<sup>9</sup> have been proposed as initiators of gene silencing.

Additionally in non-transgenic plants, it has been demonstrated that leaves which develop subsequently to systematic spread of a virus in a plant contain lower levels of virus than do symptomatic leaves. This resistance may be similar in nature to transgene-induced gene silencing (see e.g. Ratcliff et al (1997) Science, 276: 1558-1560).

3

Cytoplasmically replicating viral constructs

Biosource Technologies, in WO 95/34668, have suggested the use of genetic constructions based on RNA viruses which replicate in the cytoplasm of cells to provide inhibitory RNA, either anti-sense or sense ("co-suppressor") RNA. The constructs were used to inhibit a particular HEgene (phytoene desaturase). Cells were transfected with the cytoplasmically-replicating genetic constructions in which the RNA encoding region is specific for the gene of interest. The hybrid viruses spread throughout the plant, including the non-inoculated upper leaves (as verified by transmission electron microscopy). System-wide gene silencing was reported following transfection.

GB patent application 9703146.2, and PCT/GB98/00442, both filed in the name of John Innes Centre Innovations
Limited, are hereby incorporated by reference. These applications, which were not published prior to the claimed priority date of the present application, discuss various constructs ('amplicons') which are intended to be stably integrated into the plant genome, and to generate cytoplasmically replicating constructs which are capable of eliciting gene silencing.

#### Silencing in animals

5

. 10

15

20

25

30

35

Fire et al (1998) Nature 391: 806-811 (not published prior to the claimed priority date of the present application) discusses the use of RNA, particularly double-stranded RNA, to achieve silencing of endogenous genes and GFP-transgenes in nematodes. The demonstrated interference effect was apparently able to cross cell-boundaries.

Applications for gene-silencing

4

In principle there is an enormous practical potential of gs for crop improvement. It is possible to silence genes conferring unwanted traits in the plant by transformation with transgene constructs containing elements of these genes. Examples of this type of application include qs of ripening specific genes in tomato to improve processing and handling characteristics of the harvested fruit; gs of genes involved in pollen formation so that breeders can reproducibly generate male sterile plants for the production of F1 hybrids; gs of genes involved in lignin biosynthesis to improve the quality of paper pulp made from vegetative tissue of the plant; gene silencing of genes involved in flower pigment production to produce novel flower colours; gene silencing of genes involved in regulatory pathways controlling development or environmental responses to produce plants with novel growth habit or (for example) disease resistance; elimination of toxic secondary metabolites by gene silencing of genes required for toxin production.

20

15

5

10

Gene silencing is also useful for investigating gene function in that it can be used to impose an intermediate or a null phenotype for a particular gene, which can provide information about the function of that gene in vivo.

25

30

35

A major complication in the practical exploitation of this phenomenon to date is the unpredictable and low occurrence of gene silencing. Therefore, it has not been realistic to attempt gene silencing in plants that are difficult to transform and for which it is difficult to produce many transformants. Similarly, it would be difficult to activate (and deactivate) gene silencing against several different traits or against several viruses in the same plant. Even with plants that are easy to transform the need to generate multiple lines limits the ease of exploitation of gene silencing.

#### INVENTION

The present inventors have now demonstrated a novel means of providing consistent, controlled, systemic gene silencing within a system, particularly a mature plant, which may (but is preferably not) a transgenic plant. This novel approach is clearly distinct from previously described approaches to gene silencing, for example, transwitch and antisense technologies, in that it describes a multicomponent system in which there is the potential to regulate the gene silencing spatially and optionally temporally.

The current invention is also distinct from the virusinduced gene silencing described previously by Biosource
Technologies. In the current invention there is no
absolute requirement that the transgenes conferring the
gene silencing or their transcripts are able to replicate
using viral components or through mechanisms that
resemble virus replication, although in certain
advantageous embodiments they may do so. Importantly, the
systemic silencing of the invention does not require that
the transgenes or their transcripts use virus-derived
mechanisms to move between cells (e.g. 'movement
proteins' as they are termed in the art).

These movement proteins are encoded by most (probably nearly all) plant viruses. Movement proteins are normally recognised by mutation analysis of a viral genome. Mutation of a movement protein gene affects the ability of a virus to spread in the infected plant but does not affect the ability of the virus to replicate. Examples of viral movement proteins identified in this way include the 30kDa protein of tobacco mosaic virus (Deom et al., 1987), the 25kDa, 12kDa and 8kDa triple gene block proteins of potato virus X (Figure 1C) (Angell

and Baulcombe, 1995; Angell et al., 1996; Verchot et al., 1998) and the tubule-forming protein of cowpea mosaic virus (van Lent et al., 1991). Some viruses also encode movement proteins specifically for translocation of the virus through the phloem of the plant. Examples of these long distance movement proteins include the 2b protein encoded in cucumber mosaic virus (Ding et al., 1995) and the 19kDa protein of tomato bushy stunt virus (Scholthof et al., 1995).

Until recently it has been considered that movement proteins open channels between plant cells and thereby mediate virus movement (Wolf et al., 1989). However it is now apparent that at least some of these proteins may also promote movement by suppression of a defence mechanism in the plant that blocks virus movement, which may itself be related to the gene silencing referred to hereinbefore. From these new findings, which are consistent with observations by Anandalakshmi et al. (1998) and Brigneti et al. (1998) [both in press] it is clear that movement proteins may be suppressors of gene silencing. Similarly the work of the present inventors suggests that certain proteins previously described only as pathogenicity proteins may also have a role in

Thus it can be appreciated that stronger, systemic, gene silencing is obtained if transgene constructs for gene silencing do not also lead to expression of gene silencing by viral movement proteins or pathogenicity proteins, which are a fundamental part of the prior art systems which rely on the activity of vectors based on RNA-viruses. Such systems may be incapable of mediating a TIGS effect (see e.g. Dougherty, W.G, et al Molecular Plant-Microbe Interactions, 1994: 7, 544-552).

suppressing a gene silencing signal.

7

The novel gene silencing system of this invention was first demonstrated using transgenic N. benthamiana stably transformed with the gene for green fluorescent protein (designated stGFP).

The workers demonstrated that the expression of stGFP could be silenced by the transient presence of a GFP reporter gene (which was designated trGFP to distinguish it from the stGFP) using strains of Agrobacterium tumefaciens carrying binary Ti plasmid vectors or using direct infiltration. The silencing was systemic in nature, occurring remotely from the sites of infection or infiltration.

15

25

30

10

5

This approach has suggested the existence of a previously unknown signalling mechanism in plants that mediates systemic gene silencing. The signal of silencing is genespecific and likely to be a nucleic acid that moves

20 between cells.

A systemic, sequence-specific signal of gene silencing which is initiated by the transient presence (not stable integration) in part of a plant of foreign initiator nucleic acid or nucleic acid complex (termed hereinafter 'fiNA') which need not be capable of autonomous replication in the cytoplasm of a plant cell or movement from cell to cell, but which generates a signal which may be propagated systemically is an entirely novel and unexpected concept in plant biology. The observation has a number of important (industrially applicable) properties. These properties, and the characteristics of the fiNA required achieve them, will be discussed in more detail hereinafter.

35

The work of the present inventors, with hindsight, is consistent with data from other published experimental

8

systems and could be a general feature of gene silencing in plants.

Thus transgenic petunia exhibiting transgene-induced silencing of the genes required for flower pigment 5 biosynthesis were shown to exhibit unusual and irregular patterns of pigmentation. These can perhaps be explained by an extracellular signal rather than by cell lineagedependent cues of gene silencing (see Jorgensen (1995) Science 268, 686-691). It should be stressed that in that 10 work the gene silencing of an HEgene (CHS) was induced in the test plants using a chimeric STgene. Although the paper speculates about a 2 state system of gene silencing, no information is given about how to switch gene silencing on.

15

20

25

30

Work by a different group demonstrated chitinase gene silencing in non-clonal sectors of transgenic tobacco (see Kunz et al (1996) Plant J. 10, 4337-450.). This work demonstrated both the 'self' inactivation of the expression of STgenes alone, plus inactivation of HEgenes by STgenes. The work also suggested that gene silencing was a post-transcriptional event. It was demonstrated that gene silencing occurred stochastically in progeny of transgenic plants but that 'resetting' to the nonsilenced state occurred non-stochastically in developing seeds. These observations, plus the variegated pattern of silencing shown by some plants, demonstrated that the gene silencing phenotype was not merely a lineage event, but also highlighted the unpredictability of gene silencing. There is no suggestion in the paper of the use of fiNA to control gene silencing in non-silenced or 'reset' genes.

Palaqui et al, in The EMBO Journal (1997) V 16 No 15: pq 35 4738, demonstrated that grafting non-silenced scions onto gs-stock (co-suppressed ST and HE nitrate reductase

PCT/GB98/02862

genes,) imposes silencing on the scion. The scion had to contain the STgene, and the silencing was unidirectional and could occur through a wild-type stem 'barrier' in which HE nitrate reductase genes are present and function as signal transducing resident genes. Although a diffusible messenger is postulated, there is no mention of generating or employing this messenger other than by the use of grafts of already-silenced homozygous plant stock.

10

5

The systemic signal demonstrated by the present inventors is also consistent with recent findings that gene silencing is associated with induced natural defence against viruses. The signal could move in the plant ahead of the inducing virus so that anti-viral gene silencing could delay spread of the infection front (Ratcliff et al (1997) Science, 276: 1558-1560). The data below also suggests that in certain situations, viral proteins may act to inhibit this signal propagation.

20

25

30

35

15

The provision of the signalling mechanism and the novel means by which it can be activated (transient presence of fiNA) opens up a number of possibilities which will be discussed in more detail hereinafter; essentially the ability to conveniently control gene silencing systemically will be useful both in the investigation of gene function, and the production of gene silencing plants, as well as in the investigation of the mechanisms of gene silencing.

Particularly useful is the ability to rapidly and consistently impose, at will, gene silencing on HE or STgenes of known or unknown function in order to investigate their phenotype.

Although the systemic signal is not yet structurally

5

30

35

characterised, a number of points about it can be made in the light of the present work. It is produced when fiNA is introduced in to a plant cell, particularly directly or indirectly into the cytoplasm, where the target gene or possibly a resident gene (as defined below) which is to be silenced is being transcribed, in the same plant cell, and there is sequence similarity between the coding regions of fiNA and target gene.

These findings suggest that a protein product, or the 10 corresponding DNA or RNA, is a component of the signal. Of these, the protein product is the least plausible candidate because there is no mechanism known that explains how it could move systemically and specifically target the RNAs of the target. However, a nucleic acid-15 based signal could mediate sequence-specific gene silencing via a base-paired or triple helical structure with the target gene RNA (or the transcription product of homologous resident gene) as it moved between cells and tissues expressing that gene. Moreover, a nucleic acid 20 could move in the plant, perhaps using the channels involved in virus or viroid movement. The demonstrated systemic spread of ST-GFP silencing (Fig. 2c) is consistent with this suggestion because it follows a course (Figs. 2c, 2g) that is similar to the pattern of 25 virus spread in an infected plant.

Thus in a first aspect of the invention there is disclosed a method for silencing a target nucleotide sequence (e.g. a gene) in a plant comprising transiently introducing (i.e. not via a stably integrated transgene) into the cytoplasm of cells of that plant in which the target sequence is present (and preferably being transcribed) a foreign initiator nucleic acid (fiNA) which is:

(i) incapable of movement from cell to cell, and(ii) optionally incapable of autonomous replication, and

11

(iii) has sequence homology with the gene to be silenced.

This method is used for silencing a target gene in a first part of a plant comprising the steps of:

(a) transiently exposing a second part of the plant, remote from said first part, to a foreign initiator nucleic acid (fiNA) as described above such as to generate a gene silencing signal,

(b) causing or allowing the signal to be propagated to the second part of the plant such as to silence said target gene.

"Causing or allowing" in this sense implies, in particular, that the construct giving rise to the fiNA (and hence signal) does not encode proteins which would block the signal e.g. movement proteins such as those which permit viral movment from cell to cell.

Thus the present inventors have demonstrated for the first time Transiently Induced Gene Silencing (or 'TIGS'). They have further demonstrated that a signal capable of propagating gene silencing can be initiated in a second part of the plant to induce silencing of a gene in the first.

25

5

10

15

20

Generally speaking, TIGS can be considered as having three phases:

(i) initiation of a gene silencing signal by the
transient presence of fiNA in the cytoplasm of plant cells, which is described in more detail below,
(ii) translocation of a gene silencing signal (though not the fiNA itself) through tissues of the plant, which is facilitated by the expression of a HE gene or a ST gene with homology to the target gene in those tissues,
(iii) maintenance of the gene silencing signal within the cells of the plant, which may be remote from those which

WO 99/15682

12

were initially, transiently, exposed to the fiNA.

The various different features of TIGS will now be discussed in more detail:

5

. 10

15

"Silencing" in this context is used to refer to suppression of expression of the (target) gene. It does not necessarily imply reduction of transcription, because gene silencing is believed to operate in at least some cases post-transcriptionally. The degree of reduction may be so as to totally abolish production of the encoded gene product (yielding a null phenotype), but more generally the abolition of expression may be partial, with some degree of expression remaining (yielding an intermediate phenotype). The term should not therefore be taken to require complete "silencing" of expression. It is used herein where convenient because those skilled in the art well understand this.

The "systemic" silencing means that the target gene is 20 silenced via a signal which is translocated substantially throughout the tissues of a plant (though certain tissues may not be silenced e.g. meristematic tissues, as discussed in more detail below).

25

30

The "target" gene (ie the gene to be silenced or the silenced gene) in the present invention may be any gene of interest. As discussed below it will share homology with the fiNA. In particular it may be a homologous endogenous gene (HEgene) or a stably transformed homologous transgene (STgene, as with the stGFP used above).

More than one target gene (e.g. a gene family) may be targeted simultaneously provided that they all share 35 homology with the fiNA.

WO 99/15682

As will be discussed in more detail hereinafter, in certain aspects of the invention the identity or phenotype of the gene may be unknown - and indeed TIGS may be used to identify it.

5

10

The "fiNA", which may be either DNA or RNA, may be synthetic (ie man made) or naturally occurring nucleic acid sequence which is a homolog of the target gene or it may be a copy of all or part of the target gene in sense or antisense orientation. It may be double or single stranded, for instance it may consist of antisense (double stranded) RNAs.

It should be stressed that, unlike RNA viral-based
vectors used to effect gene silencing in the art (e.g
Biosource Technologies, in WO 95/34668) the finA itself
lacks sequences which permit movement from plant cell to
plant cell, and optionally allow replication in the
cytoplasm of plant cells (i.e. finA need not be capable
of autonomous replication in the cell).

Unlike the amplicons of PCT/GB98/00442 (which may optionally lack such movement sequences) fiNA is not generated by a stably integrated transgene in the plant.

25

30

35

Thus the crucial elements of the fiNA which give the potential for signal initiation are that:

- (i) it is foreign to the plant, or is at least recognised as being foreign, possibly after interacting with existing nucleic acids in the plant,
- (ii) it shares homology with all or part of the target gene (coding or non-coding strand),
- (iii) it cannot move from plant cell to plant cell (more particularly, does not comprise sequence encoding movement proteins or other pathogenicity proteins which would interfere with the signal), and optionally it cannot replicate autonomously in plant cell cytoplasm.

5

10

15

20

25

30

35

The term "foreign" is used broadly to indicate that the finA has been introduced into the cells of the plant or an ancestor thereof, possibly using recombinant DNA technology, but in any case by human intervention. Put another way fiNA will be non-naturally occurring in cells in to which it is introduced. For instance the fiNA may comprise a coding sequence of or derived from a particular type of plant cell or species or variety of plant, or virus, placed within the context of a plant cell of a different type or species or variety of plant. Alternatively the fiNA may be derived from the plant genome but is present in "unnatural" cellular or chromosomal locations, or lacks certain features of the authentic endogenous sequence (gene or transcript). A further possibility is for the fiNA to be placed within a cell in which it or a homolog is found naturally, but wherein the fiNA is linked and/or adjacent to nucleic acid which does not occur naturally within the cell, or cells of that type or species or variety of plant, such as operably linked to one or more regulatory sequences, such as a promoter sequence, for control of expression.

Regarding the "homology" of the fina, the complete sequence corresponding to the transcribed sequence need not be used to effect gene silencing, as is clear from the prior art studies (which albeit did not use fina as described herein or provide TIGS). For example fragments of sufficient length may be used. It is a routine matter for the person skilled in the art to screen fragments of various sizes and from various parts of the coding or non-coding sequence of the target gene to optimise the level of gene silencing, for instance using systems based on the GFP system described later. It may be advantageous to include the initiating methionine ATG codon of the target gene, and perhaps one or more nucleotides upstream of the initiating codon. A further possibility is to target a conserved sequence within a

WO 99/15682

15

target gene, e.g. a sequence that is characteristic of one or more target genes in order to silence several genes which comprise the same or similar conserved sequence.

5

10

A fiNA may be 300 nucleotides or less, possibly about 200 nucleotides, or about 100 nucleotides. It may be possible to use oligonucleotides of much shorter lengths, 14-23 nucleotides. Longer fragments, and generally even longer than 300 nucleotides are preferable where possible if the fiNA is produced by transcription or if the short fragments are not protected from cytoplasmic nuclease activity.

It may be preferable that there is complete sequence identity between the fiNA and a relevant portion of the target sequence, although total complementarity or similarity of sequence is not essential. One or more nucleotides may differ in the targeting sequence from the target gene. Thus the fiNA of the present invention may correspond to the wild-type sequence of the target gene, or may be a mutant, derivative, variant or allele, by way of insertion, addition, deletion or substitution of one

or more nucleotides, of such a sequence.

25

30

35

The final need not include an open reading frame or specify an RNA that would be translatable. There may be a TIGS signal even where there is about 5%, 10%, 15%, 20% or 30% or more mismatch between the final and the corresponding homologous target sequence. Sequence homology (or 'identity' or 'similarity' - the terms are used synonymously herein) may be assessed by any convenient method e.g. it may determined by the TBLASTN program, of Altschul et al. (1990) J. Mol. Biol. 215: 403-10, which is in standard use in the art.

Regarding translocation of the TIGS signal, as described

above this is generated when the cells of the plant are transiently exposed to the final, and the translocating tissues comprise, and preferably transcribe (though not necessarily express) the target gene or another 'resident gene' sharing homology with the target gene and the final for the gene silencing signal to be transmitted through such tissues. However it may not be necessary for all of the translocating tissues to transcribe the gene - as shown in the Examples below, the signal may be 'relayed' between expressing cells.

5

10

15

20

25

The resident gene, which is discussed in more detail below, may be either endogenous or exogenous to the plant. The term 'homology' in relation to the resident gene is used in the same way as it is used in relation to the fiNA/target gene above. In this case the crucial element is that the homology be sufficient to allow signal generation and/or propagation. As described above the homology will preferably be at least 70%, more preferably at least 75%, more preferably at least 80%, more preferably at least 85%, more preferably at least 90% or most preferably more than 95%.

The advantage of using an STgene as a resident gene is that its transcription may be more readily controlled (if desired) than a target gene which is an HEgene, as is discussed in more detail in relation to facilitating signal propagation below.

The "transient exposure" of the second part of the plant to the fiNA may be achieved by any convenient method.

Essentially the fiNA should be introduced directly or indirectly (e.g. exposure of a fiNA produced in the nucleus from locally present foreign nucleic acid) into the cytoplasm of cells of the second part of the plant.

Known methods of introducing nucleic acid into plant

17

cells include use of a disarmed Ti-plasmid vector carried by Agrobacterium exploiting its natural gene transfer ability (EP-A-270355, EP-A-0116718, NAR 12(22) 8711 - 8721 (1984), particle or microprojectile bombardment (US 5100792, EP-A-444882, EP-A-434616) microinjection (WO 92/09696, WO 94/00583, EP 331083, EP 175966, Green et al. (1987) Plant Tissue and Cell Culture, Academic Press), electroporation (EP 290395, WO 8706614) other forms of direct DNA uptake (DE 4005152, WO 9012096, US 4684611), liposome mediated DNA uptake (e.g. Freeman et al. Plant Cell Physiol. 29: 1353 (1984)), or the vortexing method (e.g. Kindle, PNAS U.S.A. 87: 1228 (1990d) Physical methods for the transformation of plant cells are reviewed in Oard, 1991, Biotech. Adv. 9: 1-11.

15

10

5

Preferably fiNA is introduced by microprojectile bombardment with gold particles. Vacuum infiltration or injection of agrobacterium or direct uptake mediated by carborundum powder, whiskers (see Frame et al, Plant J 1994, 6: 941-948) or electroporation.

Various embodiments will now be exemplified:

#### Introduction of fiNA - initiation of the signal

25

30

35

20

As described above final may be introduced directly as naked DNA, or it may be transcribed from nucleic acid introduced into (but not stably integrated throughout) a plant. It should be stressed that although the final must be located in the cytoplasm of the cell, there is no requirement that the final be transcribed in the cell; thus there is no need for the final to incorporate a promoter region in order to initiate the gene silencing signal or for it to be introduced into the cytoplasm via the nucleus.

In a further embodiment it may be possible to use a viral

18

or other extrachromosomal expression vector (which may or may not include translation signals) e.g. a viral-based vector, encoding the fiNA, and a replicase, but lacking transmissive elements (e.g. movement proteins or other pathenogenicity proteins) which could inhibit the generation of a signal which can move beyond the infected parts of the plant, or be sustained within the plant after initial introduction. However viruses, particularly those which are transmissible, may be undesirable for other reasons e.g. safety, resistance etc.

In a further embodiment it may be achieved by transiently (e.g. locally) initiating the transcription of a fiNA-encoding sequence which is present in the cells, possibly the nucleus or the genome, of the second part of the plant.

This may be achieved by the use of Ti-based binary vectors (cf. use of the trGFP described below). Generally speaking, those skilled in the art are well able to construct vectors and design protocols for transient recombinant gene transcription. For further details see, for example, Molecular Cloning: a Laboratory Manual: 2nd edition, Sambrook et al, 1989, Cold Spring Harbor Laboratory Press.

Optionally transcription of the fiNA may be placed under the control of an activating agent, for instance using an inducible promoter.

30

35

5

10

15

20

25

The term "inducible" as applied to a promoter is well understood by those skilled in the art. In essence, transcription under the control of an inducible promoter is "switched on" or increased in response to an applied stimulus. The nature of the stimulus varies between promoters. Some inducible promoters cause little or undetectable levels of transcription (or no

19

transcription) in the absence of the appropriate stimulus. Other inducible promoters cause detectable constitutive expression in the absence of the stimulus. Whatever the level of expression is in the absence of the stimulus, expression from any inducible promoter is increased in the presence of the correct stimulus.

5

10

15

20

25

35

One example of an inducible promoter is the GST-II-27 gene promoter which has been shown to be induced by certain chemical compounds which can be applied to growing plants. The promoter is functional in both monocotyledons and dicotyledons. It can therefore be used to control gene expression in a variety of genetically modified plants, including field crops such as canola, sunflower, tobacco, sugarbeet, cotton; cereals such as wheat, barley, rice, maize, sorghum; fruit such as tomatoes, mangoes, peaches, apples, pears, strawberries, bananas, and melons; and vegetables such as carrot, lettuce, cabbage and onion. The GST-II-27 promoter is also suitable for use in a variety of tissues, including roots, leaves, stems and reproductive tissues. Other example inducible promoters are well known to those skilled in the art, the choice of which will be determined by the convenience of using the inducing agent in the particular application being carried out.

Another suitable promoter may be the DEX promoter (Plant Journal (1997) 11: 605-612).

In this embodiment the activating agent can be applied locally to one or more regions of the plant in which the fiNA-encoding construct has been introduced (the 'second part') in order to achieve the remote silencing of other ('first part').

In a most preferred aspect, the fiNA may be introduced as a construct corresponding to a truncated 'amplicon' of GB

20

98/00442. This will generally comprise:

- (i) a plant promoter
- (ii) a nucleic acid sequence operably linked to that promoter, said sequence encoding an RNA-dependent replicase, and further encoding fiNA, which is itself operably linked to a sub-genomic promoter capable of being recognised by said replicase, such that the fiNA is capable of autonomous cytoplasmic replication, with the proviso that the nucleic acid sequence does not encode active viral movement proteins (plus optionally pathogenicity proteins) which would otherwise inhibit the TIGS signal from spreading systemically in the plant into which the construct is introduced.
- By "replicase" is meant, where appropriate, all the required components to give replicase function. The construct does not encode "active movement proteins" in the sense that, although a movement proteins may be encoded, they are not functional e.g. because one or more has been deleted or modified.

# Propagation and maintenance of the signal through the plant

- The advantage of achieving systemic gene silencing using transient activation or introduction of fiNA in a localised area (e.g. by application of a specific agent) is that there is no requirement for the inducing agent of fiNA to be translocated within the tissues of the plant or be applied to all parts of the plant. Once initiated the signal can induce gene silencing in remote parts of the plant. This gene silencing is stable and persists even after the fiNA ahs been removed.
- By "remote" is meant the first and second parts of the plant are spatially separated, although obviously connected via the plant tissues. It may be advantageous

. 21

if the first part of the plant is above the level of the second, or if the route corresponds to the 'source-sink' movement of photosynthetic products from regions in which they are concentrated to regions of use. The observations described in the Examples suggest that signal movement mimics in some respects viral or viral-vector movement. It should be stressed, however, that neither the signal of the present invention, nor the fiNA used to initiate it, are viruses, for instance mobile, cytoplasmatically replicable vectors.

It should also be stressed that the part of the plant in which the target gene is to be silenced may encompass all, or almost all, of that part of the plant which is not directly exposed to the fiNA i.e. systemic silencing.

Thus in one embodiment of this aspect, the target gene is silenced systemically in the plant tissues i.e. in the first and second parts of the plant and the tissues between them, (cf. the stGFP described below).

It may not be necessary for all the cells in these tissues to transcribe the target gene, as detailed in the Examples.

Alternatively, some or all of the cells of the connecting plant tissues will comprise a resident gene, the transcription (though not necessarily expression) facilitates the propagation of the signal.

By "resident gene" is meant a gene (endogenous or exogenous) which is homologous to the target gene and homologous to the fiNA such as to facilitate transduction of the TIGS signal.

Thus in a second embodiment of this aspect, the target gene is transcribed only in a second, remote, part of the

25

5

10

15

20

35

30

plant (e.g. it is expressed in a tissue specific manner), but a resident gene which is homologous to the target gene is present and preferably transcribed in the plant tissues in the second part of the plant and/or the tissues between the first and second parts of the plant.

22

Presence or preferably transcription of this resident gene may thus serve to cause or allow signal propagation.

This embodiment permits control of tissue specific target genes. The resident gene serves to assist systemic spread of the signal. The systemic spread of the signal can thus be controlled at an additional level to the direct control of the final exposure, providing further temporal and spatial control over gene silencing:

15

10

5

By regulating the transcription of the resident gene in the cells carrying the TIGs signal, it will be possible to determine whether gene silencing in the first part of the plant is activated effectively, or to affect the tissue specificity of gene silencing.

Transcription of a resident (STgene) may be altered by use of an inducible promoter, such as is described above in relation to the fiNA.

25

30

35

20

It will be apparent from the foregoing that the invention embraces methods of controlling gene silencing in plants by manipulating the presence or transcription of the final or the propagation of the signal. e.g. by controlling the presence or absence of an activating agent which induces transcription of a resident gene. Physical methods for manipulating the resident gene expression are also envisaged. For instance grafts of tissue between the different parts of the plant which are either permissive (i.e. contain cells having the resident gene) or non-permissive (cells don't have the resident gene) can be used to control translocation of the signal.

5

10

15

20

25

30

35

### Selected applications for TIGS

In embodiments of the present invention which have been experimentally exemplified as described below for illustrative and non-limiting purposes only, the transiently introduced gene encoding the fiNA that determined the target of gene silencing was the gene encoding the jellyfish green fluorescent protein GFP (Chalfie et al. (1994) Science 263: 802-805). This was used to silence a stably integrated GFP transgene.

Any other ST- or HEgene of a plant, or STgene of animal, fungal, bacterial or viral origin may be a target gene provided that the fiNA contains a corresponding homologous sequence.

In one aspect of the present invention, the target gene may be of unknown phenotype, in which case the TIGS system may be employed to analyse the phenotype by generating a systemic (or widespread) null (or nearly null) phenotype.

Thus a further aspect of the invention comprises a method of characterising a target gene comprising the steps of:

(a) silencing the target gene in a part or at a certain development stage of the plant using the TIGS system described above,

(b) observing the phenotype of the part of the plant in which or when the target gene has been silenced.

Preferably the gene is silenced systemically. Generally the observation will be contrasted with a plant wherein the target gene is being expressed in order to characterise (i.e. establish one or more phenotypic . characteristics of) the gene.

There are several advantages of the current method over

24

alternative methods in which the targeted gene is inactivated by insertional or other mutagenic procedures or in which gene silencing is uncontrolled. The advantage over mutagenic procedures applies when there is more than one homologous gene carrying out the role of the target gene. Mutagenic procedures will not normally reveal a phenotype in that situation. A second situation where the current invention has advantage over both mutagenic and unregulated gene silencing procedures applies when the target gene has a lethal phenotype. The controllable attribute of the gene silencing will allow the phenotype of such genes to be investigated and exploited more efficiently than using the alternative methods available prior to the disclosure of the current invention.

This aspect is particularly useful given the significant amount of sequence data currently being generated in genomics projects which is unassigned in terms of function or phenotype. Thus even if the gene exerts its effects only in particular tissues, this may be detectable without having to ensure that a virus has permeated the entire plant (as in Biosource Technologies, WO 95/34668).

25

20

5

10

15

Nor, for the identification of HE genes, would it be necessary to try and generate a transgenic plant in which gene silencing is already activated to observe the effect.

30

In a further aspect there is disclosed a method of altering the phenotype of a plant comprising use of the TIGS method.

Traits for which it may be desirable to change the phenotype include the following: colour; disease or pest resistance; ripening potential; male sterility.

5

10

15

20

25

30

35

25

For instance male sterile plants are required for production of hybrid seed. To propagate the male sterile lines it is necessary to restore male fertility. In the examples in which male sterility is induced by a transgene it would be possible to restore male fertility by controlled silencing of the transgene using the approach described above.

Many genes have multiple roles in development. They may be required, for example, in embryo development and in the development of organs or tissues in the mature plant. Obviously it would not be possible to silence these genes unless the silencing system could be controlled so that it is not active in embryo development. The system described here could be used to provide that control.

Other traits will occur to those skilled in the art. In each case the only necessity is that sufficient is known about the target gene(s) to devise suitable fiNA, which may of course be optimised without burden to achieve the desired effect. If the target gene is not expressed systemically, then it may be necessary to produce a transgenic plant wherein a resident STgene is transcribed systemically in order to allow signal propagation. The fiNA can then be used to initiate the signal.

The production of transgenic plants is now very well known to those skilled in the art, as evidenced by the various reported methods some of which are recorded in non-prior published GB patent application 9703146.2 in the name of John Innes Centre Innovations Limited, the content of which is incorporated herein by reference.

In a further aspect of the present invention there is disclosed a method for producing a systemic gene silencing signaling agent in a plant, which is capable of silencing a target gene comprising causing or allowing

PCT/GB98/02862

the transient exposure of a part of the plant expressing said target gene or a homolog thereof to a fiNA.

The systemic gene silencing signaling agent is characterised in that it

(a) comprises nucleic acid,

. 5

10

15

20

25

- (b) is capable of mediating sequence-specific gene silencing of a target gene,
- (c) it is obtainable by transient exposure of a plant cell transcribing said target gene or a homolog thereof to a fiNA,
- (d) is capable of moving between a first and second part of the plant, said parts being connected by cells comprising, and preferably transcribing said target gene or a homolog thereof, which movement is inhibited my movement or pathogenicity proteins as discussed above.

The various nucleic acids of the present invention may be provided isolated and/or purified (i.e. from their natural environment), in substantially pure or homogeneous form, or free or substantially free of other nucleic acid. Nucleic acid according to the present invention may be wholly or partially synthetic. The term "isolate" encompasses all these possibilities.

Also embraced by the present invention is a transgenic plant comprising a target gene which has been systemically silenced using TIGS.

The present invention may be used in plants such as crop plants, including cereals and pulses, maize, wheat, potatoes, tapioca, rice, sorgum, millet, cassava, barley, pea and other root, tuber or seed crops. Important seed crops are oil seed rape, sugar beet, maize, sunflower, soybean and sorghum. Horticultural plants to which the present invention may be applied may include lettuce, endive and vegetable brassicas including cabbage,

broccoli and cauliflower, and carnations and geraniums. The present invention may be applied to tobacco, cucurbits, carrot, strawberry, sunflower, tomato, pepper, chrysanthemum, poplar, eucalyptus and pine.

5

The present invention will now be illustrated and exemplified with reference to experimental results and the accompanying Figures. Further aspects and embodiments of the present invention, and modifications of those disclosed herein, will be apparent to those skilled in the art. All documents mentioned anywhere herein are incorporated by reference.

#### FIGURES

15

20

25

10

#### Figure 1. Transgene and Viral Constructs

a T-DNA from pBin-35S-mGFP5 used for Nicotiana benthamiana stable transformation (pnos: nos promoter, tnos: nos terminator, 35S: CaMV-35S promoter, 'RB: right border, LB: left border). This is the STgene construct.

b T-DNAs from various binary vectors carried by Agrobacterium tumefaciens strain LBA4404 used for leaf infiltrations (OCS: octopine synthase terminator, BaR: BASTA resistance gene). These are TRgene constructs. lacZ: multiple cloning site, inserted for cloning facilities.

c Structures of PVX-GUS<sup>17</sup> and PVX-GFP<sup>16</sup>. Expression of the inserted marker genes is controlled by a duplicated coat protein (CP) promoter (shaded boxes). Other abbreviations are RdRp: RNA dependent RNA polymerase, and 25K, 12K, 8K: cell-to-cell movement proteins. These constructs were used, inter alia, in determining whether gene silencing was pre- or post-transcriptional.

PCT/GB98/02862 WO 99/15682

# Figure 2. Expression of GUS and GFP reporter genes in N. benthamiana

These images were all produced under UV illumination except for the bottom panels of E and F and panels I-L that show leaves stained for GUS activity24. The method and abbreviations are described in more detail in Example 1. Depending on the exposure time and the source of UV, GFP appears green or yellow. In the absence of GFP the chlorophyllous plant tissue appears red.

(a) A leaf of a stably integrated GFP homogene (stGFP) 10 plant

5

- (b) A leaf of a non-transgenic (not) nt plant.
- (c-d) stGFP plants infiltrated 18d previously with a culture of the NPT:GUS:GFP strain of A. tumefaciens,
- prepared in the presence (c) or in the absence (d) of 15 acetosyringone; the arrows indicate the infiltrated leaves.
  - (e-f) Expression of trGFP (top panel) and GUS (bottom panel) in leaves of an nt plant (e) or an stGFP plant (f)
- that had been infiltrated with the NPT:GUS:GFP strain of 20 A. tumefaciens 2 days previously. The arrow in (e) indicates the zone of stGFP suppression at the edge of the infiltrated zone where A line of red fluorescent tissue is observed.
- (g) Close-up view of an axillary shoot emerging from one 25 of the three fully expanded leaves of the plant presented in (c). Leaves on these axillary shoots always show very strong stGFP suppression. The diffuse patches of residual expression of stGFP fade when these leaves expand. Some of the smaller leaflets on the axillary shoots as shown 30
- in this panel (arrow) are uniformly red.
  - (h) UV illumination of upper leaves emerging from the main stem of A stGFP plant infiltrated 18 days previously with water (left), or with the NPT:GUS:GFP strain of A. tumefaciens. (middle and right).
- 35 (i) Leaves shown in (h) were stained for GUS activity.
  - (j) A leaf infiltrated with an NPT:GUS:GFP strain of A.

5

10

15

20

25

30

29

tumefaciens as an internal control for the histochemical GUS staining shown in (i).

(k-1). PVX-GUS foci observed on A systemic leaf of an stGFP plant infiltrated with either the NPT:GUS:GFP strain of A. tumefaciens (k) or with water (l). Leaves were inoculated with PVX-GUS and collected after 5 days for GUS staining. When leaves were collected later than 5 days post-inoculation, the GUS foci had spread to the veins, indicating a potential for systemic spread of PVX-GUS independently of stGFP silencing.

Figure 3. Northern analysis of stGFP and PVX-GFP RNA. stGFP plants (GFP) or nt plants (NT) were infiltrated with either water (Mock), or the NPT:GUS:GFP strain of A. tumefaciens previously induced with acetosyringone (N:G:G)-X(N:G:G-) indicates that the culture was not previously induced. After 20 d, two upper leaves were inoculated with water (Mock) or PVX-GFP. 5d after virus inoculation, total RNA was extracted from one of the two inoculated leaves and northern analysis on 10 µg of RNA was carried out to detect accumulation of the stGFP RNA and PVX-GFP RNA (indicated on the left side of the upper panel). The heterodisperse RNA species in tracks 9-14 represent sub-genomic and degraded RNA species and are typical of PVX RNA samples of inoculated leaves. The lower panel shows probing of the northern blot with an rRNA probe to confirm equal loadings of RNA.

In Figure legends 4 to 7, the intGFP refers to stably integrated GFP, while epiGFP refers to infiltrated sequence.

#### Figure 4. Constructs used in Example 13

The T-DNA constructs used for Agrobacterium infiltrations are derived from the N:G:G construct. The 35S promoter controlling the GFP gene has been replaced by the nos

30

promoter in the N:Gnos construct, and has been deleted in the N:G $\Delta$  construct.

# Figure 5. Kinetics of translocation of the TIGS signal

5

10

15

The top diagram illustrates the order of events described below. One leaf of intGFP plant was infiltrated with the N:G:G strain of A. tumefaciens (previously induced with acetosyringone), and subsequently removed 1,2,3,4 or 5 days after infiltration. The percentage of plants undergoing TIGS after removal of the infiltrated leaf was then assessed under UV illumination. Each dot on the diagram represents the average percentage obtained from 30 individual plants infiltrated at the same time (see Example 14).

# Figure 6. Biolistic activation of TIGS

- (A) DNA constructs tested for biolistic activation of
  TIGS. The pUC35S-GFP plasmid contains the 35S-GFP
  expression cassette from pBin35S-GFP (Figure 1). The GFP
  plasmid contains only the full-length GFP open reading
  frame from pBin35S-GFP cloned as a BamHI-SalI restriction
  fragment in pUC19. The ..P and G.. DNA constructs are
  linear, PCR-amplified fragments of the GFP open reading
  frame and are respectively 348 and 453 bp long. Equal
  amounts of each construct were bombarded (see
  Experimental Procedures and Example 16).
- 30 (B) Effect of the length of homology between epiGFP and intGFP on biolistic activation of TIGS. The intGFP seedlings were bombarded with a series of PCR-amplified fragments sharing a similar physical length but harbouring 3' terminal fragments of GFP cDNA of varying length. These fragments were amplified from a pBluescript vector containing the full-length GFP open reading frame by using one vector-specific primer and one GFP-specific

31

primer. The white dot on the diagram represents the 5' end of the GFP open reading frame. Equal amounts of each construct were bombarded (see Experimental Procedures, and Example 16).

5

## Figure 7. TIGS requires an interaction of epiGFP and intGFP

See Example 17.

10

15

20

25

30

35

- (A) Bombarded epiGFP and inoculated viral constructs. The ..P and GF. DNA constructs are derivatives of the GFP construct described in Figure 5A. PVX-GF and PVX-P are PVX vectors carrying the GF. and ..P restriction fragments of the GFP open reading frame, respectively.
- (B) Northern analysis of intGFP and PVX-GF/GFP RNAs. The top diagram illustrates the order of events described below. First intGFP seedlings or non-transformed plants (NT) were bombarded with either uncoated gold particles (-) or gold particles coated with either the GFP or the ..P construct. After 21 days, two upper leaves were inoculated with either water (Mock), PVX-GFP or PVX-GF. The plants bombarded with GFP or derivatives exhibiting TIGS were selected for the virus inoculation. Five days after virus inoculation, total RNA was extracted from one of the two inoculated upper leaves and Northern analysis of 10 (g of RNA was carried out to detect accumulation of the intGFP and PVX-GF/GFP RNA (indicated on the left side of the upper panel).

### Figure 8: pPVX209 and pPVX210A

As described in Example 19, the CP was deleted from pPVX209 [Fig 8(a)] to create pPVX210A [Fig 8(b)]. The sequence is numbered from the 35S promoter, with the SacI site immediately upstream of the promoter being numbered

32

as nucleotide 4.

20

25

30

35

### Figure 9: pCL-vectors and progenitor construct

fragments amplified from pPVX210A were re-inserted to restore replicase function. Shown are (a) pCL100; (b) pCL101; (c) pCL102; (d) pCL105 (includes a 1729 bp deletion in the replicase); (e) pCL106 (includes a PCR fragment from pPVX210A to restore GFP function and enhance the production of sub genomic RNA); (f) progenitor construct pA500 [see Table 2; Example 19; (g) pCL103; (h) pCL104. See Figure 8 for explanation of terms.

Figure 10: Insertion of pUC19 constructs into plasmid pSLJ755/5.

Numbers in pSLJ755/5 are relative to the SacI cloning site.

## Figure 11: Positive strand sequences of constructs

Restriction sites used in cloning are underlined and labelled in grey. 'Xxxx' indicates the ligated SalI/XhoI hlaf sites. Abridged parts of the sequences are labelled in tildes ('~'). The 144 underlined bases represent the duplicated CP promoter region which together with the downstream GFP 5' end was inserted into pCL100 to create pCL106. Bases in lower case indicate non-viral sequence introduced by PCR primers used in cloning. Sequences confirmed after the respective cloning step are double underlinded, single bp exchanges or deviations not unambiguously falsified by examining the sequencing raw data are in minor case italics. Spacing for the CP deletion is condensed in TGB deletion contructs.

PCT/GB98/02862

WO 99/15682

33

- (a) pPVX209 (10762 nt)
- (b) pPVX210A (10024 nt)
- (c) pCL100 (8753 nt)
- (d) pCL102 (8918 nt)
- (e) pCL101 (8780 nt)
  - (f) pCL106 (8901 nt)

### **EXAMPLES**

5

35

#### 10 General Methods - Examples 1 to 12

Plant transformation.

Four independent lines of Nicotiana benthamiana plants carrying the GFP transgene (stGFP plants) were generated 15 by the A. tumefaciens-mediated leaf disk transformation method<sup>22</sup>. For transformation, we used the disarmed Agrobacterium strain GV-3101 containing the binary vector pBin-35S-mGFP523. Restriction digestion and Southern 20 analysis showed that each line harbours a single T-DNA integration site, consistent with the observed 3:1 segregation of the expression of GFP in the R1 generation. In all cases, this single locus is associated with one intact copy of the GFP transgene. Northern analysis showed comparable high levels of, GFP mRNA in 25 these four independent lines. All stGFP plants used in this work were homozygous, selfed F1 progeny of the primary transformants.

30 Infiltration of Agrobacterium and the selective enrichment assay

> Infiltration of Agrobacterium cultures for transient expression was based on a previously-described method13. First, the constructs shown in Figure 1b were transferred to A.tumefaciens GV3101 by triparental mating and the strains were plated on minA medium. A single colony was

PCT/GB98/02862

inoculated into 5 ml LB medium supplemented with the appropriate antibiotics, and grown at 28°C for 48 hours. One ml of the culture was transferred to 100 ml LB with 10 mM MES pH 5.6 and 20  $\mu$ M acetosyringone, and grown at 28°C for 16 hours. The bacteria (OD600=1) were spun down, suspended in 50 ml 10 mM MgCl<sub>2</sub> and kept at room temperature for 3 hours. The infiltration, performed with a 2 ml syringe, was to one or two expanded leaves of 3 week-old seedlings. The infiltrated leaves were then sealed in a small plastic bag for two days. Seedlings were maintained in A glasshouse between 20°C and 25°C. Artificial illumination was used, if necessary, to provide A day length of 16 hours or more.

The selective enrichment assay for Agrobacterium was as described<sup>19</sup>. Using this procedure a single isolated Agrobacterium cell mixed with 0.1 g of tobacco tissue could be enriched to the late exponential phase after 3 days of incubation.

General procedures.

PVX-GFP and PVX-GUS inocula were sap extracts of plants (Nicotiana clevelandii) infected with in vitro transcripts of the corresponding cDNA clones<sup>16,17</sup>. RNA isolation and Northern analysis were done as described<sup>17</sup>. The probe used for hybridization was a <sup>32</sup>P-labelled cDNA corresponding to the entire GFP open reading frame. Histochemical staining of plant material for GUS activity was performed according to the method of Jefferson<sup>24</sup>.

## General Methods - Examples 13-19

These were as above except:

35

5

10

20

25

30

Infiltration of Agrobacterium.

35

Infiltration of A. tumefaciens was based on a previously-described method (English et al., 1997). The constructs shown in Figure 4 were transferred to A. tumefaciens (strain GV3101, unless otherwise stated) by triparental mating or electroporation and the strains were plated on minA medium. Procedure was as described above.

### Grafting procedure

Non-transformed and transgenic N. benthamiana plants were grown about 1 month before grafting. The stocks were beheaded 10-15 cm from the soil and wedge-grafting was performed with scions of similar age. The graft junction was then fastened and protected from desiccation by Parafilm. During the first week after grafting, plants were covered with a plastic bag to maintain high humidity conditions.

### Seedling bombardment

20

25

5

N. benthamiana seeds were sterilised with 0.25% sodium hypochlorite for 15 min and rinsed 3 times with sterile water. Seeds were germinated for 7-10 days on MSR6 medium. One day before bombardment the seedlings in groups of 10-12 were transferred onto fresh MSR6 medium distributed over a 3.2 cm2 target area. DNA coating and particle bombardment were carried out as described previously (Christou et al., 1991). Each group of 10 seedlings was bombarded twice with 163ml of gold particles coated with 326 ng of DNA and accelerated at 12 Kv. Two weeks after bombardment seedlings were transferred to a glasshouse between 20°C and 25°C. Artificial illumination was used, if necessary, to provide a day length of 16 hours or more.

35

30

In vitro propagation

N. benthamiana leaves were harvested from greenhouse-grown plants. Leaves were sterilised with 0.25% (w/v) sodium hypochlorite for five minutes and rinsed three times with sterile distilled water. Leaf disks were aseptically plated onto MSR6 medium (Vain et al., 1998) complemented with 1 mg/l 6-Benzylaminopurine and 0.1 mg/l (-Naphthaleneacetic acid. Culture was conducted in 2 cm deep Petri dish sealed with Micropore( tape, at 23(C and under a 16 hours photoperiod. Leaves were subsequently transferred at 15 day intervals onto fresh medium. After 4 to 6 weeks the regenerated shoots were dissected and rooted onto MSR6 medium.

### GFP imaging

15

10

5

Visual detection of GFP fluorescence in whole plant was performed using a 100 W hand-held long-wave ultraviolet lamp (UV products, Upland CA 91786, Black Ray model B 100AP). Plants were photographed with a Kodak Ektachrome Panther (400 ASA) film through a Wratten 8 filter. 20 Exposure times varied up to 70 sec depending on the intensity of the fluorescence and the distance of the camera and lamp from the plant. Observation of explants cultured in vitro was carried out using a MZ12 Leica dissecting microscope coupled to an epifluorescent 25 module. Photographs were taken using Kodak Ektachrome Panther (400 ASA) film. Confocal microscopy was performed under a Leica DMR module coupled to a Leica TCS-NT system. A 100 mW Argon ion laser was used to produce blue excitation light at 488 nm (emission filter 522 nm). 30 Using these filter combinations, background autofluorescence from the samples was removed. Individual images were stored on optical disc.

35 Construction of PVX derivatives and in vitro transcription

PVX-GFP has been described previously (Baulcombe et al., 1995). PVX-GF was made by replacing the original GFP insert in the PVX vector pTXS-GFP (Baulcombe et al., 1995) by the mGFP5 insert from pBin-35S-mGFP5 (Haseloff et al., 1997) and by removing the 354 bp fragment between a ClaI site (position 465 within the GFP5 coding sequence) and a SalI site at the 3' end of GFP5 (position 818). PVX-P was made by inserting a ClaI-SalI restriction fragment from GFP5 into the PVX vector pP2C2S (Baulcombe et al., 1995). Viral inocula were sap extracts of plants (N. clevelandii) infected with in vitro transcripts (Chapman et al., 1992) of the corresponding cDNA clones.

Agroinfiltrated and bombarded epiGFP constructs

15

20

25

30

35

10

5

The N:G:G binary vector (Figure 1) is based on pBIN 35S:GFP4 (Haseloff et al., 1997) in which the LacZ polylinker from pUC19 has been inserted in the HindIII blunted restriction site located upstream the 35S promoter of GFP4. A 35S-GUS expression cassette from pSLJ4D4 (Jones et al., 1992) was then inserted in the LacZ polylinker as a HindIII-EcoRI restriction fragment. The N:Gnos and N:GA constructs (Figure 4) are derived from pBin 35S:GFP4. N:GA was obtained by removal of the 35S promoter of GFP4 by a BamHI-HindIII restriction, followed by blunt ending (Klenow) and relegation. N:Gnos was obtained by removal of the 35S promoter by a BamHI-HindIII restriction, followed by Klenow DNA filling and insertion of the nos promoter. The pUC35S-GFP construct (Figure 6) was obtained by inserting the 35S:GFP4 expression cassette from pBIN 35S:GFP4 (HindIII-EcoRI restriction fragment) in pUC19 . The GFP construct was obtained by inserting the full-length GFP open reading frame from pBIN 35S:GFP4 (BamHI-SacI restriction fragment) in pUC19 (Yanisch-Perron et al., 1985). The "G.." fragment (Figure 6) was PCR-amplified from pBIN 35S:GFP5 (Haseloff et al., 1997) using primers

10

20

25

35

GGATCCAAGGAGATATAACAA and AAATCGATTCCCTTAAGCTCG (pos1 and pos453 in the GFP5 cDNA, respectively). The "..P" fragment (Figure 6) was PCR-amplified from pBIN 35S:GFP5 using primers AGCTTAAGGGAATCGAT and CTTAGAGTTCGTCATGTTTGT (pos454 and pos813 in the GFP5 cDNA, respectively). The series of PCR-amplified fragments used for the study of the effect of the length of homology between epiGFP and intGFP (Figure 6B) was obtained from pBluescript in which the complete GFP5 cDNA was inserted as a BamHI-SacI restriction fragment. Primer combinations used for each amplification are:

(AGCTTAAGGGAATCGAT-TTGTGGCCGAGGATGTTT); (AAATCGATCCCTTAAGCTCG-GGGTAACGCCAGGGTTTTCC); (AGTAGTGACAAGTGTTGGCC-AGCGGGCGCTAGGGCGCT);

15 (TGACAGAAAATTTGTGCCCCATT-GTAAAGCACTAAATCGGAACC);
(TTGGGACAACTCCAGTGAAAA- CCACTACGTGAACCATCAC).

The ...P and GF. constructs are respectively linear Claisall and BamHI-Clai restriction fragments from the GFP construct described above.

General procedures

RNA isolation and Northern analysis were done as described (Mueller et al., 1995). The probe used for hybridisation was a 32P-labelled cDNA corresponding to the entire GFP open reading frame. Histochemical staining of plant material for GUS activity was performed using standard procedures (Jefferson, 1987).

30 Example 1: The gene silencing signal imposes remote silencing

To develop a reproducible system for activation of gene silencing we have used transient expression of silencer transgenes in *Nicotiana benthamiana*. The target of gene silencing (Fig. 1a) in these experiments encodes the jellyfish green fluorescent protein (GFP)<sup>11</sup> that can be

monitored non-invasively: leaves of transgenic GFP plants appear green under UV light (Fig. 2a) whereas non transgenic (nt) leaves appear red due to chlorophyll fluorescence (Fig. 2b). To deliver silencer transgenes, we infiltrated leaves<sup>12,13</sup> of N. benthamiana with strains of Agrobacterium tumefaciens carrying various binary Ti plasmid vectors (Fig. 1b), including one with a GFP reporter gene. We refer to the stably integrated and transiently expressed GFP transgenes as stGFP and trGFP, respectively.

At 2 days post-infiltration with the NPT:GUS:GFP strain of A. tumefaciens (Fig. 1B) there was expression of both the GUS and the trGFP reporter genes in the infiltrated tissues (Figs. 2e, 2f). In the stGFP transgenic lines (Fig. 2f) the strong green fluorescence due to the trGFP was superimposed over a weaker background fluorescence from the stGFP. However, at the edge of the infiltrated zone there was a thin line of red fluorescent tissue (Fig. 2f) indicating that stGFP expression had been suppressed.

Although the zone of stGFP suppression did not spread further within the infiltrated leaf, by 18 days post-infiltration there was suppression of stGFP in the upper leaves (Fig. 2c) of the NPT:GUS:GFP infiltrated plant. This effect was most pronounced in the stem and leaves that were directly above the infiltrated leaf and in the tissues surrounding the veins (Fig. 2c, 2h). In leaves of the axillary shoots (Fig. 2g) and in some uppermost leaves (Fig. 2h) there was complete suppression of green fluorescence due to stGFP. The time-course of stGFP suppression and its pattern of spread through the vegetative parts of the infiltrated plants were consistently observed in 5 independent experiments involving 20 plants of each of 4 independent stGFP lines.

10

15

### Example 2: The gene silencing signal is sequence specific

There was no suppression of stGFP when the plants were infiltrated with the NPT:GUS, GUS:BAR or empty vector strains of A. tumefaciens (Fig. 1b). If the suppression had been caused by the infiltration process these control strains would have caused suppression of stGFP.

Similarly, if the 35S promoter or nos terminator components of the trGFP are involved, there would have been suppression of stGFP following infiltration with the NPT:GUS and GUS:BAR strains (Fig. 1b): these constructs have both 35S promoters and nos terminators. Therefore, the systemic suppression of stGFP is a sequence-specific effect based on the common presence of GFP coding sequences in stGFP and trGFP.

# Example 3: The gene silencing signal requires uptake of the transgene coding for the fiNA

The A. tumefaciens cultures used in these experiments 20 contained acetosyringone as an inducer of virulence (Vir) functions14. In the absence of Vir gene expression there is no transfer of T-DNA (between the right and left borders; Fig. 1b) from the Ti plasmid into the plant cell. Consequently, when leaves of nt N. benthamiana were 25 infiltrated with the NPT:GUS:GFP strain of A. tumefaciens incubated without acetosyringone, there was no expression of GUS or trGFP at 2 days post-infiltration. In addition, there was no systemic suppression of stGFP by 18 days post-infiltration (Fig. 2b, 2 days). From this result we 30 conclude that the systemic suppression of stGFP requires T-DNA-mediated transfer of trGFP nucleic acid into plant cells.

Example 4: The gene silencing signal effects posttranscriptional silencing WO 99/15682

5

10

15

41

PCT/GB98/02862

In the tissue exhibiting the systemic suppression of stGFP, the steady state levels of stGFP RNA were reduced below the level of northern blot detection (Fig. 3 lanes 1-4) indicating that there is gene silencing. To determine whether the mechanism of stGFP silencing is transcriptional or post-transcriptional, we exploited previous demonstrations that post-transcriptionally silenced transgenes confer resistance against modified potato virus X (PVX) constructs in which there is sequence similarity to the silencer transgene15. A transgene exhibiting transcriptional gene silencing did not affect the corresponding viral construct15. The modified PVX in the present analyses (Fig. 1c) carried either a GFP or a GUS reporter gene (PVX-GFP16 and PVX-GUS17 respectively). The viral inocula were applied to the upper leaves of N. benthamiana at 18d post-infiltration with either water or cultures of A.tumefaciens.

Northern analysis (Fig. 3) revealed that at 5 days post-20 inoculation there was abundant PVX-GFP RNA in leaves of nt and stGFP N. benthamiana that had been previously infiltrated with water (Fig. 3, lanes 11-13). The PVX-GFP RNA was also abundant if the plants had been previously infiltrated with the NPT:GUS:GFP strain prepared in the 25 presence (nt line) or absence (stGFP line) of acetosyringone (Fig. 3, lanes 9,10,14). However, in the stGFP-silenced leaves of plants that had been previously infiltrated with the acetosyringone-treated NPT:GUS:GFP strain of A.tumefaciens, the accumulation of PVX-GFP RNA was reduced to levels that were at or below the limit of 30 detection (Fig. 3, lanes 5-8). When PVX-GUS was inoculated to these leaves there were as many GUS foci as on the corresponding control leaves in which there was no suppression of stGFP (Fig. 2k,1). From these differential 35 effects on PVX-GFP and PVX-GUS we conclude that trGFP elicited sequence-specific gene silencing at the posttranscriptional level.

35

Example 5: The gene silencing signal is not the construct vector or host comprising the transgene coding for the fiNA

We can rule out that the systemic suppression of stGFP is 5 associated with systemic spread of the NPT:GUS:GFP strain of A. tumefaciens because there was no detectable GUS18 in tissues that exhibited systemic suppression of stGFP (Fig. 2h-j). Furthermore, using A selective enrichment procedure19, we could not detect A. tumefaciens in sap 10 extracts of tissue showing suppression of stGFP. In ten samples the selective enrichment procedure detected A.tumefaciens in 10<sup>-12</sup>-fold dilutions of infiltrated leaf extracts. However, in forty-five samples from systemic tissue (including stems and apexes) exhibiting full or 15 partial silencing of stGFP, the infiltrated A.tumefaciens was not detected, even in undiluted samples. These sensitive assay methods therefore confirm that A.tumefaciens cells were absent from the systemic tissue in which stGFP was suppressed. We can also rule out, 20 based on negative results of a PCR test for GUS DNA, that there is systemic movement of the NPT:GUS:GFP binary vector independently of its A. tumefaciens host.

## 25 Example 6: Effect of reduced levels of fiNA

In embodiments in which the fiNA is introduced into the cytoplasm by means of transcription of a nucleic acid in the nucleus, the efficient introduction of fiNA in the cytoplasm may determine the efficiency of the silencing. To verify this the systemic silencing of GFP was only partial if the GFP constructs were modified so that the 35S promoter was either deleted or replaced with the weaker nopaline synthase promoter. The resulting partial silencing was manifest as small spots on the systemic leaves of the infiltrated plants in which there was no GFP due to stGFP. The reduced gene silencing may reflect

43

reduced levels of the GFP mRNA fiNA in the cytoplasm, owing to reduced transcription under a weaker promoter.

## Example 7: The gene silencing signal does not require fina transcription

In the second series of experiments the same stGFP plants were bombarded as young seedlings with gold particles carrying DNA fragments. When the gold particles carried sequences homologous to stGFP there was silencing of GFP as described above in the infiltrated plants after 10d or more. These experiments revealed that the foreign nucleic acid need not be transcribed in order to elicit the systemic gene silencing.

15

20

10

5

CONSTRUCTS / NUCLEIC ACIDS USED FOR BOMBARDMENT:

All experiments described here involve GFP as a target gene in plants. Each bombardment is performed on 10 plants at the same time. Plants are small seedlings (usually 1cm long) grown on AGAR. The indicated nucleic acids are coated onto gold particles and the bombardment of the DNA coated gold uses electrostatic acceleration such as is well known to those skilled in the art.

25

30

35

Each of the following constructs / nucleic acid has been tested at least 3 times (30 plants). The ability of the construct to promote silencing is expressed in term of YIELD. The yield is calculated on the 10 bombarded plants and corresponds to number of plants showing clear systemic silencing. Silencing for these purposes was taken to mean initiation within the plant of the gene silencing signal, leading to persistent silencing of the adult plant which was essentially systemic (except in meristematic tissues and in the pollen and eggs). The systemic silencing normally becomes apparent within 10 days. post bombardment and is complete after 28 days.

44

1. {CamV 35S promoter - GFPcDNA - Nos terminator} in PUC19

This construct gave the most elevated yield of those tested. Out of 7 independent bombardment experiments (70 plants) the average yield of silencing is 75%.

2. {GFP cDNA} in PUC19 / pBluescript (GFP cDNA is 800 bp).

10

This construct gives silencing, but with an attenuated yield. It shows that transcription of the input homologous sequence (fiNA) is not required for setting the signal and the silencing throughout the plant.

15

Average yield calculated on 4 independent experiments (40 plants): 40%.

3. PCR-amplified fragment corresponding to the 5' part of the GFP cDNA, 400 bp long, no vector.

This gives silencing, with an average yield of 30% calculated on the basis on 3 experiments. This shows that even a portion of the target gene (here approximately the half) is able to generate silencing. Also, it shows that there is no need of a plasmid vector to carry the input sequence.

{3' part of the GFP cDNA, 300bp long} in PUC19

30

35

25

This gives silencing with an average yield of 20% calculated on the basis on 2 experiments only. This shows that (i) potentially any part of the target sequence can elicit silencing and (ii) the length and/or homology between the target and the input sequence may affect the yield of silencing, but that gene silencing can be achieved with only partial sequences.

45

5. Control experiments

None of the following constructs led to GFP silencing:

- 5 a. {CamV 35S promoter GUS cDNA Nos terminator} in PUC19 tested on 60 plants
  - b. {Ubiquitin promoter GUS cDNA Nos terminator} inPUC19 tested on 60 plants
  - c. {400 bp of PDS cDNA} in PUC19 tested on 40 plants
  - d. PUC19 tested on 30 plants

10

- Example 8: Translocation of the gene silencing signal is facilitated by the expression of a resident gene that is homologous to the fiNA
- A three-way graft was produced in which the bottom stock 20 part was an stGFP N.benthamiana plant that had been previously infiltrated with an NPT:GUS:GFP strain of Agrobacterium as described in Example 1 and in which there was systemic silencing of GFP. The upper scion was also from an stGFP transgenic N. benthamiana but that had 25 not been infiltrated and in which stGFP was not silenced. The intermediate scion was from a non-transgenic N.benthamiana i.e. a plant which did not comprise the GFP gene or a sequence homolog thereof. The upper part of this grafted plant remained green fluorescent over several weeks indicating that the signal did not move 30 through the non transgenic segment that lacked a gene with homology to the fiNA. However, in Example 14 below, it was shown that after 6 weeks the signal did spread accross the graft junction in a number of cases, 35 indicating that transcription of a homologous gene is not an absolute requirement for transmission.

35

In separate experiments it was confirmed that the signal of gene silencing did move efficiently though the graft union between the stock and scion of two stGFP plants.

#### Example 9: TIGS is stably maintained whereas VIGS is not 5

stGFP N. benthamiana plants were infected with PVX-GFP to elicit 'viral induced gene silencing' ('VIGS') of GFP or were infiltrated with an NPT:GUS:GFP strain of Agrobacterium to induce TIGS. The VIGS had extended through most of the upper part of the plant by 21 days post inoculation and associated with this there was suppression of PVX-GFP below the levels detectable northern blotting. By 35 days the uppermost regions of the plants regained green fluorescence indicating that 15 VIGS had diminished although there was no reappearance of the PVX-GFP. This suggests that VIGS requires continued presence of the initiator virus.

In the plants exhibiting TIGS of GFP the initial spread 20 of gene silencing was at the same rate as in the plants showing VIGS. However, in these plants the silenced condition was permanent for 42 days or longer after the initial infiltration. All upper parts of the plant except the meristems, pollen and eggs exhibited silencing 25 The silenced condition remained even if the infiltrated leaf was detached. Thus TIGS does not require continued presence of the fiNA.

### Example 10 - The TIGS can be maintained in regenerated 30 plants

It was even possible to regenerate stGFP silenced plants by tissue culture of leaf disc explants from the upper parts of the TIGS plants. These regenerated plants showed silencing of stGFP in the same way as the original infiltrated plants.

47

The regeneration of gene silencing plants may be carried out by methods analogous to those used by those skilled in the art for regeneration of plants. Briefly, the regeneration was carried out as follows:

5

10

15

20

25

30

35

- 1) take a leave from a silenced plant (silenced by TIGS)
- 2) sterilize it for 30 minutes in 7.5% domestos
- 3) cut the leaf into small squares
- 4) put this square into "MS media plus vitamins" (Sigma) supplemented with 1.0 mg/ml of 6-BAP, 0.1 mg/ml of NAA, 3% sucrose.
  - 5) after 2-3 weeks the squares start to produce shoots that are completely silenced (except on meristems).
  - 6) transfer these shoots to unsupplemented "MS media plus vitamins"
    - 7) allow the plants to grow

The post transcriptional silencing was evidenced by a continued resistance to viral constructs sharing homology with the silenced gene, but no resistance to other viral constructs which did not include a GFP sequence or homolog thereof.

## Example 11 - The TIGS signal has the characteristics of nucleic acid

GFP transgenic N.benthamiana were harvested at 10-20 d post infiltration with the NPT:GUS:GFP strain of agrobacterium and the leaves in which GFP expression was silenced were homogenised in phosphate buffer (50 mM pH7.0). The homogenate was then applied to the leaves of GFP N.benthamiana that had not previously been infiltrated and in which GFP expression was not silenced. The procedure for application of the sap was the same as standard procedures used to inoculate plants with virus-infected sap: the leaves were first dusted with carborundum. A drop of sap (20uL) was applied to the

leaves and the leaves were rubbed gently by hand to generate abrasions through which the sap components could enter the cells. After five minutes the leaves were drenched with water so that residual sap would not have a toxic effect.

By 20 days post treatment the GFP expression was largely unaffected. However there were several (5-20) small regions on each plant in which GFP expression (diagnosed by absence of green fluorescence under UV light) was absent. These regions varied in size between 1 and 10mm diameter. There were no regions of GFP suppression if the extracts were taken from GFP N.benthamiana that had not previously been infiltrated with the NPT:GUS:GFP strain of agrobacterium or from non transgenic plants.

The presence of the regions suppressed GFP expression indicates that the signal of silencing had been isolated in the sap extracts. We conclude that this signal is a nucleic acid because it was heat labile (100°C 5 min) and was not destroyed when the sap was extracted with phenol/chloroform. The signal was also not destroyed by DNAase treatment of the sap indicating that it may be RNA.

25

30

35

20

5

10

15

## Example 12: TIGS is not the same as VIGS

stGFP N.benthamiana were inoculated with a mutant derivatives of PVX-GFP in which the CP gene had been deleted. Because of this mutation the virus was disabled for cell to cell movement. Whereas the intact PVX-GFP elicited systemic silencing of the GFP transgene in a manner consistent with the systemic spread of the virus throughout the plants, these mutant constructs failed to do so. This failure was not because the inocula were inactive: the same inocula applied to transgenic plants expressing the PVX CP produced croning infection loci due

to complementation of the CP mutation in the virus.

This result shows that VIGS did not produce a signal that moved long distances beyond the infected cells: the systemic effect of VIGS must be because the virus can move between cells. In contrast, TIGS, despite the involvement of a fiNA that is not endowed with cell to cell movement properties, does produce a long distance signal as described in the above examples.

10

5

In Examples 13 to 19 below, the stably integrated GFP transgene (trGFP) is referred to as "intGFP", while the transient FINA GFP (trGFP) is referred to as "epiGFP".

Example 13: The gene silencing signal requires uptake of the transgene coding for the fiNA: The role of T-DNA transfer and transcription

As discussed in Example 3 above, transfer of the T-DNA 20 from A. tumefaciens to the plant cell nucleus is a process that requires expression of the bacterial virulence (Vir) genes. To determine whether TIGS requires transfer of epiGFP into plant cells, the previously described experiments were repeated under conditions in which the A. tumefaciens Vir gene activity was either up-25 or down-regulated. To down-regulate the Vir genes, the A. tumefaciens culture was incubated prior to infiltration in the absence of acetosyringone, which is an inducer of Vir genes (Ream, 1989). Up-regulation of Vir genes was 30 achieved by use of a hypervirulent strain of A. tumefaciens (cor308) carrying duplicate copies of VirG, VirE1 and VirE2 (Hamilton et al., 1996). VirG is the transcription activator of all Vir functions; VirEl and VirE2 are involved in T-DNA transfer and stabilisation in 35 the cytoplasm. VirE2 is also required for nuclear targeting of the T-DNA (Zupan and Zambryski, 1997).

Both approaches indicated that TIGS requires Vir gene function. Thus, with N:G:G, A. tumefaciens cultures produced in the absence of acetosyringone, the onset of TIGS was inconsistent from plant to plant and was much slower (40d post infiltration) than with cultures prepared in the presence of acetosyringone (around 20d post infiltration) as shown in Table I:

Table 1. Effect of A. tumefaciens Vir genes and epiGFP promoters on ...
TIGS.

Binary vector	aceto- syringone induction	hyper- virulent strain	No. of plants	No. silenced plants	No. silenced plants
		cor308	_	by 7 dpi	by 20 dpi
N:G:G	+	+	30	26	30
N:G:G	+	-	100	0	100
N:G:G	-	-	30	0	0
N:G	+	-	30	0	30
N:Gnos	+	-	30	0	30
N:G A	+	_	30	0	30

20

15

5

"dpi" is an abbreviation for d post infiltration. A plant was considered as silenced if there was loss of GFP fluorescence surrounding the veins of systemic leaves.

25

30

Furthermore, when cultures were produced without acetosyringone, TIGS was restricted to small discrete zones in the upper parts of the infiltrated plants and was much less extensive than in plants infiltrated with acetosyringone-treated cultures. Conversely, the use of a hypervirulent A. tumefaciens (cor308) host of the N:G:G construct accelerated the development of TIGS by several days: TIGS initiated with this strain started at 7d post infiltration and was complete by 10d (Table I).

51

The influence of Vir gene expression indicates that TIGS requires transfer of T-DNA into plant cells. However, these experiments do not show whether epiGFP transcription is required. To address this issue, the infiltration experiments were repeated with derivatives of the pBin35S:GFP construct (Figure 1) in which the 35S promoter of epiGFP was either replaced with the nos promoter (N:Gnos, Figure 4). The nos promoter is much weaker than the 35S promoter of CaMV (Harpster et al., 1988). We also agroinfiltrated with a construct without a GFP promoter (N:G Δ, Figure 4). In several experiments (Table I) there was TIGS of intGFP when the constructs were infiltrated into transgenic N. benthamiana plants. With both of these constructs, TIGS developed as quickly as with the original N:G:G construct (Table I), indicating that the presence of a promoter upstream epiGFP is not required for initiation of TIGS.

### Example 14 - Propagation of the TIGS signal

20

25

30

35

5

10

15

Symplastic movement of molecules in plants can occur from cell-to-cell through plasmodesmata and/or through the phloem (Lucas et al., 1989). To investigate which of these routes is used to propagate TIGS, we monitored intGFP silencing after infiltration of plants with the N:G:G strain of A. tumefaciens. At 20d post-infiltration of lower leaves, the silencing was manifest in systemic, young developing leaves and was very pronounced in the shoot tips. There was also silencing in upper leaves that were already expanded at the time of infiltration but it was fainter and less extensive than in the young developing leaves. In contrast, the leaves immediately above and below the infiltrated leaves remained fully green fluorescent. At 30d post-infiltration the stem and roots below the infiltrated leaves also showed intGFP silencing, thus indicating that the movement of the TIGS signal was bi-directional in the plant. In terms of speed

10

15

52

and spatial distribution, this pattern of spread is similar to the movement of viruses in the phloem, from source to sink leaves (Leisner and Turgeon, 1993).

Additional support for phloem transport of the signal comes from experiments in which intGFP plants were infiltrated with the N:G:G strain of A. tumefaciens in just a single leaf. These experiments differ from those described previously in which the plants were infiltrated in two or three leaves on opposite sides of the plant. At 1 month post-infiltration, intGFP silencing in the stem was restricted to the side of the original infiltrated leaf. Shoots that had emerged from the silenced portion of the stem were silenced, while those emerging from the non-silenced half were not. This pattern of signal movement was strikingly similar to the spread of a phloem-translocated dye and of a systemic virus in N. benthamiana (Roberts et al., 1997).

The development of silencing in leaves was also similar 20 to the translocation of a phloem-transported dye through class I, II and III veins of N. benthamiana leaves (Roberts et al., 1997). In systemic leaves that had already expanded at the time of infiltration, intGFP silencing was initially (20d post infiltration) in 25 regions surrounding the main veins and later (27d postinfiltration) in regions around the minor veins. At 34d post-infiltration, intGFP silencing spread across the whole lamina of the leaf thus indicating that there was cell-to-cell movement of the silencing signal as well as 30 translocation through the phloem. This cell-to-cell movement is likely to occur through plasmodesmata because there was no intGFP silencing in the stomatal guard cells which would have been symplastically isolated before the signal moved into the leaf (Ding et al., 1997; McLean et 35 al., 1997). However, in leaves that developed after the signal had spread to the apical growing point, intGFP was

53

uniformly silenced, even in the stomatal guard cells. From this observation, we conclude that guard cells are competent for gene silencing provided that the signal invades leaves early in their development, before symplastic isolation of the guard cells.

5

10

15

20

25

30

35

To further investigate the movement of the TIGS signal, we carried out grafting experiments similar to those described previously to characterise systemic spread of transgene-induced gene silencing (Palauqui et al., 1997; see also Example 8 above). Specifically, we wished to determine whether the signal could move through cells in which there were no genes with sequence similarity to the target of TIGS. First, to confirm that the signal is graft transmissible, we wedge-grafted non-silenced intGFP scions onto intGFP rootstocks exhibiting TIGS. TIGS spread into the scions about four weeks after the graft union in 10 out of 16 graftings tested. As with the intact N:G:G infiltrated plants, intGFP suppression in the scions was first manifest around the veins of newly emerging leaves and later became widespread on all vegetative parts of the scions.

Having thus established that the signal in this system is graft transmissible, we produced three-way grafts comprising a silenced intGFP rootstocks, an intermediate section of nt stem and a top scion of a non silenced intGFP plant. Using this procedure, we observed silencing occurring in the intGFP top scions about six weeks after the graft junctions in 5 out of 11 graftings tested. This result demonstrates that the TIGS signal could move long distances and through cells in which there is no corresponding nuclear gene, as the intermediate section had no GFP sequence.

In a separate series of experiments, the speed of signal movement was assessed by removal of the infiltrated leaf

1, 2, 3, 4 or 5 days after infiltration with the N:G:G strain of A. tumefaciens. In these experiments, there was systemic loss of intGFP fluorescence (i.e. TIGS) in 10% of the plants if the infiltrated leaf was removed 2d post-infiltration. A progressively higher proportion of plants exhibited TIGS when the infiltrated leaf was removed 3d or later (Figure 5). From these data, we conclude that production and translocation of the signal occurs within 2 or 3d post-infiltration.

54

PCT/GB98/02862

10 .

15

5

In plants that exhibited TIGS after removal of the infiltrated leaf, loss of intGFP developed as quickly and persisted for as long as in the intact plants.

Furthermore, in all of the N:G:G-infiltrated plants, TIGS of intGFP persisted for more than 100d post infiltration.

Even in these old plants, TIGS continued to be induced in the newly emerging leaves, despite the loss of the infiltrated leaf due to senescence. Considering these observations, we propose that propagation of the TIGS signal occurs via a relay process. The cells receiving the signal from the infiltrated leaf would become a secondary source of the signal so that maintenance of PTGS in the plant would become independent of the infiltrated leaf.

25

30

35

20

## Example 15 - TIGS in meristematic cells

Although there was extensive and persistent silencing of intGFP in the N:G:G-infiltrated N. benthamiana plants the floral, vegetative and root apexes always remained non silenced i.e. green fluorescent (see below). Either the signal of gene silencing cannot enter dividing cells or dividing cells lack the potential to silence intGFP. To address these alternatives, we cultured leaf explants from plants exhibiting TIGS of GFP. The explants were cultured on media promoting shoot regeneration. It was expected that intGFP silencing would be lost if dividing

10

15

20

25

30

35

cells lack the potential to silence intGFP.

In shoots and leaves regenerating from these explants there was no intGFP fluorescence in most parts of the organs, whereas shoots regenerated from non-silenced plants remained fully green fluorescent. From these observations we conclude that silencing was not induced by the culture procedures but that it could persist through in vitro organogenesis. However the extreme apical regions of the silenced shoots were green fluorescent, as in the progenitor plants. When the shoots developed into plants with roots, the root tips and apical zones of vegetative and floral shoots were also green fluorescent. This apical fluorescence was not present in nontransformed plants and is therefore bona fide GFP rather than an artefact due to the presence of fluorescent compounds. These results indicate that TIGS can be maintained in, or can pass through dividing cells but that the gene silencing mechanism is not effective in meristematic tissues of the plant, presumably because the signal of TIGS cannot reach those regions. These findings reinforce the striking similarities between the movement of the TIGS signal and the movement of plant viruses, which are generally excluded from meristems (Matthews, 1991).

#### Example 16 - Biolistic activation of TIGS

In the experiments described above, epiGFP was delivered by infiltration of A. tumefaciens into leaves of intGFP transgenic plants. To evaluate an alternative means of epiGFP delivery, we bombarded small seedlings (5-7 mm long) with gold particles coated with the pUC 35S-GFP plasmid (Figure 6A). This plasmid is based on pUC19 and has the complete 35S-GFP cassette from pBin35S-GFP (Figure 6A). Three weeks after bombardment, 75% of the plants showed TIGS of intGFP. As in the agroinfiltrated

10

15

plants, there was TIGS of intGFP throughout the plant except in the growing points of the shoots and roots. This result was consistent and reproducible in seven independent experiments, involving a total of 70 plants (Figure 6A). TIGS of intGFP was never observed when intGFP plants were bombarded with uncoated gold particles or plasmid that did not carry the GFP ORF (data not shown). In order to estimate the number of cells that receive the delivered DNA, we also bombarded seedlings with a pUC 35S-GUS plasmid and stained the whole plants for GUS activity three days later. We found that, on average, less than 8 randomly distributed individual cells exhibited blue staining in whole seedlings. These results indicate that TIGS does not depend on the delivery method of epiGFP and that very localised events can initiate production and spread of the sequencespecific signal of gene silencing.

Bombardment of linear fragments of GFP cDNA without a promoter, either intact or as 5' or 3' fragments, also 20 led to TIGS. The two fragments of GFP (..P and G..; Figure 6A) were both less efficient initiators of TIGS than the intact cDNA (GFP, Figure 6A) thus indicating that initiation of TIGS is affected by the length of epiGFP. To further investigate importance of epiGFP 25 length, a series of PCR-amplified fragments were produced. These fragments were all of the same physical length (500bp) but had 3' co-terminal fragments of GFP cDNA of varying length. The non-GFP DNA in these fragments was from pBluescript. Equal amounts of each 30 fragment were bombarded into 50 plants in 5 independent experiments. The results, summarised in Figure 6B, clearly show that the efficiency of TIGS initiation is determined by the length of homology between the epiGFP and the intGFP. 35

Example 17: TIGS requires an interaction of epiGFP and

### intGFP

5

10

15

20

25

30

35

In principle, TIGS could be initiated by epiGFP alone. Alternatively it could be initiated following an interaction between epiGFP and intGFP DNA or intGFP RNA. To distinguish between these possibilities, we have further characterised the targets of TIGS following bombardments with 5' or 3' linear fragments of GFP cDNA (GF. and..P, Figure 7A). If TIGS was initiated only by the bombarded DNA, the target would be confined to the region (i.e. sequence) of the bombarded DNA. However, a target that was determined following an interaction with intGFP could extend beyond the regions of the bombarded DNA. The assay for TIGS target sites involved inoculation of PVX-GF and PVX-P (Figure 7A) to intGFP plants that had been bombarded 21d previously with GFP, .. P or GF. (Figure 7A, diagram). Virus inoculations were made to leaves exhibiting TIGS of intGFP and accumulation of the viral RNA was assessed by northern analysis of RNA samples taken from the inoculated leaves at 8d post inoculation (Figure 7A, diagram).

Northern analyses of inoculated leaves showed that accumulation of PVX-GFP and PVX-GF (Figure 7B, lanes 8-10 and 12-14) was lower (by at least ten fold) in leaves exhibiting TIGS of intGFP than in the leaves of non transformed plants (Figure 7B lanes 6) or in the leaves of intGFP plants that had been previously bombarded with uncoated gold particles (Figure 7B, lanes 6,7 and 11). It was particularly striking that silencing induced by epi..P could target PVX-GF (Figure 7B, lanes 13 and 14) and, conversely, silencing induced by epiGF. could target PVX-P (Figure 7A, data not shown). As there is no sequence overlap between the GF. and ..P fragments involved in these experiments, we conclude that the target site of TIGS is determined following an interaction of epiGFP and intGFP DNA or intGFP RNA.

35

Moreover, the influence of the bombarded DNA can extend both in the 3' (from GF to P) or in the 5' (from P to GF) direction.

## 5 <u>Example 18 - Spontaneous TIGS</u>

Among our transgenic N. benthamiana lines, we identified one line (15a) in which intGFP systemic silencing occurs spontaneously. As with many examples of PTGS in plants, the silencing phenotype of line 15a is influenced by 10 transgene dosage (Hobbs et al., 1993) (Mueller et al., 1995). Progeny of 15a with a hemizygous GFP transgene remained green fluorescent (data not shown) whereas those with a homozygous transgene exhibited intGFP silencing. The development of silencing in these plants followed the 15 same pattern as in infiltrated and bombarded plants. Initially, the plants were uniformly green fluorescent but, at the four leaf stage, spots of red fluorescence developed around the veins of the upper leaves. Eventually, these regions spread along the length of the 20 veins and throughout the plant as for TIGS induced by bombardment or infiltration of A. tumefaciens. We confirmed by grafting experiments the involvement of a systemic signal of silencing in line 15a. In addition, intGFP silencing was not observed in 15a meristems, as in 25 plants exhibiting TIGS. From these observations we conclude that the bombardment or A. tumefaciens infiltration mimic processes that can take place spontaneously in transgenic plants.

Example 19 - TIGS from viral constructs - effect of viral
proteins

A number of constucts were prepared based on the PVX-GFP amplicon constructs of PCT/GB98/00442, but included various deletions in the PVX or transgene regions. GFP was monitored under UV light.

Construction of plasmids

Referring to Figures 8 to 10.

The constructs were based upon pPVX209 (in which PVX-GFP is inserted into a pUC19 plasmid under a 35S promoter) which in turn was based on pPVX204 (see Baulcombe et al, 1995) but including an additional SacI site at the 5' side of the promoter.

10

20

Plasmid pPVX210A, which included a coat protein (CP) deletion, was generated from pPVX209.

Plasmids pCL100, pCL101 and pCL102, which included

further deletions in the 'triple block' of cell-to-cell

movement proteins (25K, 12K and 8K), were generated from
pPVX210A.

Plasmid pCL105, which included further deletions in the replicase (Rep) region, was generated from pCL100.

Plasmid pCL106 included a PCR fragment from pPVX210A to restore GFP function.

Fig 10 shows how the pUC19 constructs were inserted into the Agrobacterium binary vector plasmid pSLJ755/5. These constructs are numbered as per Table 2:

3	0

35

Description of	Construct in	Construct in
construct	pUC19	pSLJ755/5

PVX-GFP-CP	pPVX209	pPVX211
PVX-GFP	pPVX210A	pPVX212A
PVX-AB-FP	pCL102	pCL112
PVX-AGV-FP	pCL101	pCL111
PVX-ATGB-FP	pCL100	pCL110

10

15

25

30

35

PVX-ARepATGB-FP	pCL105	pCL115
PVX-ATGB-GFP	pCL106	pCL116

PVX-GUS	pA500	-
PVX-AB-GUS	pCL104	pCL114
PVX-ATGB-GUS	pCL103	pCL113

Table. 2. List and description of minimal constructs created (in bold type), and progenitor constructs.

 $\Delta B$ : TGB deletion retaining 5' UTR of TGB and 5' end of 25-kDa protein gene

 $\Delta GB$ : TGB deletion retaining the 5' UTR of TGB  $\Delta TGB$ : TGB deletion retaining only the first 3 nt. of the UTR of TGB

The positive strand sequences for some of the constructs are given in Fig 11.

20 Production and Replication of viral RNA in infected cells

This was confirmed in wild-type plants. Owing to the fact that movement proteins were disabled in most constructs, a standard infection assay could not be used. However, Agrobacterium strains could be infiltrated into the leaves of N. benthamiana to infect a high density of cells in a region of the infiltrated leaf. Northern analysis of RNA isolated from the infiltrated zone of the leaf showed that there was replication of the transcripts from constructs 212A, 110, 112 and 116 as would be predicted from their structure. The 116 construct, which included the strong CP sub-genomic promoter, produced more subgenomic RNA than other constructs. Similarly, under UV light the 212A and 116 gave bright green fluorescence - brighter than a 35S-GFP construct (pA1036 - not shown) which is again consistent with

61

replication of the constructs.

Use of constructs to generate TIGS

5 Silencing of a GFP-transgenic plant was assessed as described in earlier examples in relation to nonreplicating 35S-GFP constructs. The constructs described above were introduced into Agrobacterium tumefaciens (strain GV3101) and cultures were allowed to grow in the 10 presence of acetosyringone. The leaves of a GFP transgenic plant were then infiltrated with the agrobacterium, as described in Example 1, and gene silencing was monitored over a four week period by UV illumination of the plants. The PVX-GFP construct in pPVX212A (see Table 2) was a less efficient silencer 15 sequence than the PVX-Drep-DTGB-FP construct whereas the PVX-DTGB-FP (pCL110) and PVX-DTGB-GFP (PCL116) were more efficient than PVX-Drep-DTGB-FP. From these data we conclude that the ability to produce a replicating RNA, 20 although not necessary to perform the invention, greatly enhances the efficiency of silencing but that the viral movement proteins (encoded in pPVK212A but not in PVX-DTGB-FP (pCL110) and PVX-DTGB-GFP (PCL116)) are antagonists of gene silencing. We conclude that 25 constructs for gene silencing should be constructed so as to avoid expression of movement proteins that may antagonise the gene silencing mechanism.

### DISCUSSION OF EXAMPLES 13-19

30

35

These Examples employ TIGS to further dissect PTGS into separate initiation, spread and maintenance stages. In this discussion we assess the likely molecular mechanisms of these different stages and the natural role of gene silencing in plants and other organisms. We consider the spread stage first, because the inferences about the likely nature of the signal of gene silencing influence

62

the subsequent discussion about the initiation and maintenance stages of gene silencing.

Systemic spread of TIGS

5

10

15

20

35

Systemic spread of TIGS is remarkable in that it involves a sequence specific signal: TIGS initiated against GFP was specific for intGFP or viral GFP RNAs whereas TIGS against GUS was specific for GUS RNAs. This pattern of sequence specificity rules out the possibility that TIGS is a non specific wounding signal or that the specificity is related to the 35S promoter. Therefore it is likely that the signal of TIGS is specific for the transcribed regions of the target gene and that the specificity determinant includes a nucleic acid component. Thus, the signal for TIGS of GFP is likely to contain GFP RNA or DNA, whereas the signal for TIGS of GUS or other genes would contain the corresponding alternative nucleic acid species. From its pattern and speed of systemic spread, we confirm that this putative nucleic acid is able to move not only from cell to cell through plasmodesmata but also systemically through the phloem, as proposed in a recent review article (Jorgensen et al., 1998).

There are precedents in plants for endogenous nucleic acids that move between cells. For example, there are mobile nucleic acids encoded by nuclear genes including the mRNA for a transcription factor (Lucas et al., 1995) and a sucrose transporter mRNA (Kuhn et al., 1997).

However in both of these examples the movement is only

between cells: there is no evidence for long distance movement, as with the signal of TIGS. The mobile nucleic acids that are most obviously comparable to the putative signal of gene silencing are viroids. Like the signal of silencing (Figure 5), these small non-coding RNA species move systemically within a period of a few days after inoculation (Palukaitis, 1987). For both viroids and

WO 99/15682 PCT/GB98/02862 ·

63

TIGS, the route of movement involves cell-to-cell through plasmodesmata and long distance spread through the phloem (Palukaitis, 1987; Ding et al., 1997).

From the leaf detachment experiment (Figure 5), we infer 5 that movement of the signal involves a relay. Some cells receiving the epiGFP were the primary source of initial signal production. However, once the signal moved out of the bombarded or infiltrated leaves this primary source was no longer required and there must have been cells 10 elsewhere in the plant that were a secondary source of the signal molecule. We do not know the maximum distance between primary and secondary relay points in signal production but, from the three-way grafting experiments, we can infer that distances of several centimetres or more could be involved.

15

20

25

30

35

Also of interest is the deduced effect of the viral movement proteins on the spread (or possibly the initiation) of the signal (Example 19). This suggests that, while it may be desirable to have replicating constructs as a source of the fiNA, it may also be desirable to limit these to only a replicase, plus associated cis acting elements and targeting sequence, all under the control of a suitable plant promoter.

Initiation and maintenance of signal production

TIGS was initiated in the bombarded or infiltrated cells that received epiGFP. It is unlikely, although it cannot formally be ruled out, that TIGS required transcription of the introduced DNA because the presence of a promoter had little or no effect on the initiation of TIGS (Table I above, plus also Figures 6 and 7). It is also unlikely that the signal was derived directly from the introduced DNA because TIGS induced by ..P resulted in targeting of the GF. component of GFP RNA. Similarly, bombardment of

10

15

20

25

30

35

GF. produced silencing targeted against ..P (Figure 7). Our interpretation of these data is that TIGS was initiated by an interaction between intGFP and epiGFP and that the target of TIGS was determined by intGFP. The influence of epiGFP length on TIGS is also consistent with an homology-dependent interaction between epiGFP and intGFP (Figure 6B).

We recognise that this proposed interaction of epiGFP could involve intGFP DNA or RNA and that our data do not provide conclusive evidence for either. However, we consider that an interaction with DNA is more likely than with RNA because in N:G:G and N:G, the GFP transgene was orientated 5' to 3' towards the left border of the T-DNA (Figure 4B). The orientation of this gene is relevant because the T-DNA of A. tumefaciens is transferred into plant cells as single-stranded DNA with the right border of the T-DNA at the 5' end (Zupan and Zambryski, 1997). This strand-specific transfer mechanism would not allow the single stranded epiGFP DNA to interact with intGFP RNA because both molecules have the same polarity. However, the single-stranded epiGFP T-DNA would have the potential to interact with homologous DNA in the genome, irrespective of the orientation of the insert. Consistent with a DNA-level interaction we have also shown that single stranded GFP DNA with the polarity of intGFP RNA can initiate TIGS after bombardment (data not shown).

How could a DNA-level interaction of epiGFP and intGFP result in TIGS? We propose here a mechanism similar to an earlier ectopic pairing model of PTGS in transgenic plants. According to this model, the ectopic interactions of epiGFP and intGFP would perturb transcription of the intGFP and lead ultimately to formation of anti-sense RNA (Baulcombe and English, 1996). This antisense RNA would target GFP RNAs for degradation and would be a component of the signal molecule. If the DNA-level interaction led

WO 99/15682

65

to aberrant transcription of the non-coding strand of the genomic DNA, this antisense RNA could be a product of direct transcription from the genome. Alternatively the anti-sense RNA could be produced indirectly by a host-encoded RNA-dependent RNA polymerase, as suggested originally to explain transgene mediated PTGS (Lindbo et al., 1993). In this scenario the RNA-dependent RNA polymerase would produce anti-sense RNA using aberrant sense RNA as template.

10

15

20

25

30

35

5

The proposal that there could be ectopic interactions of homologous DNA leading to aberrant transcription is based on precedents from plants, animals and fungi. In one example, with  $\beta$ -globin genes in mammalian cells, an ectopic DNA interaction was demonstrated directly by the co-localisation of a transfected plasmid with the homologous sequence in the genome (Ashe et al., 1997). In plant and fungal cells, the ectopic interaction could only be inferred indirectly from the modified methylation pattern of the homologous DNAs (Hobbs et al., 1990; Barry et al., 1993). We envisage that these ectopic interactions may lead to aberrant RNA either by arrest of transcription leading to prematurely truncated RNA species, as shown in Ascobolus immersus (Barry et al., 1993). Alternatively the ectopic interactions could cause aberrant extension of transcription, as in the example with  $\beta$ -globin genes (Ashe et al., 1997).

A DNA-level interaction leading to aberrant transcription provides a convenient explanation for the persistence and uniformity of TIGS in the plant. For example, it would explain why the silenced state was stable during the lifetime of the silenced plant. The interaction of the introduced DNA or the signalling molecule at the DNA level could lead to an epigenetic change involving DNA methylation or chromatin modification that could persist even if the silenced cell was no longer receiving signal.

15

20

25

30

35

Consistent with this hypothesis, it has been shown that viroid RNAs can direct sequence-specific DNA methylation in transgenic plants (Wassenegger et al., 1994). Furthermore, transcription of the epimutated DNA or chromatin could provide an amplification step in TIGS. This amplification would explain the relay of TIGS and why the signal does not get diluted as it moves away from the initially infiltrated or bombarded cells.

10 TIGS compared to other examples of gene silencing in plants and animals.

Many examples of gene silencing in plants may be similar to TIGS. For example, in transgenic plants exhibiting transgene-induced PTGS, it is clear from grafting experiments (Palauqui et al., 1997) and from the spatial patterns of silencing that there is an extra-cellular signal of silencing. In addition we consider it likely that gene silencing with a delayed onset, for example with GUS transgenes, may also involve systemic spread of a signal (Elmayan and Vaucheret, 1996). In these instances, we envisage that the process may be initiated in just one or a few cells in the plant, as shown here in TIGS, and that the spread of the signal accounts for the gene silencing throughout the plant.

The involvement of a signal molecule means that genetic or epigenetic variations in single cells could influence the level of gene silencing throughout the plant.

Consequently, the analysis of transgenes in whole plant DNA may not be an accurate indicator of factors that influence PTGS. For example, in a previous study based on analysis of whole plant DNA, it was concluded that single copy, hemizygous transgenes can activate PTGS (Elmayan and Vaucheret, 1996). This conclusion was difficult to reconcile with the suggestion that ectopic DNA interactions initiate PTGS (Baulcombe and English, 1996).

However, the results presented here show that the PTGS in the whole plant could have been initiated in individual cells carrying multiple copies of the transgene due to DNA endoreduplication or chromosomal rearrangements. Therefore, even in plants having only one copy of a silencer transgene in the genome, it cannot be ruled out that PTGS was initiated by ectopic interactions of homologous DNA.

5

Most analyses of PTGS have involved plants and fungi. 10 However there are now reports of gene silencing phenomena in animals that appear similar to the plant and fungal systems. For example, in Drosophila melanogaster there is co-suppression of transgenes and endogenous genes as in petunia, tobacco and other plant systems (PalBhadra et 15 al., 1997). However, more striking, are two recent examples of gene silencing in Caenorhabditis elegans (Fire et al., 1998) and in Paramecium (Ruiz et al., 1998a). The "genetic interference" described in C. elegans is initiated by double stranded RNA (Fire et al., 20 1998) rather than DNA, as described here, but otherwise shares many common features with TIGS including the ability to spread by a relay mechanism through the affected organism. In Paramecium, microinjection of plasmids containing sequences of a gene led to homology-25 dependent silencing of the corresponding gene in the somatic macronucleus (Ruiz et al., 1998a). As described here for TIGS, the silencing effect could be initiated with plasmids containing only the coding region of the gene and was stably maintained throughout vegetative 30 growth of the organism. Perhaps the similarity between TIGS, the induced silencing in Paramecium and the effect of double stranded RNA in C. elegans reflects the existence of a ubiquitous mechanism in plants and animals that is able to specifically target aberrant RNA. This 35 possibility fits well with the suggestion that RNA double-strandedness is a possible aberrance required for

WO 99/15682 PCT/GB98/02862

68

initiation of PTGS in transgenic plants (Metzlaff et al., 1997).

A role for TIGS in plants?

5

10

15

20

25

30

35

In addition to the previously made suggestion that TIGS reflects a protection mechanism in plants against viruses and transposons (Voinnet and Baulcombe, 1997 - see also above), we consider it possible that TIGS also represents a natural signalling mechanism in plant development. These proposals were anticipated in an insightful review written in 1982 suggesting that viroids exploit a natural mechanism of RNA signalling (Zimmern, 1982). We consider it is possible, for example, that TIGS-like signalling may be implicated in the control of flowering in plants. It is known from classical experiments that there is a graft transmissible signal of flowering (florigen) which has many of the predicted attributes of a natural manifestation of TIGS (Poethig, 1990). Like the TIGS signal, florigen does not correspond to any of the conventionally characterised hormones or other signalling molecules in plants but it does move systemically to produce an epigenetic switch (Bernier, 1988; Colasanti et al., 1998). With florigen the epigenetic switch is associated with the transition from the vegetative to the flowering state of the plants and in TIGS, gene silencing can be considered as an epigenetic event. In some instances changes in DNA methylation have been implicated in floral commitment (Poethig, 1990). Perhaps florigen and the putative signal of TIGS are similar types of mobile RNA. This RNA might have the characteristics of viroid RNA that allow it to move systemically in plants and direct sequence specific DNA methylation (Wassenegger et al., 1994). In the case of florigen the target DNA might be sequences controlling the transition from the vegetative to the flowering state.

WO 99/15682 PCT/GB98/02862

69

#### REFERENCES

1. Covey, S. N., Al-Kaff, N. S., Langara, A. & Turner, D. S. Plants combat infection by gene silencing. *Nature* 385, 781-782 (1997).

2. Ratcliff, F., Harrison, B. D. & Baulcombe, D. C. A similarity between viral defense and gene silencing in plants. *Science* 276, 1558-1560 (1997).

10

5

3. Assaad, F. F., Tucker, K. L. & Signer, E. R. Epigenetic repeat-induced gene silencing (rigs) in arabidopsis. *Plant Molecular Biology* 22, 1067-1085 (1993).

15

- 4. Boerjan, W., Bauw, G., Van Montagu, M. & Inzé, D. Distinct phenotypes generated by overexpression and suppression of S-Adenosyl-L-Methionine synthetase reveal developmental patterns of gene silencing in tobacco.
- 20 Plant Cell 6, 1401-1414 (1994).
  - 5. Matzke, M. A. & Matzke, A. J. M. How and why do plants inactivate homologous (trans)genes? *Plant Physiol*. 107, 6679-685 (1995).

25

6. Baulcombe, D. C. & English, J. J. Ectopic pairing of homologous DNA and post-transcriptional gene silencing in transgenic plants. *Current Opinion In Biotechnology* 7, 173-180 (1996).

30

7. Ingelbrecht, I., Van Houdt, H., Van Montagu, M. & Depicker, A. Posttranscriptional silencing of reporter transgenes in tobacco correlates with DNA methylation. *Proc. Natl. Acad. Sci. USA*. 91, 10502-10506 (1994).

35

8. Elmayan, T. & Vaucheret, H. Expression of single copies of a strongly expressed 35S transgene can be

silenced post-transcriptionally. Plant Journal 9, 787-797 (1996).

- 9. Metzlaff, M., O'Dell, M., Cluster, P. D. & Flavell, R. B. RNA-mediated RNA degradation and chalcone synthase A 5 silencing in petunia. Cell 88, 845-854 (1997).
- 10. Mlynarova, L., Jansen, R. C., Conner, A. J., Stiekema, W. J. & Nap, J. P. The MAR-mediated reduction in position effect can be uncoupled from copy 10 number-dependent expression in transgenic plants. Plant Cell 7, 599-609 (1995).
- 11. Chalfie, M., Tu, Y., Euskirchen, G., Ward, W. W. & Prasher, D. C. Green fluorescent protein as a marker for 15 gene expression. Science 263, 802-805 (1994).
- 12. English, J. J., Davenport, G. F., Elmayan, T., Vaucheret, H. & Baulcombe, D. C. Requirement of sense transcription for homology-dependent virus resistance and 20 transactivation. Plant Journal (1997). (in press).
- 13. Vaucheret, H. Promoter-dependent trans-inactivation in transgenic tobacco plants: kinetic aspects of gene silencing and gene reactivation. C. R. Acad. Sci. Paris. 25 317, 310-323 (1994).
- 14. Ream, W. Agrobacterium tumefaciens and interkingdom genetic exchange. Annu. Rev. Phytopathol. 27, 583-618 (1989).30

- 15. English, J. J., Mueller, E. & Baulcombe, D. C. Suppression of virus accumulation in transgenic plants exhibiting silencing of nuclear genes. Plant Cell 8, 179-188 (1996).
- 16. Baulcombe, D. C., Chapman, S. N. & Santa Cruz, S.

20

25

Jellyfish green fluorescent protein as a reporter for virus ãinfections. *Plant J.* 7, 1045-1053 (1995).

- 17. Chapman, S. N., Kavanagh, T. A. & Baulcombe, D. C.

  Potato virus X as a vector for gene expression in plants.

  Plant J. 2, 549-557 (1992).
- 18. Jefferson, R. A., Kavanagh, T. A. & Bevan, M. W. GUS fusions: b-glucuronidase as a sensitive and versatile gene fusion marker in higher plants. *EMBO J.* 6, 3901-3907 (1987).
  - 19. Matzk, A, Mantell S., & Schiemann J., Localization of Persisting agrobacteria in transgenic tobacco plants.

    Mol. Plant-Microbe Interact. 9, 373-381 (1996).
  - 20. Jorgensen, R. A. Cosuppression, flower color patterns and metastable gene expression states. *Science* 268, 686-691 (1995).
- 21. Kunz, C., Schöb, H., Stam, M., Kooter, J. M. & Meins, F., Jr. Developmentally regulated silencing and reactivation of tobacco chitinase transgene expression. Plant J. 10, 4337-450 (1996).
- 22. Horsch, R. B., Fry, J. E., Hoffmann, N. L., Eichholtz, D., Rogers, S. G. & Fraley, R. T. A simple and general method of transferring genes into plants. *Science* 227, 1229-1231 (1985).
- 23. Haseloff, J., Siemering, K. R., Prasher, D. C. & Hodge, S. Removal of a cryptic intron and subcellular localization of green fluorescent protein are required to mark transgenic Arabidopsis plants brightly. Proc. Natl.

  35. Acad. Sci. USA 94, 2122-2127 (1997).
  - 24. Jefferson, R. A. Assaying chimeric genes in plants:

PCT/GB98/02862 WO 99/15682

72

The GUS gene fusion system. Plant Mol. Biol. Rep. 5, 387-405 (1987).

#### ADDITIONAL REFERENCES

5

Anandalakshmi, R., Pruss, G.J., Ge, X., Marathe, R., Smith, T.H., and Vance, V.B. (1998). A viral suppressor gene silencing in plant. Proc.Natl.Acad.Sci.USA in press.

10

Angell, S.M., and Baulcombe, D.C (1995). Cell to cell movement of potato virus X related by micro-injection of a viral vector tagged with the b-glucuronidase gene. Plant J. 7,135-140.

15

20

25

30

35

Angell, S.M., Davies, C., and Baulcombe, D.C (1996). Cellto-cell movement of potato virus X is associated with a change in the size exclusion limit of plasmodesmata in trichome cells of Nicotiana clevelandii. Virology 215, 197-201.

Ashe, H. L., Monks, J., Wijgerde, M., Fraser, P., and Proudfoot, N. J. (1997). Intergenic transcription and transinduction of the human B-globin locus. Genes and Development 11, 2494-2509.

Barry, C., Faugeron, G., and Rossignol, J.-L. (1993). Methylation induced premeiotically in Ascobulus: Coextension with DNA repeat lengths and effect on transcript elongation. Proc. Natl. Acad. Sci. USA. 90, 4557-4561.

Baulcombe, D. C. (1996). Mechanisms of pathogen-derived resistance to viruses in transgenic plants. Plant Cell 8, 1833-1844.

WO 99/15682

Baulcombe, D. C., Chapman, S. N., and Santa Cruz, S. (1995). Jellyfish green fluorescent protein as a reporter for virus infections. Plant J. 7, 1045-1053.

5

Baulcombe, D. C., and English, J. J. (1996). Ectopic pairing of homologous DNA and post-transcriptional gene silencing in transgenic plants. Current Opinion In Biotechnology 7, 173-180.

10

- Bernier, G. (1988). The control of floral evocation and morphogenesis. Ann.Rev. Plant Physiol. Plant Mol. Biol. 39, 175-219.
- Brigneti, G., Voinne, O., Wan-Xiang, L., Liang-Hui, J., Ding, S.-W., and Baulcombe, D.C. (1998). Viral pathogenicity determinants are suppressors of transgene silencing in Nicotiana benthamiana. EMBO J. in press.
- Chapman, S. N., Kavanagh, T. A., and Baulcombe, D. C. (1992). Potato virus X as a vector for gene expression in plants. Plant J. 2, 549-557.

Christou, P., Ford, T. L., and Kofron, M. (1991).

Production of transgenic rice (Oryza sativa L.) plants from agronomically important indica and japonica varieties via electric discharge particle acceleration of exogenous DNA into immature zygotic embryos.

Bio/Technology 9, 957-962.

30

Colasanti, J., Yuan, Z., and Sundaresan, V. (1998). The indeterminate Gene Encodes a Zinc Finger Protein and Regulates a Leaf-Generated Signal Required for the Transition to Flowering in Maize. Cell 93, 593-603.

35

Deom, C.M., Oliver, M.J., and Beachy, R.N (1987). The 30-Kilodalton Gene Produce of Tobacco Mosaic Virus

Potentiates Virus Movement. Science 237, 389-394.

Depicker, A., and Van Montagu, M. (1997). Post-transcriptional gene silencing in plants. Curr. Opin.

- 5 Cell Biol. 9, 372-382.
  - Ding, S.W., Li, W.-X., and Symons, R.H. (1995). A novel naturally occurring hybrid gene encoded by a plant RNA virus facilitates a long distance virus movement EMBO J. 14, 5762-5772.
  - Ding, B., Kwon, M.-O., Hammond, R., and Owens, R. (1997). Cell-to-cell movement of potato spindle tuber viroid. Plant J. 12, 931-936.
- Elmayan, T., and Vaucheret, H. (1996). Expression of single copies of a strongly expressed 35S transgene can be silenced post-transcriptionally. Plant J. 9, 787-797.
- English, J. J., and Baulcombe, D. C. (1997). The influence of small changes in transgene transcription on homology-dependent virus resistance and gene silencing. Plant J. 12, 1311-1318.
- English, J. J., Davenport, G. F., Elmayan, T., Vaucheret, H., and Baulcombe, D. C. (1997). Requirement of sense transcription for homology-dependent virus resistance and trans-inactivation. Plant J. 12, pp.597-603.
- English, J. J., Mueller, E., and Baulcombe, D. C. (1996). Suppression of virus accumulation in transgenic plants exhibiting silencing of nuclear genes. Plant Cell 8, 179-188.
- Fire, A., Xu, S., Montgomery, M. K., Kostas, S. A.,
  Driver, S. E., and Mello, C. C. (1998). Potent and
  specific genetic interference by double-stranded RNA in

WO 99/15682 PCT/GB98/02862

Caenorhabditis elegans. Nature 391, 806-811.

Hamilton, A. J., Lycett, G. W., and Grierson, D. (1990). Antisense gene that inhibits synthesis of the hormone ethylene in transgenic plants. Nature 346, 284-287.

Hamilton, C. M., Frary, A., Lewis, C., and Tanksley, S. D. (1996). Stable transfer of intact high molecular weight DNA into plant chromosomes.

10 Proc.Natl.Acad.Sci.USA. 93, 9975-9979.

5

15

Harpster, M. H., Townsend, J. A., Jones, J. D. G., Bedbrook, J., and Dunsmuir, P. (1988). Relative strengths of the 35S cauliflower mosaic virus, 1', 2' and nopaline synthase promoters in transformed tobacco, sugarbeet and oilseed rape callus tissue. Mol.Gen.Genet. 212, 182-190.

Haseloff, J., Siemering, K. R., Prasher, D. C., and Hodge, S. (1997). Removal of a cryptic intron and subcellular localization of green fluorescent protein are required to mark transgenic Arabidopsis plants brightly. Proc.Natl.Acad.Sci.USA 94, 2122-2127.

- Hobbs, S. L. A., Kpodar, P., and DeLong, C. M. O. (1990).

  The effect of T-DNA copy number, position and methylation on reporter gene expression in tobacco transformants.

  Plant Mol. Biol. 15, 851-864.
- Hobbs, S. L. A., Warkentin, T. D., and DeLong, C. M. O. (1993). Transgene copy number can be positively or negatively associated with transgene expression. Plant Mol. Biol. 21, 17-26.
- Horsch, R. B., Fry, J. E., Hoffmann, N. L., Eichholtz,

  D., Rogers, S. G., and Fraley, R. T. (1985). A simple and
  general method of transferring genes into plants. Science
  227, 1229-1231.

Iglesias, V. A., Moscone, E. A., Papp, I., Neuhuber, F., Michalowski, S., Phelan, T., Spiker, S., Matzke, M., and Matzke, A. J. M. (1997). Molecular and cytogenetic analyses of stably and unstably expressed transgene loci in tobacco. Plant Cell 9, 1251-1264.

Jefferson, R. A. (1987). Assaying chimeric genes in plants: The GUS gene fusion system. Plant Mol.Biol.Rep. 5, 387-405.

Jones, J. D. G., Shlumukov, L., Carland, F., English, J. J., Scofield, S. R., Bishop, G., and Harrison, K. (1992). Effective vectors for transformation, expression of heterologous genes, and assaying transposon excision in transgenic plants. Transgenic Res. 1, 285-297.

Jorgensen, R. A. (1992). Silencing of plant genes by homologous transgenes. AgBiotech News and Information 4, 265-273.

- Jorgensen, R. A., Atkinson, R. G., Forster, R. L. S., and Lucas, W. J. (1998). An RNA-Based Information Superhighway in Plants. Science 279, 1486-1487.
- Jorgensen, R. A., Que, Q. D., English, J. J., Cluster, P., and Napoli, C. (1995). Sense-suppression of flower color genes as a sensitive reporter of epigenetic states of gene-expression in plant development. Plant Physiol. 108, 14.
- Kjemtrup, S., Sampson, K. S., Peele, C. G., Nguyen, L. V., Conkling, M. A., Thompson, W. F., and Robertson, D. (1998). Gene silencing from plant DNA carried by a geminivirus. Plant J. 14, 91-100.
- Kuhn, C., Franceschi, V. R., Schulz, A., Lemoine, R., and Frommer, W. B. (1997). Macromolecular Trafficking

Indicated by Localization and Turnover of Sucrose Transporters in Enucleate Sieve Elements. Science 275, 1298-1300.

- Kumagai, M. H., Donson, J., Della-Cioppa, G., Harvey, D., Hanley, K., and Grill, L. K. (1995). Cytoplasmic inhibition of carotenoid biosynthesis with virus-derived RNA. Proc.Natl. Acad.Sci.USA 92, 1679-1683.
- Leisner, S. M., and Turgeon, R. (1993). Movement Of Virus and Photoassimilate In the Phloem a Comparative-Analysis. Bioessays 15, 741-748.
- Lindbo, J. A., Silva-Rosales, L., Proebsting, W. M., and
  Dougherty, W. G. (1993). Induction of a highly specific
  antiviral state in transgenic plants: implications for
  regulation of gene expression and virus resistance. Plant
  Cell 5, 1749-1759.
- Lucas, W. J., Bouch\_-Pillon, S., Jackson, D. P., Nguyen, L., Baker, L., Ding, B., and Hake, S. (1995). Selective trafficking of KNOTTED1 homeodomain proteins and its mRNA through plasmodesmata. Science 270, 1980-1983.
- Lucas, W. J., Wolf, S., Deom, C. M., Kishore, G. M., and Beachy, R. N. (1989). Plasmodesmata Virus infections. unknown.
- Matthews, R. E. F. (1991). Plant Virology, 3rd Edition 30 (San Diego, CA: Academic Press).
  - McLean, B., Hempel, F., and Zambryski, P. (1997). Plant intercellular communication via plasmodesmata. The Plant Cell 9, 1043-1054.
  - Meins, F., Jr., and Kunz, C. (1994). Silencing of chitinase expression in transgenic plants: An

WO 99/15682 PCT/GB98/02862

78

autoregulatory model. In Homologous recombination and gene silencing in plants., J. Paszowski, ed. (Netherlands: Kluwer Academic Publishers), pp. 335-348.

- Metzlaff, M., O'Dell, M., Cluster, P. D., and Flavell, R. B. (1997). RNA-mediated RNA degradation and chalcone synthase A silencing in petunia. Cell 88, 845-854.
- Mueller, E., Gilbert, J. E., Davenport, G., Brigneti, G., and Baulcombe, D. C. (1995). Homology-dependent resistance: transgenic virus resistance in plants related to homology-dependent gene silencing. Plant J. 7, 1001-1013.
- Napoli, C., Lemieux, C., and Jorgensen, R. A. (1990).

  Introduction of a chimeric chalcone synthase gene into Petunia results in reversible co-suppression of homologous genes in trans. Plant Cell 2, 279-289.
- Palauqui, J.-C., Elmayan, T., Pollien, J.-M., and Vaucheret, H. (1997). System acquired silencing: transgene-specific post-transcriptional silencing is transmitted by grafting from silenced stocks to non-silenced scions. EMBO J. 16.
- PalBhadra, M., Bhadra, U., and Birchler, J. A. (1997).
  Cosuppression in Drosophila: Gene silencing of Alcohol
  dehydrogenase by white-Adh transgenes is Polycomb
  dependent. Cell 90, 479-490.

- Palukaitis, P. (1987). Potato Spindle Tuber Viroid: Investigation of the Long-Distance, Intra-plant Transport Route. Virology 158, 239-241.
- Poethig, R. S. (1990). Phase-Change and the Regulation Of Shoot Morphogenesis In Plants. Science 250, 923-930.

10

15

20

25

30

35

Que, Q. D., Wang, H. Y., English, J. J., and Jorgensen, R. A. (1997). The frequency and degree of cosuppression by sense chalcone synthase transgenes are dependent on transgene promoter strength and are reduced by premature nonsense codons in the transgene coding sequence. Plant Cell 9, 1357-1368.

Ream, W. (1989). Agrobacterium tumefasciens and interkingdom genetic exchange. Annu.Rev.Phytopathol. 27, 583-618.

Roberts, A. G., Santa Cruz, S., Roberts, I. M., Prior, D. A. M., Turgeon, R., and Oparka, K. J. (1997). Phloem unloading in sink leaves of Nicotiana benthamiana:

Comparison of a fluorescent solute with a fluorescent virus. Plant Cell 9, 1381-1396.

Ruiz, F., Vassie, L., Klotz, K., Sperling, L., and Madeddu, L. (1998a). Homology-dependent gene silencing in Paramecium. Molecular Biology Of The Cell 9, 931-943.

Ruiz, M. T., Voinnet, O., and Baulcombe, D. C. (1998b). Initiation and maintenance of virus-induced gene silencing. Plant Cell, in press.

Scholthof, H.B., Scholthof, K.B.G., and Jackson, A.O. (1995). Identification of tomato bushy stunt virus host-specific symptom determinants by expression of individual genes from a potato virus X vector. Plant Cell 7, 1157-1172.

Sijen, T., Wellink, J., Hiriart, J.-B., and van Kammen, A. (1996). RNA-mediated virus resistance: role of repeated transgenes and delineation of targeted regions. Plant Cell 8, 2277-2294.

Smith, C. J. S., Watson, C. F., Ray, J., Bird, C. R.,

30

35

Morris, P. C., Schuch, W., and Grierson, D. (1988).

Antisense RNA inhibition of polygalaturonase gene
expression in transgenic tomatoes. Nature 334, 724-726.

- Stam, M., de Bruin, R., Kenter, S., van der Hoorn, R. A. L., van Blokland, R., Mol, J. N. M., and Kooter, J. M. (1997). Post-transcriptional silencing of chalcone synthase in Petunia by inverted transgene repeats. Plant J. 12, 63-82.
- Vain, P., Worland, B., Kohli, A., Snape, J. W., and Christou, P. (1998). The green fluorescent protein (GFP) as a vital screenable marker in rice transformation.

  Theor. Appl. Genet. 96, 164-169.
- Van Blokland, R., Van der Geest, N., Mol, J. N. M., and Kooter, J. M. (1994). Transgene-mediated suppression of chalcone synthase expression in Petunia hybrida results from an increase in RNA turnover. Plant J. 6, 861-877.

van der Krol, A. R., Mur, L. A., Beld, M., Mol, J. N. M., and Stuitji, A. R. (1990). Flavonoid genes in petunia:
Addition of a limited number of gene copies may lead to a suppression of gene expression. Plant Cell 2, 291-299.

van Lent, J.W.M., Storms, M., van der Meer, F., Wellink, J., and Goldbach, R.W. (1991). Tubular structures involved in movement of cowpea mosaic virus are also formed in infected cowpea protoplasts. J.Gen.Virol. 72, 2615-2623.

Verchot, J., Angell, S.M., and Baulcombe, D.C. (1998). In Vivo Translation of the Entire Triple Gene Block of Potato Virus X Requires Two Separate mRNAs. J. Virol., submitted.

- Voinnet, O., and Baulcombe, D. C. (1997). Systemic signalling in gene silencing. Nature 389, 553.
- Wassenegger, M., Heimes, S., Riedel, L., and Sanger, H. L. (1994). RNA-directed de novo methylation of genomic sequences in plants. Cell 76, 567-576.
- Wolf, S., Deom, C.M., Beachy, R.N., and Lucas, W.J

  (1989). Movement Protein of Tobacco Mosaic Virus

  Modifies Plasmodesmatal Size Exclusion Limit. Science
  246, 377-379.
- Yanisch-Perron, C., Vieira, J., and Messing, J. (1985).

  Improved M13 phage cloning vectors and host strains:
  nucleotide sequences of the M13mp18 and pUC19 vectors.

  Gene 33, 103-119.
- Zimmern, D. (1982). Do viroids and RNA viruses derive from a system that exchanges genetic information between eucaryotic cells? Trends in Biochemical Sciences, 205-207.
- Zupan, J., and Zambryski, P. (1997). The Agrobacterium

  DNA transfer complex. Critical Reviews In Plant Sciences
  16, 279-295.

#### **CLAIMS**

- 1. A method for silencing a target nucleotide sequence present in a first part of a plant, which method comprises transiently introducing into the cytoplasm of a cell in a second part of the plant, which cell comprises a nucleic acid encoding the target sequence and which is remote from said first part of the plant, a nucleic acid construct, wherein said construct:
- (i) encodes a sequence which shares sequence identity with the target nucleotide sequence or the complement thereof, and

5

15

- (ii) does not encode proteins which are capable of blocking systemic movement of a gene silencing signal, such that a silencing signal not comprising the construct is initiated in the first part of the plant and propagated to the second part of the plant such as to cause the silencing of said target nucleotide sequence.
- 20 2. A method as claimed in claim 1 wherein the proteins which are capable of blocking systemic movement of a gene silencing signal are those which are capable of mediating intercellular viral movement.
- 25 3. A method as claimed in claim 1 or claim 2 wherein the part of the plant into which the nucleic acid is introduced corresponds to a region in which photosynthetic products are concentrated and the target nucleotide sequence is present in a remote region in which such products are used.
  - 4. A method as claimed in any one of the preceding claims wherein the target nucleotide sequence, or a nucleotide sequence sharing homology with the target nucleotide sequence, is transcribed in the cells of the tissues connecting the first and second parts of the plant through which the gene silencing signal is

PCT/GB98/02862 WO 99/15682 83

propagated.

A method as claimed in any one of the preceding claims wherein the target nucleotide sequence is silenced systemically in the plant.

6. A method as claimed in any one of the preceding claims wherein the construct is not capable of autonomous replication.

10

5

- 7. A method as claimed in any one of the preceding claims wherein the construct introduced into the plant cell does not encode a viral coat protein
- A method as claimed in any one of the preceding 15 8. claims wherein the sequence sharing sequence identity with the target gene does not include translationrecognition signals such that said sequence is not translated to a protein product.

- A method as claimed in any one of the preceding claims wherein the nucleic acid construct is DNA.
- 10. A method as claimed in any one of the preceding 25 claims wherein the construct comprises a promoter operably linked to a nucleotide sequence, wherein said nucleotide sequence:
  - (i) encodes a viral replicase,
- (ii) encodes a replicable sequence which shares sequence 30 identity with the target nucleotide sequence or its complement, and which is operably linked to one or more cis acting elements recognised by said replicase, such that the replicable sequence is replicated in the cytoplasm of the cell into which it is introduced,
- 35 (iii) does not encode proteins which are capable of mediating intercellular viral movement.

VO 99/15082

10

30

WO 99/15682 PCT/GB98/02862

- 11. A method as claimed in claim 11 wherein the viral replicase is a PVX replicase.
- 12. A method as claimed in claim 10 or 11 wherein the promoter is an inducible promoter.
  - 13. A method as claimed in any one of claims 10 to 12 wherein the construct comprises Ti-derived sequences which permit integration of the construct into the plant genome.
  - 14. A method as claimed in any one of claims 1 to 9 wherein the construct does not comprise any of the following:
- (i) promoter or terminator sequences,
  - (ii) Ti-derived sequences which permit integration of the construct into the plant genome.
- 15. A method as claimed in claim 13 wherein the construct is introduced into the plant using Agrobacterium tumafaciens.
- 16. A method as claimed in any one of claims 1 to 14 wherein the construct is introduced into the plant cell by microprojectile bombardment.
  - 17. A method as claimed in any one of the preceding claims wherein the target nucleotide sequence encodes a heterologous gene.
  - 18. A method as claimed in any one of claims 1 to 16 wherein the target nucleotide sequence encodes a gene which is endogenous to the plant.
- 19. A method as claimed in claim 18 wherein the plant is not a transgenic plant.

- A method as claimed in any one of the preceding claims wherein the target nucleotide sequence encodes all or part of a viral genome of a virus in the plant.
- 5 A method as claimed in any one of the preceding claims wherein two or more target genes which share sequence identity are silenced.
- A method of assessing a phenotypic characteristic associated with a target nucleotide sequence in a plant, 10 the method comprising:
  - (a) silencing the nucleotide sequence in a plant in accordance with a method as claimed in any one of the preceding claims,
- (b) observing the phenotype of the plant, and optionally 15
  - (c) comparing the result of the observation with the phenotype of a control plant.
- A method for regulating the expression of a target nucleotide sequence in a plant comprising use of a method 20 as claimed in any one of claims 1 to 21.
  - A method of systemically altering the phenotype of a plant comprising use of a method as claimed in any one of claims 1 to 21.
    - A nucleic acid construct comprising a promoter operably linked to a nucleotide sequence, wherein said nucleotide sequence:
- (i) encodes a viral replicase, 30

- (ii) encodes a replicable sequence which shares sequence identity with the target nucleotide sequence or its complement, and which is operably linked to one or more cis acting elements recognised by said replicase, such that the replicable sequence is replicated in the
- 35 cytoplasm of the cell into which it is introduced,
  - (iii) does not encode proteins which are capable of

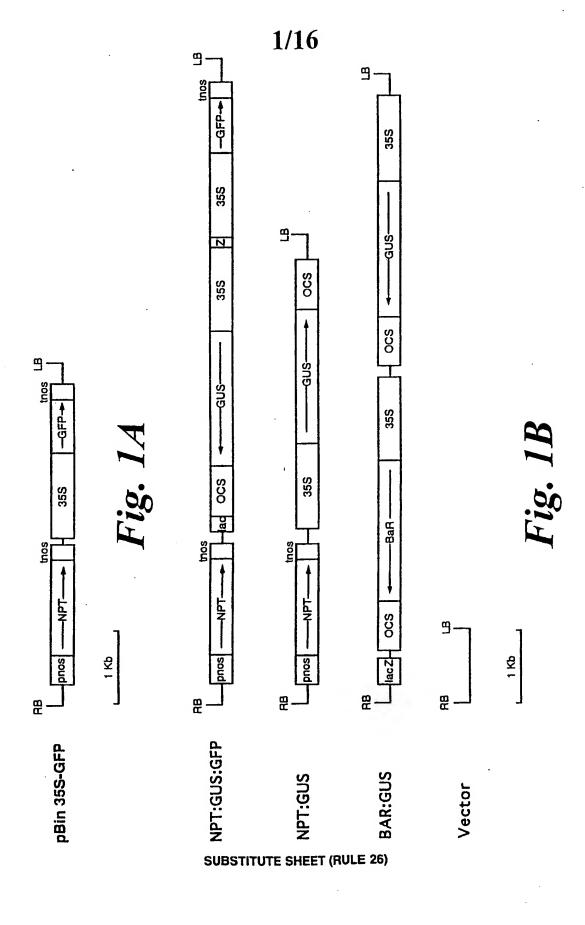
WO 99/15682

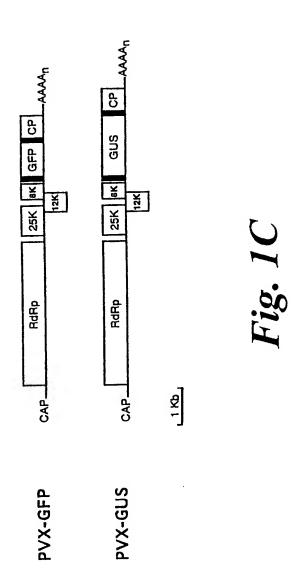
5

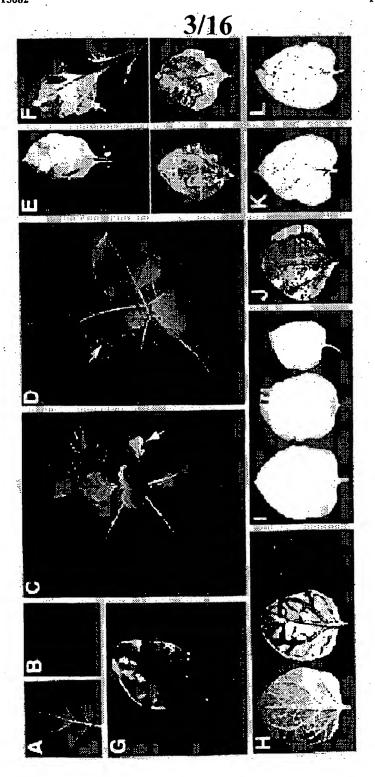
20

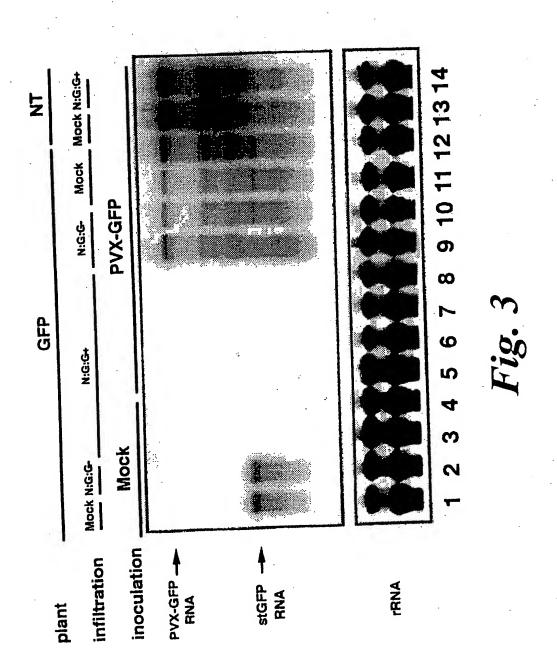
mediating intercellular viral movement.

- 26. A construct as claimed in claim 25 which is a DNA plasmid.
- 27. A construct as claimed in claim 26 which is a Ti plasmid vector.
- 28. A method for producing a systemic gene silencing signal in a plant, said method comprising the steps of introducing a construct as claimed in any one of claims 25 to 27 into a cell of that plant.
- 29. A method as claimed in claim 28 wherein the signal produced by the construct is subsequently stably maintained in the absence of the construct.
  - 30. A plant cell comprising a construct as claimed in any one of claims 25 to 27.
  - 31. A plant comprising a plant cell as claimed in claim 30.
- 32. A plant comprising a target nucleotide sequence
  which has been silenced in accordance with the method of
  any one of claims 1 to 21.

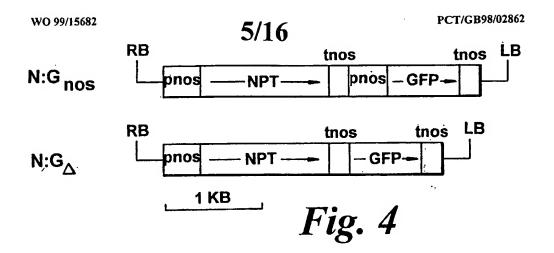


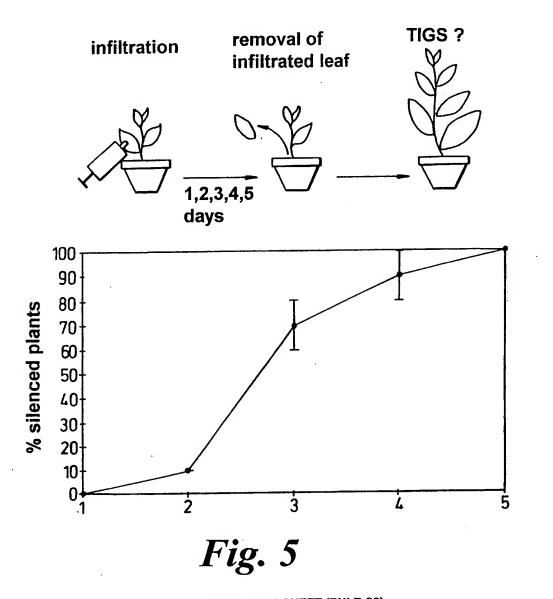






SUBSTITUTE SHEET (RULE 26)





SUBSTITUTE SHEET (RULE 26)

6/16

Bombarded DNA	% silenced plants	No. plants
BamHI Clal Sall 35S G F P tnos	75 +/- 11	70
G F P	45 +/- 12	50
Ē P	11 +/- 6	50
G	32 +/- 6	50
	BamHl Clal Sall  35S G F P tnos  BamHl Clal Sall	Bombarded DNA plants    Bam HI   Clai   Sali

Fig. 6A

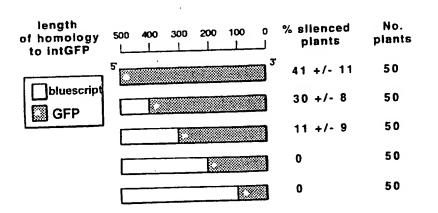
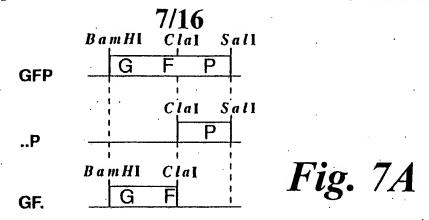
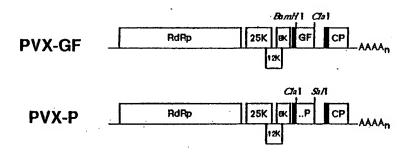
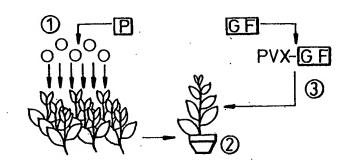


Fig. 6B

SUBSTITUTE SHEET (RULE 26)







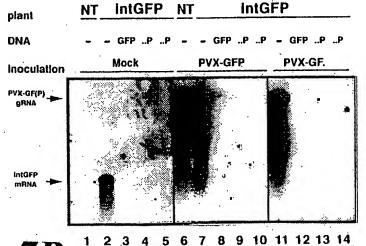


Fig. 7B

SUBSTITUTE SHEET (RULE 26)

8/16

progenitor construct: pPVX209 (in pUC19 vector)

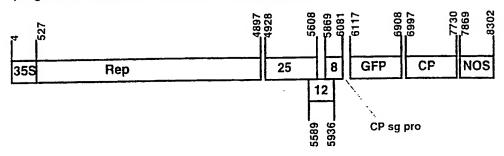


Fig. 8A

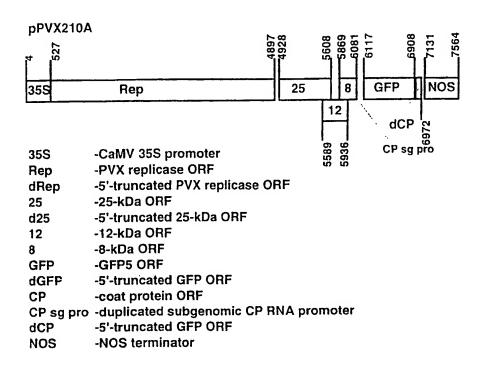
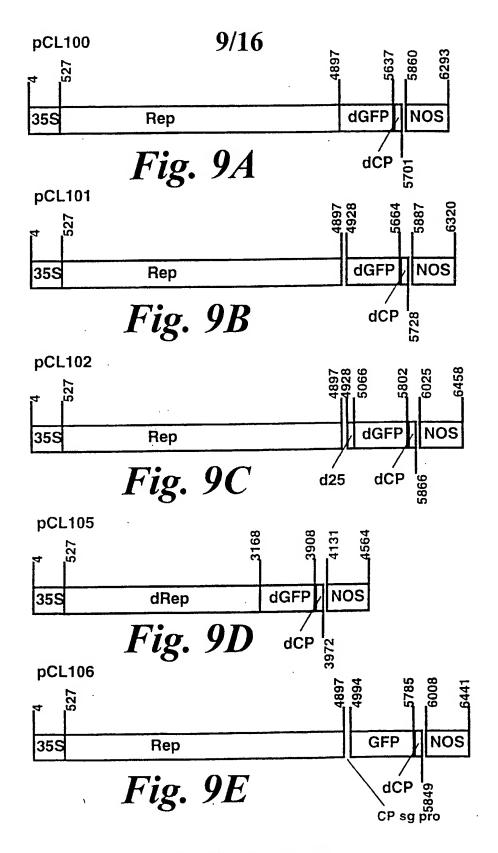


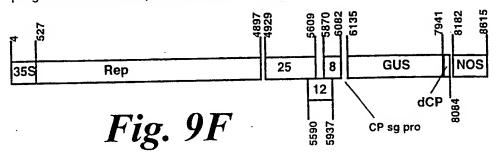
Fig. 8B

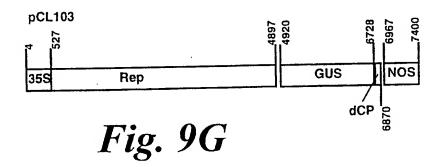
SUBSTITUTE SHEET (RULE 26)

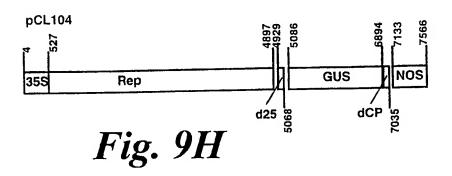


SUBSTITUTE SHEET (RULE 26)

progenitor construct: pA500 (in pUC19 vector)







11/16

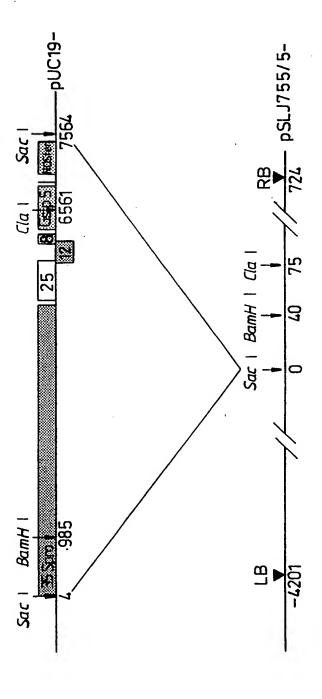


Fig. 10

CACGTTATCAATTA ~/pUC19/35Spro/replicase~GCACAGATTTT<u>CCTAGG</u> TGCGCCTGACTGGTGAAGGTCCCACTTTTGATGCAAACACTGAGTGCAACATAGCTTACAC CCATACAAAGTTTGACATCCCAGCCGGAACTGCTCAAGTTTATGCAGGAGACGACTCCGCA CTGGACTGTGTTCCAGAAGTGAAGCATAGTTTCCACAGGCTTGAGGACAAATTACTCCTAA GATCACACCAAAAGGGGTGATGAAAGACCCAATTAAGCTCCATGTTAGCTTAAAATTGGCT GAAGCTAAGGGTGAACTCAAGAATGTCAAGATTCCTATGAAATTGATCTGAGTTATGCCT ATGACCACAAGGACTCTCTGCATGACTTGTTCGATGAGAAACAGTGTCAGGCACACACT CACTTGCAGAACACTAATCAAGTCAGGGAGAGGCACTGTCTCACTTTCCCGCCTCAGAAAC TTTCTTTAACCGTTAAGTTACCTTAGAGATTTGAATAAGATGGATATTCTCATCAGTAGTT TGAAAAGTTTAGGTTATTCTAGGACTTCCAAATCTTTAGATTCAGGACCTTTGGTAGTACA ŢGCAGTAGCCGGAGCCGGTAAGTCCACAGCCCTAAGGAAGTTGATCCTCAGACACCCAACA TTCACCGTGCA~TGBsequence~AAACCATAAGGGCCATTGCCGATCTCAAGCCACTCTC CGTTGAACGGTTAAGTTTCCATTGATACTCGAAAGAGGTCAGCACCAGCTAGCATCGGACA  ${\tt TGAAGACTAATCTTTTCTCTTTTCTCATCTTTTCACTTCTCCTATCATTATCCT} \underline{{\tt CGGCCG}}$ 

CGCCGATAAGCT AATT-GFP5sequence-ACATGACGAACTCTAAATGTCGAC TGATAGGGCCATTGCCGATCTCAAGCCACTCTCCGTTGAACGGTTAAGTTTCCATTGATAC TCGAAAGATGTCAGCACCAGCTAGCACAACACAGCCCATAGGGTCAACTACCTCAACTACC ACAAAAACTGCAGGCGCAACTCCTGCCACAGCTTCAGGCCTGTTCACCATCCCGGATGGGG ATTTCTTTAGTACAGCCCGTGCCATAGTAGCCAGCAATGCTGTCGCAACAAATGAGGACCT CAGCAAGATTGAGGCTATTTGGAAGGACATGAAGGTGCCCACAGACACTATGGCACAGGCT GCTTGGGACTTAGTCAGACACTGTGCTGATGTAGGATCATCCGCTCAAACAGAAATGATAG ATACAGGTCCCTATTCCAACGGCATCAGCAGAGCTAGACTGGCAGCAGCAATTAAAGAGGT GTGCACACTTAGGCAATTTTGCATGAAGTATGCTCCAGTGGTATGGAACTGGATGTTAACT AACAACAGTCCACCTGCTAACTGGCAAGCACAAGGTTTCAAGCCTGAGCACAAATTCGCTG CATTCGACTTCTTCAATGGAGTCACCAACCCAGCTGCCATCATGCCCAAAGAGGGGCTCAT CCGGCCACCGTCTGAAGCTGAAATGAATGCTGCCCAAACTGCTGCCTTTGTGAAGATTACA  ${\tt AAGGCCAGGGCACAATCCAACGACTTTGCCAGCCTAGATGCAGCTGTCA} \underline{{\tt CTCGAG}}$ CGTATCACTGGAACAACCACCGCTGAGGCTGTTGTCACTCTACCACCACCATAA~/poly( A)/NOSter/~

# Fig. 11A

~/pUC19/35Spro/replicase~GCACAGATTTT <u>CCTAGG</u>	CACGTTATCAATTA
TGCGCCTGACTGGTGAAGGTCCCACTTTTGATGCAAACACTGAGT	
CCATACAAAGTTTGACATCCCAGCCGGAACTGCTCAAGTTTATGC	CAGGAGACGACTCCGCA
CTGGACTGTGTTCCAGAAGTGAAGCATAGTTTCCACAGGCTTGAC	GACAAATTACTCCTAA
AGTCAAAGCCTGTAATCACGCAGCAAAAGAAGGGCAGTTGGCCTC	SAGTTTTGTGGTTGGCT
GATCACACCAAAAGGGGTGATGAAAGACCCAATTAAGCTCCATGT	TAGCTTAAAATTGGCT
GAAGCTAAGGGTGAACTCAAGAATGTCAAGATTCCTATGAAATT	GATCTGAGTTATGCCT
ATGACCACAAGGACTCTCTGCATGACTTGTTCGATGAGAAACAGT	TGTCAGGCACACACACT
CACTTGCAGAACACTAATCAAGTCAGGGAGAGGCACTGTCTCACT	TTTCCCGCCTCAGAAAC
TTTCTTTAACCGTTAAGTTACCTTAGAGATTTGAATAAGATGGAT	
TGAAAAGTTTAGGTTATTCTAGGACTTCCAAATCTTTAGATTCAG	
TGCAGTAGCCGGAGCCGGTAAGTCCACAGCCCTAAGGAAGTTGAT	
TTCACCGTGCA~TGBsequence~AAACCATAAGGGCCATTGCCG	
CGTTGAACGGTTAAGTTTCCATTGATACTCGAAAGAGGTCAGCAC	<u>CAGCTAGCATCGGAC</u> A
TGAAGACTAATCTTTTTCTCTTTTCTCATCTTTTCACTTCTCCTAT	CATTATCCT <u>CGGCCG</u>
AATT~GFP5sequence~ <u>ACATGACGAACTCTAAATGTC</u>	
	GAG GT
CGTATCACTGGAACAACAACCGCTGA ŁGCTGTTGTCACTCTACCA	
	· poly(
A)/NOSter/~	

Fig. 11B

~/pUC19/35Spro/replicase~ <u>GCACAGATTTTCCTAGG</u>	CACGTTATCAATTA
TGCGCCTGACTGGTGAAGGTCCCACTTTTGATGCAAACACTGAGTG	CAACATAGCTTACAC
CCATACAAAGTTTGACATCCCAGCCGGAACTGCTCAAGTTTATGCAC	GGAGACGACTCCGCA
CTGGACTGTGTTCCA ŁAAGTGAAGCATAGTTTCCACAGGCTTGAGGA	ACAAATTACTCCTAA
AGTCAAAGCCTGTAATCACGCAGCAAAAGAAGGGCAGTTGGCCTGAC	GTTTTGTGGTTGGCT
GATCACACCAAAAGGGGTGATGAAAGACCCAATTAAGCTCCATGTT?	AGCTTAAAATTGGCT
GAAGCTAAGGGTGAACTCAAGAAATGTCAAGATTCCTATGAAATTGA	ATCTGAGTTATGCCT
ATGACCACAAGGACTCTCTGCATGACTTGTTCGATGAGAAACAGTG	<u> CAGGCACACACACT</u>
CACTTGCA LAACACTAATCAAGTCAGGGAGAGGCACTGTCTCACTT	rcccgcctcagaaac
TTTCTTTAACCG	
	CGGCCG
AATT~GFP5sequence~ACATGACGAACTCTAAATGTCGAG	GTCGTATCACTG
GAACAACAACCGCTGATGCTGTTGTCACTCTACCACCACCATAA~/I	ooly(A)/NOSter/

Fig. 11C

**CGGCCG** 

<u>AATT</u>~GFP5sequence~ACATGACGAACTCTAAATGTCGAG GTCGTATCACTGGAACAACAACCGCTGATGCTGTTGTCACTCTACCACCACCATAA~/poly(A)/NOSter/

# Fig. 11D

~/pUC19/35Spro/replicase~GCACAGATTTTCCTAGG	CACGTTATCAATTA
TGCGCCTGACTGGTGAAGGTCCCACTTTTGATGCAAACACTGAGTG	CAACATAGCTTACAC
CCATACAAAGTTTGACATCCCAGCCGGAACTGCTCAAGTTTATGCA	GGAGACGACTCCGCA
CTGGACTGTGTTCCA ŁAAGTGAAGCATAGTTTCCACAGGCTTGAGG	ACAAATTACTCCTAA
AGTCAAAGCCTGTAATCACGCAGCAAAAGAAGGGCAGTTGGCCTGA	GTTTTGTGGTTGGCT
GATCACACCAAAAGGGGTGATGAAAGACCCAATTAAGCTCCATGTT	<u>AGCTTAAAATTGGCT</u>
GAAGCTAAGGGTGAACTCAAGAATGTCAAGATTCCTATGAAATTG	ATCTGAGTTATGCCT
ATGACCACAAGGACTCTCTGCATGACTTGTTCGATGAGAAACAGTG	<u>TCAGGCACACACACT</u>
CACTTGCAGAACACTAATCAAGTCAGGGAGAGGCACTGTCTCACTT	TCCCGCCTCAGAAAC
TTTCTTTAACCGTTAAGTTACCTTAGAGATTTGAATAAG	
	CGGCCG
AATT-GFP5sequence-ACATGACGAACTCTAAATGTCGAG	GTCGTATCACTG
GANGANGA ACCOCHICATICATICATICATICATICATICATICATICATICAT	polv(A)/NOSter/

Fig. 11E

CACGTTATCAATTA -/pUC19/35Spro/replicase~GCACAGATTTT<u>CCTAGG</u> TGCGCCTGACTGGTGAAGGTCCCACTTTTGATGCAAACACTGAGTGCAACATAGCTTACAC CCATACAAAGTTTGACATCCCAGCCGGAACTGCTCAAGTTTATGCAGGAGACGACTCCGCA CTGGACTGTGTTCCAGAAGTGAAGCATAGTTTCCACAGGCTTGAGGACAAATTACTCCTAA AGTCAAAGCCTGTAATCACGCAGCAAAAGAAGGGCAGTTGGCCTGAGTTTTGTGGTTGGCT GATCACCAAAAGGGGTGATGAAAGACCCAATTAAGCTCCATGTTAGCTTAAAATTGGCT GAAGCTAAGGGTGAACTCAAGAAATGTCAAGATTCCTATGAAATTGATCTGAGTTATGCCT CACTTGCAGAACACTAATCAAGTCAGGGAGAGGCACTGTCTCACTTTCCCGCCTCAGAAAC  ${\tt TTTCTTTAACCGctagc} \underline{{\tt GGGCCATTGCCGATCTCAAGCCACTCTCCGTTGAACGGTTAAGT}}$ TTCCATTGATACTCGAAAGAGGTCAGCACCAGCTAGCATCGGACATGAAGACTAATCTTTT TCTCTTTCTCATCTTTTCACTTCTCCTATCATTATCCT AATT~GFP5sequence~ACATGACGAACTCTAAATGTCGAG

GTCGTATCACTG GAACAACAACCGCTGATGCTGTTGTCACTCTACCACCACCATAA~/poly(A)/NOSter/

Fig. 11F

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

### **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

□ BLACK BORDERS
□ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
□ FADED TEXT OR DRAWING
□ BLURRED OR ILLEGIBLE TEXT OR DRAWING
□ SKEWED/SLANTED IMAGES
□ COLOR OR BLACK AND WHITE PHOTOGRAPHS
□ GRAY SCALE DOCUMENTS
□ LINES OR MARKS ON ORIGINAL DOCUMENT
□ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

### IMAGES ARE BEST AVAILABLE COPY.

OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

